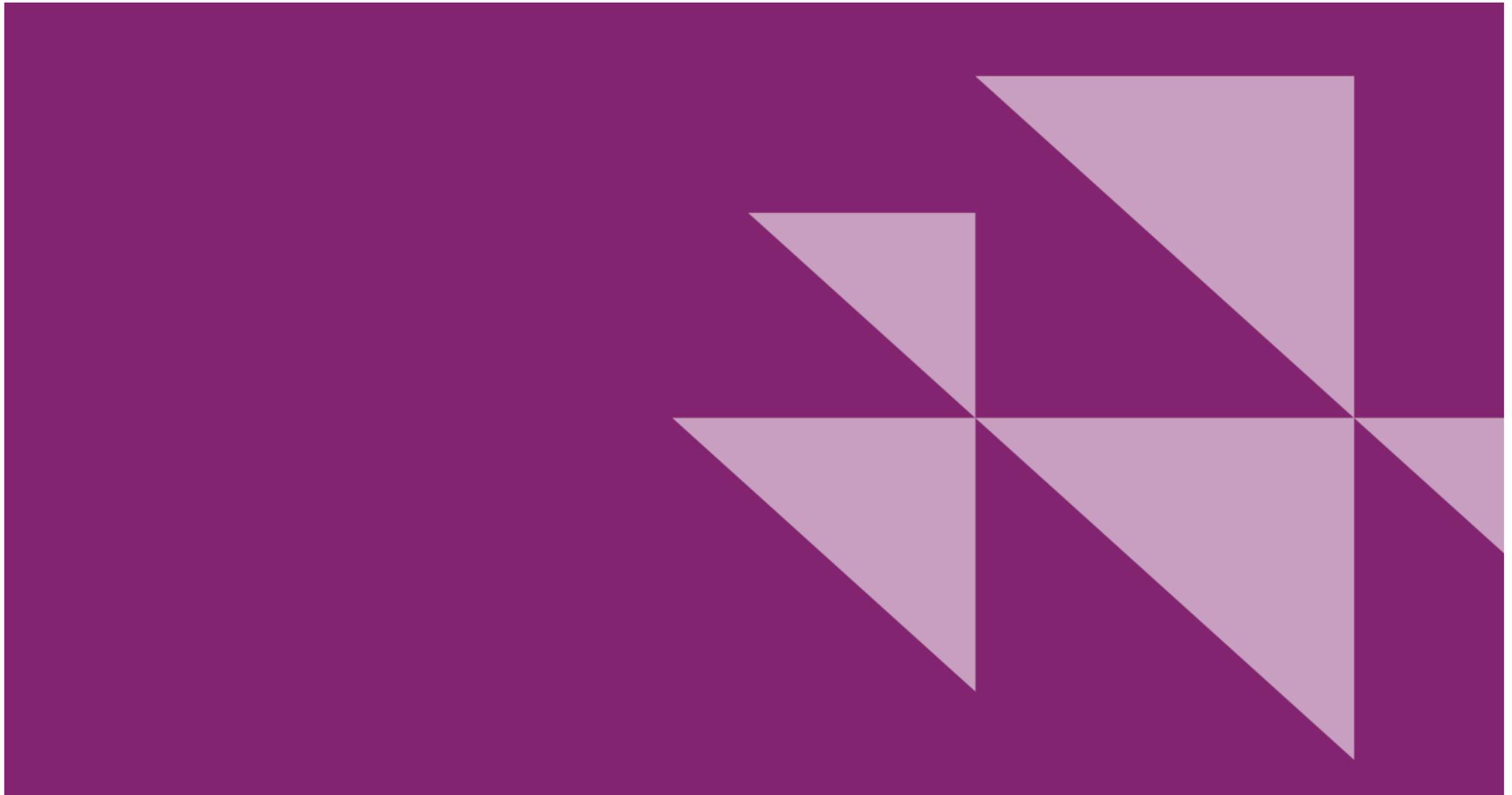


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## CDP Supplier Engagement Rating Methodology 2021

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CDP Climate Change 2021



# CDP 2021 Supplier Engagement Rating methodology

## Introduction

The supplier engagement rating methodology provides a score which assesses supplier action as reported by a company's CDP response. The score assesses the level of detail and comprehensiveness of the content, as well as the company's awareness of climate change issues, management methods and progress towards action taken on climate change as reported in the response.

## Essential reading

This methodology should be used in conjunction with the CDP climate change questionnaire guidance 2021, and the "Introduction to CDP scoring 2021" document, as well as the Supplier Engagement Rating Introduction. It is strongly recommended to familiarize yourself with the contents of both the 2021 guidance, the 2021 Scoring introduction document and the 2021 Supplier Engagement Rating document before using the scoring methodology. Please note that the "2021 Supplier Engagement Rating introduction" document contains information essential for the understanding and correct use of this scoring methodology.

## Status of this methodology

This methodology is intended to provide guidance to companies on how their response will be scored.

*"CDP reserves the right to remove, amend or alter scoring criteria as displayed in the scoring methodology at any time during the disclosure cycle, without notice or notification. Such changes will only be made in exceptional circumstances when necessary to ensure that the methodology remains fair, impartial and effective for the purpose of assessing progress towards environmental stewardship."*

## CDP Climate Change Scoring Methodology 2021 - Version Control

Version number	Release/revision date	Revision summary
1.0	12/03/2021	First version
1.1	22/03/2021	Modification to C1.3a criteria
1.2	28/06/2021	Modification to C4.1a and C4.1b criteria

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## Governance

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### Employee incentives

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(C1.3) Do you provide incentives for the management of climate-related issues, including the attainment of targets?

#### Response options

Please complete the following table:

Provide incentives for the management of climate-related issues	Comment
Select from: <ul style="list-style-type: none"><li>• Yes</li><li>• No, not currently but we plan to introduce them in the next two years</li><li>• No, and we do not plan to introduce them in the next two years</li></ul>	Text field (maximum 1,000 characters)

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## C1.3 - Scoring criteria

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#### Supplier Engagement Rating criteria

Question not scored for Supplier Engagement Rating

#### Point Allocation

Numerator	Denominator
0	0

**(C1.3a) Provide further details on the incentives provided for the management of climate-related issues (do not include the names of individuals).**

**Question dependencies**

This question only appears if you select “Yes” in response to C1.3.

**Response options**

Please complete the following table. You are able to add rows by using the “Add Row” button at the bottom of the table.

Entitled to incentive	Type of incentive	Activity incentivized	Comment
<p>Select from:</p> <ul style="list-style-type: none"> <li>• Board Chair</li> <li>• Board/Executive board</li> <li>• Director on board</li> <li>• Corporate executive team</li> <li>• Chief Executive Officer (CEO)</li> <li>• Chief Financial Officer (CFO)</li> <li>• Chief Operating Officer (COO)</li> <li>• Chief Procurement Officer (CPO)</li> <li>• Chief Risk Officer (CRO)</li> <li>• Chief Sustainability Officer (CSO)</li> <li>• Chief Investment Officer (CIO) [Financial services only]</li> <li>• Chief Underwriting Officer (CUO) [Financial services only]</li> <li>• Chief Credit Officer (CCO) [Financial services only]</li> <li>• Other C-Suite Officer</li> <li>• President</li> <li>• Executive officer</li> <li>• Management group</li> <li>• Business unit manager</li> <li>• Energy manager</li> <li>• Environmental, health, and safety manager</li> <li>• Environment/Sustainability manager</li> <li>• Facilities manager</li> <li>• Process operation manager</li> <li>• Procurement manager</li> <li>• Public affairs manager</li> <li>• Risk manager</li> </ul>	<p>Select from:</p> <ul style="list-style-type: none"> <li>• Monetary reward</li> <li>• Non-monetary award</li> </ul>	<p>Select all that apply:</p> <ul style="list-style-type: none"> <li>• Emissions reduction project</li> <li>• Emissions reduction target</li> <li>• Energy reduction project</li> <li>• Energy reduction target</li> <li>• Efficiency project</li> <li>• Efficiency target</li> <li>• Behavior change related indicator</li> <li>• Environmental criteria included in purchases</li> <li>• Supply chain engagement</li> <li>• Company performance against a climate-related sustainability index</li> <li>• Portfolio/fund alignment to climate-related objectives [Financial services only]</li> <li>• Other, please specify</li> </ul>	<p>Text field [maximum 2,400 characters]</p>

<ul style="list-style-type: none"> <li>• Portfolio/Fund manager [Financial services only]</li> <li>• ESG Portfolio/Fund manager [Financial services only]</li> <li>• Investment analyst [Financial services only]</li> <li>• Dedicated Responsible Investment staff [Financial services only]</li> <li>• Investor Relations staff [Financial services only]</li> <li>• Risk management staff [Financial services only]</li> <li>• Buyers/purchasers</li> <li>• All employees</li> <li>• Other, please specify</li> </ul>			
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[Add Row]

## C1.3a - Scoring criteria

### Supplier Engagement Rating criteria

Points to be awarded:

#### A.

i) 'Buyers/purchasers' OR 'All employees' selected in 'Who is entitled to benefit from these incentives' AND 'Monetary reward' in 'Type of incentive' - 2 points

OR

ii) 'Buyers/purchasers' OR 'All employees' selected in 'Who is entitled to benefit from these incentives' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Type of incentive' - 1 point

#### B.

iii) 'Chief Procurement Officer (CPO)', 'Chief Financial Officer (CFO)' or 'Procurement Manager' selected in 'Who is entitled to benefit from these incentives' AND 'Monetary reward' in 'Type of incentive' - 2 points

OR

iv) 'Chief Procurement Officer (CPO)', 'Chief Financial Officer (CFO)' or 'Procurement Manager' selected in 'Who is entitled to benefit from these incentives' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Type of incentive' - 1 point

#### C.

v) 'Environmental criteria included in purchases' or 'Supply chain engagement' selected in 'Activity incentivized' AND 'Monetary reward' in 'Type of incentive' - 2 points

OR

vi) 'Environmental criteria included in purchases' or 'Supply chain engagement' selected in 'Activity incentivized' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Type of incentive' - 1 point

#### D.

'No' selected in C1.3 – 0 points

C1.3 left blank – 0 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

### Point Allocation

Disclosure numerator	Disclosure denominator
6	6

## Business strategy

---

**(C3.1) Have climate-related risks and opportunities influenced your organization's strategy and/or financial planning?**

### Response options

Select one of the following options:

- Yes, and we have developed a low-carbon transition plan
- Yes
- No

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## C3.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

'Yes, and we have developed a low-carbon transition plan' OR 'Yes' - 2 points;  
No - 0 points

### *Point Allocation*

Numerator	Denominator
2	2

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## Targets

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### Targets

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#### Targets Scoring Notes

Please note that:

- Where an organization reports both absolute and intensity targets, all targets will be scored and the question for which the score which has the most positive impact on the company's score will be recorded (either the question C4.1a or C4.1b).

#### (C4.1) Did you have an emissions target that was active in the reporting year?

##### Response options

Select one of the following options:

- Absolute target
- Intensity target
- Both absolute and intensity targets
- No target

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## C4.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Question not scored for Supplier Engagement Rating

### *Point Allocation*

Disclosure numerator	Disclosure denominator
0	0

**(C4.1a) Provide details of your absolute emissions target(s) and progress made against those targets.**

**Question dependencies**

This question only appears if you select “Absolute target” or “Both absolute and intensity targets” in response to C4.1.

**Response options**

Please complete the following table. The table is displayed over several rows for readability. You are able to add rows by using the “Add Row” button at the bottom of the table.

Target reference number	Year target was set	Target coverage	Scope(s) (or Scope 3 category)	Base year	Covered emissions in base year (metric tons CO2e)	Covered emissions in base year as % of total base year emissions in selected Scope(s) (or Scope 3 category)
Abs1-Abs100	Numerical field [enter a number between 1900- 2021]	Select from: <ul style="list-style-type: none"> <li>• Company-wide</li> <li>• Business division</li> <li>• Business activity</li> <li>• Site/facility</li> <li>• Country/region</li> <li>• Product-level</li> <li>• Other, please specify</li> </ul>	Select from drop-down options below	Numerical field [enter a number between 1900-2021]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 2 decimal places and no commas]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]

Target year	Targeted reduction from base year (%)	Covered emissions in target year (metric tons CO2e) [auto-calculated]	Covered emissions in reporting year (metric tons CO2e)	% of target achieved [auto-calculated]
Numerical field [enter a whole number between 2000- 2100]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Numerical field [0-999,999,999,999]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 2 decimal places and no commas]	Percentage field [-999 to 999]

Target status in reporting year	Is this a science-based target?	Target ambition*	Please explain (including target coverage)
Select from: <ul style="list-style-type: none"> <li>• New</li> <li>• Underway</li> <li>• Achieved</li> <li>• Expired</li> <li>• Revised</li> <li>• Replaced</li> <li>• Retired</li> </ul>	Select from drop-down options below	Select from: <ul style="list-style-type: none"> <li>• 1.5°C aligned</li> <li>• Well-below 2°C aligned</li> <li>• 2°C aligned</li> <li>• Other, please specify</li> </ul>	Text field [maximum 2,400 characters]

\*This column only appears if you select one of the “Yes...” options in column “Is this a science-based target?”

Scope(s) (or Scope 3 category) drop-down options:

Select one of the following options:

- Scope 1
- Scope 2 (location-based)
- Scope 2 (market-based)
- Scope 1+2 (location-based)
- Scope 1+2 (market-based)
- Scope 1+2 (location-based) +3 (upstream)
- Scope 1+2 (location-based) +3 (downstream)
- Scope 1+2 (location-based) +3 (upstream & downstream)
- Scope 1+2 (market-based) +3 (upstream)
- Scope 1+2 (market-based) +3 (downstream)
- Scope 1+2 (market-based) +3 (upstream & downstream)
- Scope 3 (upstream)
- Scope 3 (downstream)
- Scope 3 (upstream & downstream)
- Scope 3: Purchased goods and services
- Scope 3: Capital goods
- Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2)
- Scope 3: Upstream transportation and distribution
- Scope 3: Waste generated in operations
- Scope 3: Business travel
- Scope 3: Employee commuting
- Scope 3: Upstream leased assets
- Scope 3: Investments

- Scope 3: Downstream transportation and distribution
- Scope 3: Processing of sold products
- Scope 3: Use of sold products
- Scope 3: End-of-life treatment of sold products
- Scope 3: Downstream leased assets
- Scope 3: Franchises
- Other, please specify

*Is this a science-based target? drop-down options:*

Select one of the following options:

- Yes, this target has been approved as science-based by the Science-Based Targets initiative
- Yes, we consider this a science-based target, but this target has not been approved as science-based by the Science-Based Targets initiative
- No, but we are reporting another target that is science-based
- No, but we anticipate setting one in the next 2 years
- No, and we do not anticipate setting one in the next 2 years

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## C4.1a - Scoring criteria

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### *Supplier Engagement Rating criteria*

The following columns must all be completed to be eligible for points:

- Scope(s) (or Scope 3 category)
- Target year

If these columns have been completed, companies will be scored for points in both route A and route B below:

#### **A)**

Up to 3 points will be awarded based on performance against the Leadership criteria for question C4.1a in the full tier Climate Change methodology. Please see the [Climate change 2021 methodology](#) for details on the criteria.

#### **B)**

i) If 'Scope' is 'Scope 3: Purchased goods and services' or entails Scope III upstream (i.e. Scope 1 + 2 (market or location based) + 3 (upstream)) - 2 points

ii) If 'Scope' is any upstream category (including 'Capital goods', 'Fuel- and energy-related activities (not included in Scopes 1 or 2)', 'Upstream transportation & distribution', 'Waste generated in operations', 'Business travel', 'Employee commuting' OR 'Upstream leased assets') - 1 point

#### **C)**

If 'No target' is selected in C4.1 - 0 points

If C4.1 is left blank - 0 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring. If an organizations' CDP Climate Change score is a C or lower, 0/3 points are scored for route A.

### *Point Allocation*

Numerator	Denominator
5	5

**(C4.1b) Provide details of your emissions intensity target(s) and progress made against those target(s).**

**Question dependencies**

This question only appears if you select “Intensity target” or “Both absolute and intensity target” in response to C4.1.

**Response options**

Please complete the following table. The table is displayed over several rows for readability. You are able to add rows by using the “Add Row” button at the bottom of the table.

Target reference number	Year target was set	Target coverage	Scope(s) (or Scope 3 category)	Intensity metric	Base year	Intensity figure in base year (metric tons CO2e per unit of activity)	% of total base year emissions in selected Scope(s) (or Scope 3 category) covered by this intensity figure
Int1- Int100	Numerical field [enter a number between 1900-2021]	Select from: <ul style="list-style-type: none"> <li>• Company-wide</li> <li>• Business division</li> <li>• Business activity</li> <li>• Site/facility</li> <li>• Country/region</li> <li>• Product-level</li> <li>• Other, please specify</li> </ul>	Select from drop-down options below	Select from drop-down options below	Numerical field [enter a number between 1900-2021]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 10 decimal places and no commas]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]

Target year	Targeted reduction from base year (%)	Intensity figure in target year (metric tons CO2e per unit of activity) [auto-calculated]	% change anticipated in absolute Scope 1+2 emissions	% change anticipated in absolute Scope 3 emissions	Intensity figure in reporting year (metric tons CO2e per unit of activity)
Numerical field [enter a number between 2000- 2100]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Numerical field [0-999,999,999,999]	Percentage field [enter a percentage from -999-999 using a maximum of 2 decimal places]	Percentage field [enter a percentage from -999-999 using a maximum of 2 decimal places]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 10 decimal places and no commas]

% of target achieved [auto-calculated]	Target status in reporting year	Is this a science-based target?	Target ambition*	Please explain (including target coverage)
Percentage field [-999 to 999]	Select from: <ul style="list-style-type: none"> <li>• New</li> <li>• Underway</li> <li>• Achieved</li> <li>• Expired</li> <li>• Revised</li> <li>• Replaced</li> <li>• Retired</li> </ul>	Select from drop-down options below	Select from: <ul style="list-style-type: none"> <li>• 1.5°C aligned</li> <li>• Well-below 2°C aligned</li> <li>• 2°C aligned</li> <li>• Other, please specify</li> </ul>	Text field [maximum 2,400 characters]

[Add Row]

\*This column only appears if you select one of the “Yes...” options in column “Is this a science-based target?”

*Scope(s) (or Scope 3 category) drop-down options:*

Select one of the following options:

- Scope 1
- Scope 2 (location-based)
- Scope 2 (market-based)
- Scope 1+2 (location-based)
- Scope 1+2 (market-based)
- Scope 1+2 (location-based) +3 (upstream)
- Scope 1+2 (location-based) +3 (downstream)
- Scope 1+2 (location-based) +3 (upstream & downstream)

- Scope 1+2 (market-based) +3 (upstream)
- Scope 1+2 (market-based) +3 (downstream)
- Scope 1+2 (market-based) +3 (upstream & downstream)
- Scope 3 (upstream)
- Scope 3 (downstream)
- Scope 3 (upstream & downstream)
- Scope 3: Purchased goods & services
- Scope 3: Capital goods
- Scope 3: Fuel- and energy-related activities (not included in Scopes 1 or 2)
- Scope 3: Upstream transportation & distribution
- Scope 3: Waste generated in operations
- Scope 3: Business travel
- Scope 3: Employee commuting
- Scope 3: Upstream leased assets
- Scope 3: Investments
- Scope 3: Downstream transportation and distribution
- Scope 3: Processing of sold products
- Scope 3: Use of sold products
- Scope 3: End-of-life treatment of sold products
- Scope 3: Downstream leased assets
- Scope 3: Franchises
- Other, please specify

*Intensity metric drop-down options:*

Select one of the following options from the drop-down menu below. Those with an asterisk (\*) are the metrics that can be evaluated against science-based target setting methods (see [Technical Note on Science Based Targets](#)):

- Grams CO2e per revenue passenger kilometer\*
- Metric tons CO2e per USD(\$) value-added\*
- Metric tons CO2e per square meter\*
- Metric tons CO2e per metric ton of aluminum\*
- Metric tons CO2e per metric ton of steel\*
- Metric tons CO2e per metric ton of cement\*
- Metric tons CO2e per metric ton of cardboard\*
- Grams CO2e per kilometer\*
- Metric tons CO2e per unit revenue
- Metric tons CO2e per unit FTE employee
- Metric tons CO2e per unit hour worked
- Metric tons CO2e per metric ton of product
- Metric tons of CO2e per liter of product

- Metric tons CO2e per unit of production
- Metric tons CO2e per unit of service provided
- Metric tons CO2e per square foot\*
- Metric tons CO2e per kilometer
- Metric tons CO2e per passenger kilometer\*
- Metric tons CO2e per megawatt hour (MWh)\*
- Metric tons CO2e per barrel of oil equivalent (BOE)
- Metric tons CO2e per vehicle produced
- Metric tons CO2e per metric ton of ore processed
- Metric tons CO2e per ounce of gold
- Metric tons CO2e per ounce of platinum
- Metric tons of CO2e per metric ton of aggregate
- Metric tons of CO2e per billion (currency) funds under management
- Other, please specify

*Is this a science-based target? drop-down options:*

Select one of the following options:

- Yes, this target has been approved as science-based by the Science Based Targets initiative
- Yes, we consider this a science-based target, but this target has not been approved as science-based by the Science Based Targets initiative
- No, but we are reporting another target that is science-based
- No, but we anticipate setting one in the next 2 years
- No, and we do not anticipate setting one in the next 2 years

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## C4.1b - Scoring criteria

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### *Supplier Engagement Rating criteria*

The following columns must all be completed to be eligible for points:

- Scope(s) (or Scope 3 category)
- Target year

If these columns have been completed, companies will be scored for points in both route A and route B below:

#### **A)**

Up to 3 points will be awarded based on performance against the Leadership criteria for question C4.1b in the full tier Climate Change methodology. Please see the [Climate change 2021 methodology](#) for details on the criteria.

#### **B)**

i) If 'Scope' is 'Scope 3: Purchased goods and services' or entails Scope III upstream (i.e. Scope 1 + 2 (market or location based) + 3 (upstream)) - 2 points

ii) If 'Scope' is any upstream category (including 'Capital goods', 'Fuel- and energy-related activities (not included in Scopes 1 or 2)', 'Upstream transportation & distribution', 'Waste generated in operations', 'Business travel', 'Employee commuting' OR 'Upstream leased assets') - 1 point

**C)**

If 'No target' is selected in C4.1 - 0 points

If C4.1 is left blank - 0 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring. If an organizations' CDP Climate Change score is a C or lower, 0/3 points are scored for route A.

*Point Allocation*

Disclosure numerator	Disclosure denominator
5	5

**(C4.2) Did you have any other climate-related targets that were active in the reporting year?**

**Response options**

Select all that apply from the following options:

- Target(s) to increase low-carbon energy consumption or production
- Target(s) to reduce methane emissions
- Other climate-related target(s)
- No other climate-related targets

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## C4.2 - Scoring criteria

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*Supplier Engagement Rating criteria*

Question not scored for Supplier Engagement Rating

*Point Allocation*

Disclosure numerator	Disclosure denominator
0	0

**(C4.2b) Provide details of any other climate-related targets, including methane reduction targets.**

**Response options**

Target reference number	Year target was set	Target coverage	Target type: absolute or intensity	Target type: category	Metric (target numerator if reporting an intensity target)	Target denominator (intensity targets only)
Oth1 – Oth100	Numerical field [enter a number between 1900-2021]	Select from: <ul style="list-style-type: none"> <li>Company-wide</li> <li>Business division</li> <li>Business activity</li> <li>Site/facility</li> <li>Country/region</li> <li>Product level</li> <li>Other, please specify</li> </ul>	Select from: <ul style="list-style-type: none"> <li>Absolute</li> <li>Intensity</li> </ul>	Select from: <ul style="list-style-type: none"> <li>Energy productivity</li> <li>Energy consumption or efficiency</li> <li>Renewable fuel production</li> <li>Renewable fuel consumption</li> <li>Waste management</li> <li>Resource consumption or efficiency</li> <li>Low-carbon vehicles</li> <li>Low-carbon buildings</li> <li>Land use change</li> <li>Methane reduction target</li> <li>Fossil fuel reduction target</li> <li>Engagement with suppliers</li> <li>Engagement with customers</li> <li>R&amp;D investments</li> <li>Green finance</li> <li>Other, please specify</li> </ul>	Select from drop-down options below	Select from drop-down options below

Base year	Figure or percentage in base year	Target year	Figure or percentage in target year	Figure or percentage in reporting year	% of target achieved [auto-calculated]
Numerical field [enter a number between 1900- 2021]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 10 decimal places and no commas]	Numerical field [enter a number between 2000- 2100]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 10 decimal places and no commas]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 10 decimal places and no commas]	Percentage field [-999 to 999]

Target status in reporting year	Is this target part of an emissions target?	Is this target part of an overarching initiative?	Please explain (including target coverage)
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<p>Select from:</p> <ul style="list-style-type: none"> <li>• New</li> <li>• Underway</li> <li>• Achieved</li> <li>• Expired</li> <li>• Revised</li> <li>• Replaced</li> <li>• Retired</li> </ul>	<p>Text field [maximum 2,400 characters]</p> <p>[Emissions reduction target ID]</p>	<p>Select from:</p> <ul style="list-style-type: none"> <li>• EP100</li> <li>• EV100</li> <li>• Below50 – sustainable fuels</li> <li>• Science Based Targets initiative</li> <li>• Reduce short-lived climate pollutants</li> <li>• Remove deforestation</li> <li>• Low-Carbon Technology Partnerships initiative</li> <li>• No, it's not part of an overarching initiative</li> <li>• Other, please specify</li> </ul>	<p>Text field [maximum 2,400 characters]</p>
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[Add Row]

*Metric (target numerator if reporting an intensity target) drop-down options:*

Select one of the following options:

<p>Energy productivity</p> <ul style="list-style-type: none"> <li>• GDP</li> <li>• USD (\$) value-added</li> <li>• units of revenue</li> <li>• ounces of gold</li> <li>• ounces of platinum</li> <li>• metric tons of aggregate</li> <li>• metric tons of aluminum</li> <li>• metric tons of steel</li> <li>• metric tons of cement</li> <li>• metric tons of cardboard</li> <li>• metric tons of product</li> <li>• metric tons of ore processed</li> <li>• square meters</li> <li>• kilometers</li> <li>• passenger kilometers</li> <li>• revenue passenger kilometers</li> <li>• liters of product</li> <li>• units of production</li> <li>• units of service provided</li> <li>• square feet</li> </ul>	<p>Low-carbon buildings</p> <ul style="list-style-type: none"> <li>• Percentage of net zero carbon buildings</li> <li>• Percentage of net zero energy buildings</li> <li>• Percentage of buildings with a green building certificate</li> <li>• Other, please specify</li> </ul> <p>Land use change</p> <ul style="list-style-type: none"> <li>• hectares reforested</li> <li>• hectares afforested</li> <li>• hectares restored</li> <li>• Percent of supply chain compliant with zero gross deforestation</li> <li>• Other, please specify</li> </ul> <p>Methane reduction target</p> <ul style="list-style-type: none"> <li>• cubic meters of methane vented</li> <li>• cubic meters of methane leaked</li> <li>• cubic meters of methane flared</li> <li>• Total methane emissions in m3</li> <li>• Total methane emissions in CO2e</li> <li>• Methane leakage rate (%)</li> <li>• Other, please specify</li> </ul>
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- megawatt hours (MWh)
- barrel of oil equivalents (BOE)
- ton of oil equivalents (TOE)
- ton of coal equivalents (TCE)
- Other, please specify

Energy consumption or efficiency

- kWh
- MWh
- GJ
- million Btu
- boe
- toe
- tce
- Gcal
- Other, please specify

Renewable fuel production

- metric tons of solid biomass
- liters of liquid biofuel
- cubic meters of biogas
- cubic meters of hydrogen
- Other, please specify

Renewable fuel consumption

- metric tons of solid biomass
- liters of liquid biofuel
- cubic meters of biogas
- cubic meters of hydrogen
- Percentage of total fuel consumption that is from renewable sources
- Other, please specify

Waste management

- metric tons of waste diverted from landfill
- metric tons of waste recycled
- metric tons of waste reused
- metric tons of waste generated
- Percentage of total waste generated that is recycled

Fossil fuel reduction target

- cubic meters of natural gas consumed
- metric tons of coal consumed
- barrels of oil consumed
- Percentage of fossil fuels in the fuel mix
- Other, please specify

Engagement with suppliers

- Percentage of suppliers disclosing their GHG emissions
- Percentage of suppliers setting emissions reduction targets
- Percentage of suppliers with a science-based target
- Percentage of suppliers actively engaged on climate-related issues
- Other, please specify

Engagement with customers

- Percentage of customers disclosing their GHG emissions
- Percentage of customers setting emissions reduction targets
- Percentage of customers with a science-based target
- Percentage of customers actively engaged on climate-related issues
- Other, please specify

R&D investments

- Percentage of annual revenue invested in R&D of low-carbon products/services
- US\$ invested in R&D of low-carbon products/services
- Percentage of R&D budget/portfolio dedicated to low-carbon products/services
- Other, please specify

Green finance

- Total amount of green bonds outstanding (green bond ratio)
- Percentage of green bonds
- Total amount of green debt instruments outstanding (green debt ratio)
- Percentage of green debt instruments
- Green finance raised and facilitated (denominated in currency)
- Green investments (denominated in currency)
- Percentage of green investments
- Other, please specify

- Percentage of sites operating at zero-waste to landfill
- Other, please specify

Resource consumption or efficiency

- Percentage of paper from recycled or certified sustainable sources
- metric tons of paper consumed
- Percentage of plastic from recycled sources
- metric tons of plastic consumed
- Percentage of packaging from recycled or certified sustainable sources
- metric tons of packaging consumed
- Other, please specify

Low-carbon vehicles

- Percentage of low-carbon vehicles in company fleet
- Percentage of low-carbon vehicles sold
- Percentage of company fleet using biofuel
- Percentage of battery electric vehicles in company fleet
- Percentage of conventional hybrids in company fleet
- Percentage of plug-in hybrids in company fleet
- Percentage of fuel cell electric vehicles in company fleet
- Percentage of company facilities with electric vehicle infrastructure
- Other, please specify

*Target denominator (intensity targets only) drop-down options:*

Select one of the following options:

- KWh
- MWh
- GJ
- Btu
- boe
- toe
- tce
- Gcal
- revenue passenger kilometer
- USD(\$) value-added
- square meter
- metric ton of aluminum
- metric ton of steel

- metric ton of cement
- metric ton of cardboard
- unit revenue
- unit FTE employee
- unit hour worked
- metric ton of product
- liter of product
- unit of production
- unit of service provided
- square foot
- kilometer
- passenger kilometer
- megawatt hour (MWh)
- barrel of oil equivalent (BOE)
- vehicle produced
- metric ton of ore processed
- ounce of gold
- ounce of platinum
- metric ton of aggregate
- billion (currency) funds under management
- hectare
- metric ton of waste
- liter of fuel
- year
- total amount of bonds outstanding at the end of the reporting period
- total amount of debt outstanding at the end of the reporting period
- Other, please specify

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## C4.2b - Scoring criteria

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*Supplier Engagement Rating criteria*

The following columns must all be completed to be eligible for points:

- Target type: category
- Target year;
- Figure or percentage in target year.

i) If 'Target type: category' is 'Engagement with suppliers' - 2 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

*Point Allocation*

Disclosure numerator	Disclosure denominator
2	2

## Scope 3 emissions

### Scope 3 emissions data

(C6.5) Account for your organization's Scope 3 emissions, disclosing and explaining any exclusions.

#### Response options

Please complete the following table:

Scope 3 category	Evaluation status	Metric tons CO2e	Emissions calculation methodology	Percentage of emissions calculated using data obtained from suppliers or value chain partners	Please explain
Purchased goods and services	Select from: <ul style="list-style-type: none"> <li>• Relevant, calculated</li> <li>• Relevant, not yet calculated</li> <li>• Not relevant, calculated</li> <li>• Not relevant, explanation provided</li> <li>• Not evaluated</li> </ul>	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 3 decimal places and no commas]	Text field [maximum 2,400 characters]	Numerical field [enter a number from 0-100 using a maximum of 2 decimal places and no commas]	Text field [maximum 2,400 characters]
Capital goods					
Fuel-and-energy-related activities (not included in Scope 1 or 2)					
Upstream transportation and distribution					
Waste generated in operations					
Business travel					

Employee commuting					
Upstream leased assets					
Downstream transportation and distribution					
Processing of sold products					
Use of sold products					
End of life treatment of sold products					
Downstream leased assets					
Franchises					
Investments [row hidden for FS sector companies, data point requested in C-FS14.1a]					
Other (upstream)					
Other (downstream)					

## C6.5 - Scoring criteria

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### *Supplier Engagement Rating criteria*

The final score for this question is the sum of the individual scores for the first 8 'upstream' rows. Please note the rows 'Other (upstream)' and 'Other (downstream)' are optional - please see the guidance document for further information.

A) Row 1 'Purchased goods and services':

i) Where 'Evaluation status' is:

- 'Relevant, Calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data - 0.5 points

ii) Otherwise - 0 points

B) Rows 2 - 8:

i) Where 'Evaluation status' is:

- 'Relevant, calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data – 0.5 points

- 'Not relevant, calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data - 0.5 points

- 'Not relevant, explanation provided' AND 'Explanation' contains data - 0.5 points

ii) Where 'Evaluation status' is:

- 'Relevant, not yet calculated' OR 'Not evaluated' - 0.1 points

iii) Otherwise - 0 points

### *Point Allocation*

Numerator	Denominator
4	4

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## Supplier Engagement

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### Value chain engagement

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#### (C12.1) Do you engage with your value chain on climate-related issues?

##### Response options

Select all that apply from the following options:

- Yes, our suppliers
- Yes, our customers
- Yes, our investee companies [Financial services only]
- Yes, other partners in the value chain
- No, we do not engage

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## C12.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

i) 'Yes, our suppliers' is selected - 1 point

Please note, an organization must indicate it engages with its suppliers in C12.1 in order to obtain a Supplier Engagement Rating higher than a D.

If multiple options including 'No, we do not engage' are selected – 0 points

### *Point Allocation*

Disclosure numerator	Disclosure denominator
1	1

**(C12.1a) Provide details of your climate-related supplier engagement strategy.**

**Question dependencies**

This question only appears if you select “Yes, our suppliers” in response to C12.1.

**Response options**

Please complete the following table. You are able to add rows by using the “Add Row” button at the bottom of the table

Type of engagement	Details of engagement	% of suppliers by number	% total procurement spend (direct and indirect)	% of supplier-related Scope 3 emissions as reported in C6.5	Rationale for the coverage of your engagement	Impact of engagement, including measures of success	Comment
<p>Select from:</p> <ul style="list-style-type: none"> <li>• Compliance &amp; onboarding</li> <li>• Information collection (understanding supplier behavior)</li> <li>• Engagement &amp; incentivization (changing supplier behavior)</li> <li>• Innovation &amp; collaboration (changing markets)</li> <li>• Other, please specify</li> </ul>	<p>Select all that apply:</p> <p>Compliance &amp; onboarding</p> <ul style="list-style-type: none"> <li>• Included climate change in supplier selection / management mechanism</li> <li>• Code of conduct featuring climate change KPIs</li> <li>• Climate change is integrated into supplier evaluation processes</li> <li>• Other, please specify</li> </ul> <p>Information collection (understanding supplier behavior)</p> <ul style="list-style-type: none"> <li>• Collect climate change and carbon information at least annually from suppliers</li> <li>• Other, please specify</li> </ul> <p>Engagement &amp; incentivization (changing supplier behavior)</p>	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Text field [maximum 2,400 characters]	Text field [maximum 2,400 characters]	Text field [maximum 2,400 characters]

	<ul style="list-style-type: none"> <li>• Run an engagement campaign to educate suppliers about climate change</li> <li>• Climate change performance is featured in supplier awards scheme</li> <li>• Offer financial incentives for suppliers who reduce your operational emissions (Scopes 1 &amp; 2)</li> <li>• Offer financial incentives for suppliers who reduce your downstream emissions (Scopes 3)</li> <li>• Offer financial incentives for suppliers who reduce your upstream emissions (Scopes 3)</li> <li>• Other, please specify</li> </ul> <p>Innovation &amp; collaboration (changing markets)</p> <ul style="list-style-type: none"> <li>• Run a campaign to encourage innovation to reduce climate impacts on products and services</li> <li>• Other, please specify</li> </ul>						
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[Add Row]

## C12.1a - Scoring criteria

### Supplier Engagement Scoring criteria

- If:
- i) Column '% of suppliers by number' is complete - 0.25 points
  - ii) Column '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' is complete - 0.25 points
  - iii) Column 'Type of engagement' is complete - 0.25 points
  - iv) Column 'Details of engagement' is complete - 0.25 points

AND

- If:
- v) 'Compliance & onboarding' has been selected in 'Type of engagement' column AND
    - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
    - b) A number higher than 0 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 2 points; OR
    - c) A number higher than 39 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points

OR

- vi) 'Information collection (understanding supplier behavior)' has been selected in 'Type of engagement' column AND
  - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
  - b) A number higher than 0 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points; OR
  - c) A number higher than 49 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points;

OR

- vii) 'Engagement & incentivization (changing supplier behavior)' has been selected in 'Type of engagement' column AND
  - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
  - b) A number higher than 0 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points; OR
  - c) A number higher than 39 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points

OR

- viii) 'Innovation & collaboration (changing markets)' has been selected in 'Type of engagement' column AND
  - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
  - b) a number higher than 0 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 6 points; OR
  - c) a number higher than 39 has been entered in the '% total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points

In order to score points for criteria v) - viii), full points must have been achieved for criteria i), ii), iii) and iv).

Rows in which 'Other, please specify' has been selected in the column 'Type of engagement' will not be considered eligible for scoring.

### Point Allocation

Numerator	Denominator
9	9

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## Climate Change Score

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### CDP Climate Change Score

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(C1-C14) Points will be received based on the companies' 2021 CDP climate change score.

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## C1-C14 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Points are awarded by overall 2021 CDP climate change score as follows:

A - 10 points;  
A- - 9 points;  
B - 6 points;  
B- - 5 points;  
C - 4 points;  
C- 3 points;  
D - 2 points;  
D- - 1 point;  
F - 0 points.

### *Point Allocation*

Numerator	Denominator
10	10

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## Weightings

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Each section has a specific weighting in the final SER score

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CDP questionnaire section	Weighting in the Supplier Engagement Rating
Governance	20%
Targets	15%
Scope 3 Emissions Accounting	20%
Supplier Engagement	35%
Overall CDP Climate Change performance	10%