
CDP Full Corporate Scoring Methodology – Water Security



Version

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Introduction

This is a provisional methodology (please see the Disclaimer below) and should be used in conjunction with the CDP [Questionnaire & Reporting Guidance 2024](#). It is strongly recommended to familiarize yourself with the contents of both the 2024 guidance and the [CDP full corporate questionnaire – Overview](#) before using the scoring methodology. Question dependencies will be detailed in these supplementary documents to inform companies which questions they are eligible to be scored for.

This document provides the scoring criteria and points available for each question in CDPs 2024 full corporate questionnaire. In 2024, the previously separate CDP questionnaires on climate change, forests and water security have been integrated into one corporate questionnaire. Through this questionnaire, organizations can provide data on multiple environmental issues in a single disclosure, encouraging more holistic and balanced reporting.

Scoring for multiple environmental issue areas in 2024

While the questionnaire has been integrated, separate climate change, water and forests scores will continue to be awarded in 2024, with separate scoring methodologies for each environmental issue area. Modules 1 to 6 (and 13) are integrated, which means that questions in these modules cover more than one environmental issue area. Conversely, modules 7-11 relate to 'Environmental Performance' and each module is specific to an environmental issue area. Organizations in the financial services sector will be presented with module 12, which is an integrated, sector-specific 'Environmental Performance' module.

Each question is tagged to one or more of the three environmental issue areas, listed under the question text. The following notations have been used in this document to denote environmental issue areas (Table 1). For some questions that are tagged to multiple themes, each theme will be scored in the same way. In other questions tagged to multiple themes, each theme might be scored differently. Please ensure you refer to the specific set of criteria relevant to your organization.

Notation	Theme
CC	Climate Change
W	Water Security
F	Forests

Table 1 - Theme notation

Primary sector scoring in 2024

Along with environmental issue areas, each question in the questionnaire is tagged to sectors. Organizations in high-impact sectors will be presented with questions specific to that sector and may be scored differently in general questions that display for all responders.

The sector-based approach allows CDP to make more meaningful assessments of companies' responses, incorporating each sector's characteristics and nuances, resulting in a score that reflects the company's progress in environmental stewardship and enabling better benchmarking against other companies.

Responders will only be scored on their primary questionnaire sector. This means that if more than one set of sector questions applies to your organization, not all questions will be scored.

However, we encourage you to respond to all questions that apply to your organization.

Each question is tagged to one or more sector, listed under the question text. The following notations have been used in this document to denote sectors (Table 2). Some questions have multiple scoring outcomes depending on primary sector. You may need to scroll down to find the correct criteria for your sector in these instances.

Notation	Sector
AC	Agricultural commodities
CG	Capital goods
CE	Cement
CH	Chemicals
CO	Coal
CN	Construction
EU	Electric Utilities
FB	Food, beverage & Tobacco
FS	Financial services
GN	General
MM	Metals & Mining
OG	Oil & Gas
PF	Paper & Forestry
RE	Real Estate
ST	Steel
TO	Transport OEMs
TO-EPM	Transport EPMs
TS	Transport services

Table 2 - Sector notation

Disclaimer

This scoring methodology is intended to provide guidance to companies in relation to how their response will be scored. In common with other scoring processes of this type, we expect to make future adjustments, during the disclosure cycle, to optimise the clarity of the scoring methodology in context of responses received. Adjustments to the scoring methodology should have minimal impact on your response planning/resource allocation.

CDP therefore reserves the right to amend or alter scoring criteria displayed in the scoring methodology at any time during the disclosure cycle. Such adjustments will be made without obligation to give advance notice, but any adjustments made from time to time will be listed and displayed prominently. Please refer to the Version Control to view updates notifying you of adjustments.

Notwithstanding that CDP reserves the right to amend or alter its scoring criteria during the disclosure cycle, CDP commits to use best endeavours to only make adjustments where essential to ensure that our scoring methodology remains robust and effective for the purpose of assessing progress towards environmental stewardship.

Module 1 - Introduction

Introduction

(1.1) - In which language are you submitting your response?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

1.1 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.2) - Select the currency used for all financial information disclosed throughout your response.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.2 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.3) - Provide an overview and introduction to your organization

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.3 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.4) - State the end of the year for which you are reporting data. For emissions data, indicate whether you will be providing emissions data for past reporting years.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.4 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Table completed - 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

End date is between 02/10/2023 - 02/10/2024 - 1 point

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

'Yes' selected in column 'Alignment of this reporting period with your financial reporting period' – 1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	1	1	1	1

(1.5) - Provide details on your reporting boundary.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

1.5 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

Awareness criteria

Table completed - 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes' selected in column 'Is your reporting boundary for your CDP disclosure the same as that used in your financial statements?' – 1 point

OR

ROUTE B)

'Not applicable – we do not publicly disclose financial statements' selected in column 'Is your reporting boundary for your CDP disclosure the same as that used in your financial statements?' – 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2	1	1	1 or 0	1 or 0	0	0

(1.6) - Does your organization have an ISIN code or another unique identifier (e.g., Ticker, CUSIP, etc.)?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.6 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.7) - Select the countries/areas in which you operate.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.7 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.8) - Are you able to provide geolocation data for your facilities?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

1.8 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.8.1) - Please provide all available geolocation data for your facilities.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

1.8.1 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.9) - What is the size of your organization based on total assets value at the end of the reporting period?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

1.9 - (FS) Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(1.10) - Which activities does your organization undertake and which industry sectors does your organization lend to, invest in, and/or insure?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

1.10 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 4 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0	0	0	0	0	0

(1.11) - In which activities within the agricultural commodities, food, beverage and tobacco, and/or paper and forestry sectors is your organization involved?

Theme	Sector that scoring criteria apply to
CC/W	AC/FB/PF

1.11 - (AC/FB/PF) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0	0	0	0	0	0

(1.13) - In which segment of the coal value chain does your organization operate?

Theme	Sector that scoring criteria apply to
CC/W	CO

1.13 - (CO) Scoring criteria

Disclosure criteria

ROUTE A)

Table completed – 4 points

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/30.5 points

Awareness criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/14 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/4 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/2.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4 or 0	4 or 30.5	0	0 or 14	0	0 or 4	0	0 or 2.5

(1.14) - In which segment of the chemicals value chain does your organization operate?

Theme	Sector that scoring criteria apply to
CC/W	CH

1.14 - (CH) Scoring criteria

Disclosure criteria

Table completed – 4 points

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0	0	0	0	0	0

(1.16) - In which segment of the electric utilities value chain does your organization operate?

Theme	Sector that scoring criteria apply to
CC/W	EU

1.16 - (EU) Scoring criteria

Disclosure criteria

ROUTE A)

Table completed – 1 point

OR

ROUTE B)

No option selected - 0/8 points

Awareness criteria

ROUTE A)

Not scored.

OR

ROUTE B)

No option selected - 0/7 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)
No option selected - 0/3 points

Leadership criteria

ROUTE A)
Not scored.

OR

ROUTE B)
No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1 or 0	1 or 8	0	0 or 7	0	0 or 3	0	0 or 1

(1.16.1) - For your electricity generation activities, provide details of your nameplate capacity and electricity generation specifics for each technology employed.

Theme	Sector that scoring criteria apply to
W	EU

1.16.1 - (EU) Scoring criteria

Disclosure criteria

ROUTE A)
Points will be awarded per completed row in proportion to the number of rows disclosed (Excluding both rows 'Other renewable' AND 'Other non-renewable'). Partially completed rows will not receive full points.

Either column 'Gross electricity generation (GWh)' OR column 'Net electricity generation (GWh)' is completed in addition to all other columns.

A maximum of 7.5 points is available for this question.

OR

ROUTE B)

Column 'Own or control operations which use this power generation source' not completed - 0/11.5 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

i) The figure in row 'Total' in column 'Gross electricity generation (GWh)' is within 5% variance of the sum of figures in column 'Gross electricity generation (GWh)' excluding row 'Total'

OR

The figure in row 'Total' in column 'Net electricity generation (GWh)' is within 5% variance of the sum of figures in column 'Net electricity generation (GWh)' excluding row 'Total' – 2 points

ii) The figure in row 'Total' in column 'Nameplate capacity (MV)' is within 5% variance of the sum of figures in column 'Nameplate capacity (MV)' excluding row 'Total' – 2 points

OR

ROUTE B)

Column 'Own or control operations which use this power generation source' not completed - 0/6 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Own or control operations which use this power generation source' not completed - 0/4 points

Leadership criteria

ROUTE A)
Not scored.

OR

ROUTE B)
Column 'Own or control operations which use this power generation source' not completed -
0/0.2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7.5	7.5 or 11.5	4 or 0	0 or 6	0	0 or 4	0	0 or 0.2

(1.17) - In which part of the metals and mining value chain does your organization operate?

Theme	Sector that scoring criteria apply to
CC/W	MM

1.17 - (MM) Scoring criteria

Disclosure criteria

ROUTE A)
Table completed – 4 points

OR

ROUTE B)
If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/30.5 points

Awareness criteria

ROUTE A)
Not scored.

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/14 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Aspect' AND 'Category' not completed in questions 1.13 and 1.17 - 0/4 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4 or 0	4 or 30.5	0	0 or 14	0	0 or 4	0	0 or 1

(1.19) - In which part of the oil and gas value chain does your organization operate?

Theme	Sector that scoring criteria apply to
CC/W	OG

1.19 - (OG) Scoring criteria

Disclosure criteria

Table completed – 4 points

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0	0	0	0	0	0

(1.23) - Which of the following agricultural commodities that your organization produces and/or sources are the most significant to your business by revenue?

Theme	Sector that scoring criteria apply to
CC/W	AC/FB/PF

1.23 - (AC/FB/PF) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

OR

ROUTE B)

If columns 'Produced and/or sourced' AND 'Is this commodity considered significant to your business in terms of revenue?' in question 1.22 AND 1.23 not completed - 0/19 points

Awareness criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Produced and/or sourced' AND 'Is this commodity considered significant to your business in terms of revenue?' in question 1.22 AND 1.23 not completed - 0/8 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Produced and/or sourced' AND 'Is this commodity considered significant to your business in terms of revenue?' in question 1.22 AND 1.23 not completed - 0/10 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

If columns 'Produced and/or sourced' and 'Is this commodity considered significant to your business in terms of revenue?' not completed in questions 1.22 AND 1.23 - 0/2 L points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3 or 0	3 or 19	0	0 or 8	0	0 or 10	0	0 or 2

(1.24) - Has your organization mapped its value chains?

Theme	Sector that scoring criteria apply to
CC/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

1.24 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding column 'Smallholder inclusion in mapping').

A maximum of 5 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes, we have mapped or are currently in the process of mapping our value chain' selected in 'Value chain mapped' – 2 points

OR

ROUTE B)

'No, but we plan to do so within the next two years' selected in 'Value chain mapped' – 1 point

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	2	2	0	0	0	0

Module 2 – Identification, assessment, and management of dependencies, impacts, risks, and opportunities

Definition of time horizons

(2.1) - How does your organization define short-, medium-, and long-term time horizons in relation to the identification, assessment, and management of your environmental dependencies, impacts, risks, and opportunities?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

2.1 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Consecutive time horizons entered in rows 'Short-term', 'Medium-term', 'Long-term' - 3 points

(e.g. 'Short-term' is '0-2', 'Medium-term' is '3-9' and 'Long-term' is 'from 10 years')

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	3	3	0	0	0	0

Process for identifying, assessing, and managing dependencies, impacts, risks, and opportunities

(2.2) - Does your organization have a process for identifying, assessing, and managing environmental dependencies and/or impacts?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

2.2 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes' selected in column 'Process in place' – 1 point

OR

'No, but we plan to do so within the next two years' - 0.5 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1 or 0.5	1	0	0	0	0

(2.2.1) - Does your organization have a process for identifying, assessing, and managing environmental risks and/or opportunities?

Theme	Sector that scoring criteria apply to
F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

2.2.1 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes' selected in column 'Process in place' AND 'Both risks and opportunities' selected in column 'Risks and/or opportunities are evaluated in this process' – 3 points

OR

'Yes' selected in column 'Process in place' AND Either 'Risks only' OR 'Opportunities only' selected in column 'Risks and/or opportunities are evaluated in this process' – 1.5 points

OR

'No, but we plan to within the next two years' selected in column 'Process in place' – 0.5 points

Management criteria

ROUTE A)

'Yes' selected in column 'Process in place' in EITHER question 2.2 OR 2.2.1 - 0/0 points

OR

ROUTE B)

'Yes' not selected in column 'Process in place' in EITHER question 2.2 OR 2.2.1 - 0/3.5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0.5 or 1.5 or 3	3	0	0 or 3.5	0	0

(2.2.2) - Provide details of your organization's process for identifying, assessing, and managing environmental dependencies, impacts, risks, and/or opportunities.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

2.2.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Columns 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this environmental issue' and 'Value chain stages covered' must be completed to be eligible for Disclosure points.

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Both 'Risks' AND 'Opportunities' must be selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' in any row where 'Water' is selected in column 'Environmental issue' AND

Both 'Direct Operations' AND 'Upstream value chain' must be selected in column 'Value chain stages covered' in any one row.

If 'Risks' and 'Opportunities' are reported in the same row the criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all the following criteria must be met in both rows.

i) All of the following are met in any one complete row – 2 points:

'Risks' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' AND

Any option selected excluding 'Not defined' in column 'Frequency of assessment' AND

Any option selected excluding 'Other, please specify' in column 'Tools and methods used' AND

Any option selected excluding 'Other, please specify' in column 'Risk types and criteria considered' AND

Any option selected excluding 'Other, please specify' in column 'Partners and stakeholders considered'.

ii) All of the following are met in any complete row – 2 points:

'Opportunities' selected in column 'Indicate which of dependencies, impacts, risks, and

opportunities are covered...' AND

Any option selected excluding 'Not defined' in column 'Frequency of assessment' AND

Any option selected excluding 'Other, please specify' in column 'Tools and methods used'

AND

Any option selected excluding 'Other, please specify' in column 'Partners and stakeholders considered'.

Best row(s) scored

A maximum of 4 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

- 'Water' selected in column 'Environmental issue' in at least one row
- Full Awareness points awarded

If 'Risks' and 'Opportunities' are reported in the same row the following criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all of the following criteria must be met in both rows.

In a completed row where 'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND the following criteria are met:

- i) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment'
– 0.5 points
- ii) All of the following selected in column 'Time horizons covered' – 0.5 points
 - 'Short-term'
 - 'Medium-term'
 - 'Long-term'
- iii) Three or more options selected in column 'Risk types and criteria considered' – 0.5 points
- iv) At least two options in column 'Tools and methods used' selected excluding the following
– 0.5 points
 - 'Other enterprise risk management, please specify'
 - 'Internal company methods'
 - 'External consultants'
 - 'Other international methodologies and standards, please specify'

- 'Other databases, please specify'
- 'Other, please specify'
- 'Other commercially/publicly available tools, please specify'

In a completed row where 'Opportunities' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' AND the following criteria are met:

v) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment'
– 0.5 points

vi) All of the following selected in column 'Time horizon(s) covered' – 0.5 points

- 'Short-term'
- 'Medium-term'
- 'Long-term'

vii) At least two options in column 'Tools and methods used' selected excluding the following – 0.5 points

- 'Other enterprise risk management, please specify'
- 'Internal company methods'
- 'Other international methodologies and standards, please specify'
- 'Other databases, please specify'
- 'Other, please specify'
- 'Other commercially/publicly available tools, please specify'

Best row(s) scored.

A maximum of 3.5 points is available for this question.

Leadership criteria

The following conditions must be met to be eligible for Leadership points:

- 'Water' selected in column 'Environmental issue' in at least one row
- Full Management points awarded.

For rows where 'Water' is selected in column 'Environmental issue':

i) 'Dependencies' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process' in any row. – 0.75 points

ii) 'Impacts' selected in column 'Indicate which of dependencies, impacts, risks, and

opportunities are covered by the process' in any row. – 0.75 points

If 'Risks' and 'Opportunities' are reported in the same row the following criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all the following criteria must be met in both rows.

Points will be awarded for a completed row where 'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this environmental issue' as follows:

iii) 'Full' selected in column 'Coverage' – 0.5 points

iv) All of the following options selected in column 'Risk types and criteria considered' – 0.5 points

- 'Acute physical'
- 'Chronic physical'
- 'Policy'
- 'Market'
- 'Reputation'
- 'Technology'
- 'Liability'

v) 'Integrated into multi-disciplinary...' selected in column 'Integration of risk management process' – 0.5 points

vi) 'Qualitative and quantitative' selected in 'Type of assessment' – 0.5 points

vii) All of the following options selected in column 'Partners and stakeholders considered' – 0.5 points

- 'Customers'
- 'Employees'
- 'Investors'
- 'Local communities' OR 'Indigenous Peoples'
- 'Indigenous Peoples'
- 'Suppliers'

Points will be awarded for a completed row where 'Opportunities' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this environmental issue' as follows:

viii) 'Qualitative and quantitative' selected in 'Type of assessment' – 0.5 points

Best row(s) scored.

A maximum of 4.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 8	0 or 8	4	4	3.5	3.5	4.5	4.5

(2.2.2) - Provide details of your organization's process for identifying, assessing, and managing environmental dependencies, impacts, risks, and/or opportunities.

Theme	Sector that scoring criteria apply to
W	FS

2.2.2 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Columns 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this environmental issue' and 'Value chain stages covered' must be completed to be eligible for Disclosure points.

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Both 'Risks' and 'Opportunities' must be selected in column 'Indicate which of dependencies,

impacts, risks, and opportunities are covered...' in any row where 'Water' is selected in column 'Environmental issue'.

If 'Risks' and 'Opportunities' are reported in the same row the criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all the criteria must be met in both rows.

i) All of the following are met in any complete row – 2 points:

'Risks' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND

Any option selected excluding 'Not defined' in column 'Frequency of assessment' AND

Any option selected excluding 'Other, please specify' in column 'Tools and methods used' AND

Any option selected excluding 'Other, please specify' in column 'Risk types and criteria considered' AND

Any option selected excluding 'Other, please specify' in column 'Partners and stakeholders considered'.

ii) All of the following are met in any complete row – 2 points:

'Opportunities' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND

Any option selected excluding 'Not defined' in column 'Frequency of assessment' AND

Any option selected excluding 'Other, please specify' in column 'Tools and methods used' AND

Any option selected excluding 'Other, please specify' in column 'Partners and stakeholders considered'.

Best row(s) scored.

A maximum of 4 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

- 'Water' selected in column 'Environmental issue' in at least one row
- Full Awareness points awarded

If 'Risks' and 'Opportunities' are reported in the same row the following criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all of the following criteria must be met in both rows.

In a completed row where 'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND the following criteria are met:

i) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment'
– 0.5 points

ii) All of the following selected in column 'Time horizons covered' – 0.5 points

- 'Short-term'
- 'Medium-term'
- 'Long-term'

iii) Three or more options selected in column 'Risk types and criteria considered' – 0.5 points

iv) At least two options in column 'Tools and methods used' selected excluding the following
– 0.5 points

- 'Other enterprise risk management, please specify'
- 'Internal company methods'
- 'External consultants'
- 'Other international methodologies and standards, please specify'
- 'Other databases, please specify'
- 'Other, please specify'
- 'Other commercially/publicly available tools, please specify'

In a completed row where 'Opportunities' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' AND the following criteria are met:

v) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment'
– 0.5 points

vi) All of the following selected in column 'Time horizon(s) covered' – 0.5 points

- 'Short-term'
- 'Medium-term'
- 'Long-term'

vii) At least two options in column 'Tools and methods used' selected excluding the following – 0.5 points

- 'Other enterprise risk management, please specify'
- 'Internal company methods'
- 'Other international methodologies and standards, please specify'
- 'Other databases, please specify'
- 'Other, please specify'

'Other commercially/publicly available tools, please specify'

Best row(s) scored.

A maximum of 3.5 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 8	0 or 8	4	4	3.5	3.5	0	0

(2.2.4) - Does your organization have a process for identifying, assessing, and managing environmental dependencies and/or impacts related to your portfolio activities?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

2.2.4 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows displayed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows displayed as follows:

'Yes' selected in column 'Process in place' – 1 point

OR

'No, but we plan to within the next two years' selected in column 'Process in place' – 0.5 points

A maximum of 1 point is available for this question.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	0	0	0	0

(2.2.5) - Does your organization have a process for identifying, assessing, and managing environmental risks and/or opportunities related to your portfolio activities?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

2.2.5 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows displayed. Partially completed rows will not receive full points.

A maximum of 4 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows displayed as follows:

'Yes' selected in column 'Process in place' AND 'Both risks and opportunities' selected in column 'Risks and/or opportunities evaluated in this process' – 3 points

OR

'Yes' selected in column 'Process in place' AND either 'Risks only' OR 'Opportunities only' selected in column 'Risks and/or opportunities evaluated in this process' – 1.5 point

OR

'No, but we plan to within the next two years' selected in column 'Process in place' – 0.5 points

A maximum of 3 points is available for this question.

Management criteria

ROUTE A)

'Yes' selected in column 'Process in place' in EITHER question 2.2.4 OR 2.2.5 - 0/0 points

OR

ROUTE B)

'Yes' not selected in column 'Process in place' in EITHER question 2.2.4 OR 2.2.5 - 0/5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	3	3	0	0 or 5	0	0

(2.2.6) - Provide details of your organization's process for identifying, assessing, and managing environmental dependencies, impacts, risks, and/or opportunities related to your portfolio activities.

Theme	Sector that scoring criteria apply to
W	FS

2.2.6 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...'
must be completed to be eligible for points at the Disclosure level.

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 12 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Both 'Risks' and 'Opportunities' must be selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' in any row where 'Water' is selected in column 'Environmental issue'.

For rows where 'Water' is selected in column 'Environmental issue':

If 'Risks' and 'Opportunities' are reported in the same row the criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows all of the following criteria must be met in both rows.

i) All of the following are met in any complete row – 2 points:

'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and

opportunities are covered by the process for this portfolio' AND
Any option excluding 'Not defined' selected in column 'Frequency of assessment' AND
Any option excluding any 'Other, please specify' option selected in column 'Risk type and criteria considered' AND
Any option excluding 'Other, please specify' selected in column 'Partners and stakeholders considered' AND
A figure greater than 0 provided in column '% of portfolio covered by the assessment process in relation to total portfolio value'.

ii) All of the following are met in any complete row – 2 points:
'Opportunities' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this portfolio' AND
Any option excluding 'Not defined' selected in column 'Frequency of assessment' AND
Any option excluding 'Other, please specify' selected in column 'Partners and stakeholders considered' AND
A figure greater than 0 provided in column '% of portfolio covered by the assessment process in relation to total portfolio value'.

Best row(s) scored.

A maximum of 4 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

- 'Water' selected in column 'Environmental issue' in at least one row
- Full Awareness points awarded

For rows where 'Water' is selected in column 'Environmental issue':

If 'Risks' and 'Opportunities' are reported in the same row (one process) the criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows (separate processes) all of the following criteria must be met in both rows.

In a complete row where 'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND the following criteria are true:

i) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment'
– 1 point

ii) All of the following selected in column 'Time horizons covered' – 1 point

- 'Short-term'
- 'Medium-term'
- 'Long-term'

iii) 3 or more options selected in column 'Risk type and criteria considered' – 1 point

In complete row where 'Opportunities' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process...' AND the following criteria are true:

iv) Either 'More than once a year' OR 'Annually' selected in column 'Frequency of assessment' – 1 point

v) All of the following selected in column 'Time horizons covered' – 1 point

- 'Short-term'
- 'Medium-term'
- 'Long-term'

Best row(s) scored.

A maximum of 5 points is available for this question.

Leadership criteria

The following conditions must be met to be eligible for Leadership points:

- 'Water' selected in column 'Environmental issue' in at least one row
- At least 1.5 Management points awarded
- For each portfolio in question 1.10 where 'Yes' is selected in column 'Activity undertaken', a complete row is provided where 'Water' is selected in column 'Environmental issue'.

For rows where 'Water' is selected in column 'Environmental issue':

i) 'Dependencies' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this portfolio' in any row – 0.75 points

ii) 'Impacts' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this portfolio' in any row – 0.75 points

If 'Risks' and 'Opportunities' are reported in the same row (one process) the criteria must be met in that one row. If 'Risks' and 'Opportunities' are reported in separate rows (separate processes) all of the following criteria must be met in both rows:

iii) 'Qualitative and quantitative' selected in 'Type of assessment' in complete row where 'Risks' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' – 0.5 points

iv) 'Qualitative and quantitative' selected in 'Type of assessment' In complete row where 'Opportunities' is selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered...' – 0.5 points

Best row(s) scored.

A maximum of 2.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 12	0 or 12	4	4	5	5	2.5	2.5

(2.2.7) - Are the interconnections between environmental dependencies, impacts, risks and/or opportunities assessed?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

2.2.7 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Table completed – 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

'Yes' selected in column 'Interconnections between environmental dependencies, impacts, risks and/or opportunities assessed' – 1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	1	1	0	0

(2.2.8) - Does your organization consider environmental information about your clients/investees as part of your due diligence and/or environmental dependencies, impacts, risks and/or opportunities assessment process?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

2.2.8 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 2 points is available for this question.

OR

ROUTE B)

Column 'We consider environmental information' not completed - 0/8 points

Awareness criteria

ROUTE A)

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'We consider environmental information' – 1 point

OR

'No, but we plan to do so within the next two years' selected in column 'We consider environmental information' – 0.5 points

A maximum of 1 point is available for this question.

OR

ROUTE B)

Column 'We consider environmental information' not completed - 0/2 points

Management criteria

ROUTE A)

Column 'We consider environmental information' completed - 0/0 points

OR

ROUTE B)

Column 'We consider environmental information' not completed - 0/2 points

Leadership criteria

ROUTE A)

'Yes' selected in column 'We consider environmental information' - 0/0 points

OR

ROUTE B)

'Yes' not selected in column 'We consider environmental information' - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2 or 8	1 or 0.5	1 or 2	0	0 or 2	0	0 or 1

(2.2.9) - Indicate the environmental information your organization considers about clients/investees as part of your due diligence and/or environmental dependencies, impacts, risks and/or opportunities assessment process, and how this influences decision-making.

Theme	Sector that scoring criteria apply to
W	FS

2.2.9 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points. A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:
'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 1 point is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:
Full Awareness points awarded AND 'Water' selected in column 'Environmental issues' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

i) Any of the following options selected in column 'Type of environmental information considered' – 1 point

- 'TNFD disclosures'
- 'Scope and content of water policy'
- 'Water withdrawal and/or consumption volumes'
- 'Water withdrawn from water stressed areas'
- 'Water discharge treatment data'
- 'Breaches to local water regulations'
- 'Impingements on the human right to water in communities'
- 'Access to WASH in the workplace'

ii) A figure greater than '0' selected in column '% of portfolio covered by the process in relation to total portfolio value' - 1 point

One row scored.

Leadership criteria

ROUTE A)

The following conditions must be met to be eligible for Leadership points:

Full Management points awarded AND

'Water' selected in column 'Environmental issues' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

Any option selected excluding 'Retail clients' in column 'Type of clients' in the corresponding portfolio row in question 1.10 AND Any of the following options selected in column 'Type of environmental information considered' -1 point:

- 'Water withdrawn from water stressed areas'
- 'Water withdrawal and/or consumption volumes'
- 'Impingements on the human right to water in communities'
- 'Access to WASH in the workplace'

OR

ROUTE B)

'Retail clients' selected in column 'Type of clients' in the corresponding portfolio row in question 1.10 AND any other option NOT selected in column 'Type of clients' in the corresponding portfolio row in question 1.10 - 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	1	1	1	1	1 or 0	1 or 0

Priority locations

(2.3) - Have you identified priority locations across your value chain?

Theme	Sector that scoring criteria apply to
F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

2.3 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Either 'Yes, we have identified priority locations' or 'Yes, we are currently in the process of identifying priority locations' selected in column 'Identification of priority locations' – 1 point

OR

'No, but we plan to do so within the next two years' selected in column 'Identification of priority locations' - 0.5 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	0.5 or 1	0.5 or 1	0	0	0	0

Definition of substantive impacts and risks

(2.4) - How does your organization define substantive effects on your organization?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

2.4 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 6 points is available for this question.

Awareness criteria

At least one row where 'Risks' is selected in column 'Effect type' completed - 1 point

One row scored.

Management criteria

Both 'Quantitative' AND 'Qualitative' selected in column 'Type of definition' in any complete row(s) where 'Risks' is selected in column 'Effect type' AND
Both 'Quantitative' AND 'Qualitative' selected in column 'Type of definition' in any complete row(s) where 'Opportunities' is selected in column 'Effect type' – 1 point

Best row(s) scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	1	1	1	1	0	0

Pollution Management Procedures

(2.5) - Does your organization identify and classify potential water pollutants associated with its activities that could have a detrimental impact on water ecosystems or human health?

Theme	Sector that scoring criteria apply to
W	GN/CN/CE/OG/EU/PF/FB/AC/CH/RE/ST/TS/ TO/TO-EPM/CG

2.5 - (GN/CN/CE/OG/EU/PF/FB/AC/CH/RE/ST/TS/ TO/TO-EPM/CG) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

OR

ROUTE B)

Column 'Identification and classification of water pollutants' not completed - 0/7 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option selected excluding 'Unknown' in column 'Identification and classification of potential water pollutants' – 2 points

A maximum of 2 points is available for this question.

OR

ROUTE B)

Column 'Identification and classification of potential water pollutants' not completed - 0/6 points

Management criteria

ROUTE A)

The following conditions must be met to be eligible for Management points:
Full Awareness points awarded AND

'Yes, we identify and classify our potential water pollutants' is selected in column 'Identification and classification of potential water pollutants'.

Column 'How potential water pollutants are identified and classified' provides the following:

- i) Details of the policies and processes your organization has in place to identify and classify potential water pollutants that may have detrimental impacts over water bodies and ecosystems AND details of an established standard followed by the company – 2 points
- ii) A description of the metrics and/or indicators used to identify pollutants – 1 point

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'Identification and classification of potential water pollutants' not completed - 0/6 points

Leadership criteria

ROUTE A)

Full Management points awarded - 1 point

OR

ROUTE B)

Column 'Identification and classification of potential water pollutants' not completed - 0/2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2 or 0	2 or 7	2 or 0	2 or 6	3 or 0	3 or 6	1 or 0	1 or 2

(2.5) - Does your organization identify and classify potential water pollutants associated with its activities that could have a detrimental impact on water ecosystems or human health?

Theme	Sector that scoring criteria apply to
W	MM/CO

2.5 - (MM/CO) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

OR

ROUTE B)

Column 'Identification and classification of water pollutants' not completed - 0/7 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option selected excluding 'Unknown' in column 'Identification and classification of potential water pollutants' – 2 points

A maximum of 2 points is available for this question.

OR

ROUTE B)

Full Disclosure points must be awarded to be eligible for Awareness points.

'Unknown' selected in column 'Identification and classification of potential water pollutants' – 0/5.5 points

OR

ROUTE C)

Column 'Identification and classification of potential water pollutants' not completed - 0/6 points

Management criteria

ROUTE A)

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

'Yes, we identify and classify our potential water pollutants' is selected in column

'Identification and classification of potential water pollutants'.

Column 'How potential water pollutants are identified and classified' provides the following:

i) Details of the policies and processes your organization has in place to identify and classify potential water pollutants that may have detrimental impacts over water bodies and ecosystems AND details of an established standard followed by the company – 2 points

ii) A description of the metrics and/or indicators used to identify pollutants – 1 point

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'Identification and classification of potential water pollutants' not completed - 0/6 points

Leadership criteria

ROUTE A)

Full Management points awarded - 1 point

OR

ROUTE B)

Column 'Identification and classification of potential water pollutants' not completed - 0/2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2 or 0	2 or 7	2 or 0	2 or 5.5 or 6	3 or 0	3 or 6	1 or 0	1 or 2

(2.5.1) - Describe how your organization minimizes the adverse impacts of potential water pollutants on water ecosystems or human health associated with your activities.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

2.5.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

- i) Any option selected excluding 'Other, please specify' in column 'Water pollutant category' – 1 point
- ii) Any option selected excluding 'Other, please specify' in column 'Value chain stage' – 1 point
- iii) Any option selected excluding both 'No formal procedure(s) in place' AND 'Other, please specify' in column 'Actions and procedures to minimize adverse impacts' - 2 points

A maximum of 4 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Any option selected excluding 'Procedure(s) under development R&D' in column 'Actions and procedures to minimize adverse impacts'.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

- i) At least one potential impact is explained for each potential water pollutant reported in column 'Description of water pollutant and potential impacts' – 1 point
- ii) A description of how the procedures selected manage the risks of potential impacts outlined is provided in column 'Please explain' - 1 point
- iii) A description of how success is measured and evaluated is provided in column 'Please explain' – 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Any Water pollution option excluding 'Other water pollution, please specify' selected in column 'Category of target & Quantitative metric' in question 9.15.2 - 1 point

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	3	3	3	3	1	1

Tailings dams management procedures

(2.6) - By river basin, what number of active and inactive tailings dams are within your control?

Theme	Sector that scoring criteria apply to
W	MM/CO

2.6 - (MM/CO) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/26.5 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'Not known' in column 'Country/area & River basin' – 4 points

A maximum of 4 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/14 points

Management criteria

ROUTE A)

Not scored.

OR

ROUTE B)

All columns not completed - 0/4 points

Leadership criteria

ROUTE A)
Not scored.

OR

ROUTE B)
All columns not completed - 0/2.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
8	8	4	4	0	0	0	0

(2.6.1) - Do you evaluate and classify the tailings dams under your control according to the consequences of their failure to human health and ecosystems?

Theme	Sector that scoring criteria apply to
W	MM/CO

2.6.1 - (MM/CO) Scoring criteria

Disclosure criteria

ROUTE A)
Points will be awarded per completed cell in proportion to the number of rows disclosed.
Partially completed rows will not receive full points.

A maximum of 4 points is available for this question.

OR

ROUTE B)
Column 'Evaluation of the consequences of tailings dam failure' not completed - 0/9.5 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes, we evaluate the consequences of tailings dam failure' selected in column 'Evaluation of the consequences of tailings dam failure' AND any option selected excluding 'Don't know' in column 'Tailings dams have been classified...' – 2 points

OR

ROUTE B)

'No, we do not currently evaluate the consequences of tailings dam failure but we plan to do so within the next two years' selected in column 'Evaluation of the consequences of tailings dam failure' – 0.5 points

OR

ROUTE C)

Column 'Evaluation of the consequences of tailings dam failure' not completed - 0/4 points

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Any of the following options selected in column 'Evaluation/Classification guidelines(s)':

- 'Australian National Committee on Large Dams (ANCOLD)'
- 'Canadian Dam Association (CDA)'
- 'Ordinance 70.389/17 -- Mining National Agency, Brazil'
- 'Ghana Minerals Commission (LI 2182)'
- 'South Africa SANS 10286'
- 'International Commission on Large Dams (ICOLD)'
- 'Global Industry Standard on Tailings Management (ICMM)'

i) The frequency that evaluations are revised is described in column 'Please explain' - 3 points

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Column 'Please explain' provides the following:

- i) The minimum level used to classify a dam as 'hazardous' – 0.5 points
- ii) How the classifications inform the management procedures followed – 1 point

A maximum of 1.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4 or 0	4 or 9.5	2 or 0.5 or 0	2 or 4	3	3	1.5	1.5

(2.6.2) - Provide details for all dams classified as 'hazardous' or 'highly hazardous'

Theme	Sector that scoring criteria apply to
W	MM/CO

2.6.2 - (MM/CO) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5.5 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Table completed - 2 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5.5	5.5	2	2	0	0	0	0

(2.6.3) - To manage the potential impacts to human health or water ecosystems associated with the tailings dams in your control, what procedures are in place for all of your dams?

Theme	Sector that scoring criteria apply to
W	MM/CO

2.6.3 - (MM/CO) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 9 points is available for this question.

Awareness criteria

Points will be awarded per row in proportion to the number of rows disclosed as follows:

- i) Any option excluding 'No management procedure applies to all tailings dams' selected in column 'Procedure' - 6 points

A maximum of 6 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

Details of the procedures in place to manage the potential impacts to human health or water ecosystems associated with your tailings dams - 1 point

A maximum of 1 point is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

At least one of the following options selected in column 'Detail of the procedure' - 1 point

- 'Establishment of company-wide standards for acceptable risk levels that follow a company policy to eliminate or minimize water-related risks associated with tailings dams'
- 'An operating plan that considers the consequences of breaching the operating constraints of the dam'
- 'An operating plan that is aligned with your established acceptable risk levels and critical controls framework'
- 'An assurance program that includes an external audit covering the life of facility or the operating plans'
- 'Inclusion of the results from external audits of operating plans or life of facility plans into the change management process'
- 'Inclusion of a change management process in the assurance program'
- 'A policy to eliminate or minimize water-related risks associated with tailings dams is approved by a C-suite officer'
- 'The operating plan and the life of facility plan are approved by a C-suite officer'
- 'The results of the assurance program and the change management process are approved by a C-suite officer'

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	6	6	1	1	1	1

Module 3 – Disclosure of dependencies, risks, and opportunities

Risk Disclosure

(3.1) - Have you identified any environmental risks which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

3.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes, both in direct operations and upstream/downstream value chain' selected in column 'Environmental risks identified'

OR

Any option selected excluding 'Yes, both in direct operations and upstream/downstream value chain' in column 'Environmental risks identified' AND

Either 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' OR 'Evaluation in progress' selected in column 'Primary reason why...' - 1 point

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes, both in direct operations and upstream/downstream value chain' selected in column 'Environmental risks identified' – 3/3 points

OR

ROUTE B)

Any option selected excluding 'Yes, both in direct operation and upstream/downstream value chain' in column 'Environmental risks identified' AND

'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why...' AND

'Yes' selected in column 'Process in place' in either question 2.2 OR 2.2.1 AND

Full Management points awarded in question 2.2.2 AND

Full Management points awarded in question 2.4 – 2/2 points

OR

ROUTE C)

Any option selected excluding 'Yes, both in direct operations and upstream/downstream value chain' in column 'Environmental risks identified' AND

'Evaluation in progress' selected in column "Primary reason why..." - 1/3 points

Leadership criteria

For 'Water' row(s):

ROUTE A)

Any option selected excluding 'No' in column 'Environmental risks identified' - 0/0 points

OR

ROUTE B)

'No' selected in column 'Environmental risks identified' AND 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why... ' AND 2/2 Management points awarded - 0/0 points

OR

ROUTE C)

'No' selected in column 'Environmental risks identified' AND Any option selected excluding 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why... ' - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	3 or 2 or 1	3 or 2	0	0 or 1

(3.1) - Have you identified any environmental risks which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future?

Theme	Sector that scoring criteria apply to
W	FS

3.1 - (FS) Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes, both within our direct operations or upstream value chain, and within our portfolio' selected in column 'Environmental risks identified'

OR

Any option selected excluding 'Yes, both within our direct operations or upstream value chain, and within our portfolio' in column 'Environmental risks identified' AND either 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' OR 'Evaluation in progress' selected in column 'Primary reason why...' - 1 point

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes, both within our direct operations or upstream value chain, and within our portfolio' selected in column 'Environmental risks identified' – 3/3 points

OR

ROUTE B)

Any option selected excluding 'Yes, both within our direct operations or upstream value chain, and within our portfolio' in column 'Environmental risks identified' AND 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why...' AND

'Yes' selected in column 'Process in place covering this portfolio' in either question 2.2.4 OR 2.2.5 AND

Full Management points awarded in question 2.2.6 AND

Full Management points awarded in question 2.4 – 2/2 points

OR

ROUTE C)

Any option selected excluding 'Yes, both within our direct operations or upstream value chain, and within our portfolio' in column 'Environmental risks identified' AND 'Evaluation in progress' selected in column 'Primary reason why...'- 1/3 points

Leadership criteria

For 'Water' row(s):

ROUTE A)

Any option selected excluding 'No' in column 'Environmental risks identified' - 0/0 points

OR

ROUTE B)

'No' selected in column 'Environmental risks identified' AND 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why...' AND 2/2 Management points awarded - 0/0 points

OR

ROUTE C)

'No' selected in column 'Environmental risks identified' AND Any option selected excluding 'Environmental risks exist, but none with the potential to have a substantive financial effect on our organization' selected in column 'Primary reason why...' - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	3 or 2 or 1	3 or 2	0	0 or 1

(3.1.1) - Provide details of the environmental risks identified which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future.

Theme	Sector that scoring criteria apply to
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W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG
---	--

3.1.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

Points will be awarded per completed row in proportion to the number of rows disclosed (excluding both columns 'Cost of response to risk' AND 'Explanation of cost calculation') as follows:

Any option excluding 'Unknown' selected in the following columns:

- 'Time horizon...'
- 'Likelihood of the risk having an effect within the anticipated time horizon'.
- 'Magnitude'

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

The following conditions must be met to be eligible for Management points:

- Full Awareness points awarded (per row) AND
- Full Management points awarded in question 2.2.2 AND
- 'Risks' selected in column 'Indicate which of dependencies, impacts, risks, and opportunities are covered by the process for this environmental issue' in question 2.2.2.

Points awarded for one complete row as follows:

i) All of the following are met – 1 point

'Yes' selected in column 'Are you able to quantify the financial effect of the risk?'

AND

A figure greater than 0 is provided in the following combination of columns (where applicable as per selection(s) in column 'Time horizon over which the risk is anticipated to have a substantive effect on the organization'):

- 'Financial effect figure in the reporting year – minimum (currency)' AND 'Financial effect figure in the reporting year – maximum (currency)'
- 'Anticipated financial effect figure in the short-term – minimum (currency)' AND 'Anticipated financial effect figure in the short-term – maximum (currency)'
- 'Anticipated financial effect figure in the medium-term – minimum (currency)' AND 'Anticipated financial effect figure in the medium-term – maximum (currency)'
- 'Anticipated financial effect figure in the long-term – minimum (currency)' AND 'Anticipated financial effect figure in the long-term – maximum (currency)'

AND

A breakdown of how the figures provided in all of the applicable financial effect figure columns were calculated is provided in column 'Explanation of financial effect figure'

ii) Figure provided in column 'Cost of response to risk' AND A breakdown of how the figure provided in column 'Cost of response to risk' was calculated is provided in column 'Explanation of cost calculation' - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

Full Management points awarded - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 8	0 or 8	0 or 7	0 or 7	0 or 2	0 or 2	0 or 1	0 or 1

(3.1.1) - Provide details of the environmental risks identified which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future.

Theme	Sector that scoring criteria apply to
W	FS

3.1.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

Points will be awarded per completed row in proportion to the number of rows disclosed (excluding both columns 'Cost of response to risk' AND 'Explanation of cost calculation') as follows:

Any option excluding 'Unknown' selected in the following columns:

- 'Time horizon...'
- 'Likelihood of the risk having an effect within the anticipated time horizon'.
- 'Magnitude'

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

The following conditions must be met to be eligible for Management points:

- Full Awareness points awarded (per row) AND

- Full Management points awarded in both questions 2.2.2 AND 2.2.5
- Either 'Risks only' OR 'Both risks and opportunities' selected in column 'Risks and/or opportunities related to this portfolio are evaluated in this process' in any row in question 2.2.5.

For any row where at least one of the following options selected in column 'Value chain stage where the risk occurs':

- 'Banking (Bank) portfolio'
- 'Investing (Asset manager) portfolio'
- 'Investing (Asset owner) portfolio'
- 'Insurance underwriting portfolio'

i) All of the following are met – 1 point

'Yes' selected in column 'Are you able to quantify the financial effect of the risk?'

AND

A figure greater than 0 is provided in the following combination of columns (where applicable as per selection(s) in column 'Time horizon over which the risk is anticipated to have a substantive effect on the organization'):

- 'Financial effect figure in the reporting year – minimum (currency)' AND 'Financial effect figure in the reporting year – maximum (currency)'
- 'Anticipated financial effect figure in the short-term – minimum (currency)' AND 'Anticipated financial effect figure in the short-term – maximum (currency)'
- 'Anticipated financial effect figure in the medium-term – minimum (currency)' AND 'Anticipated financial effect figure in the medium-term – maximum (currency)'
- 'Anticipated financial effect figure in the long-term – minimum (currency)' AND 'Anticipated financial effect figure in the long-term – maximum (currency)'

AND

A breakdown of how the figures provided in all of the applicable financial effect figure columns were calculated is provided in column 'Explanation of financial effect figure'

ii) Figure provided in column 'Cost of response to risk' AND A breakdown of how the figure provided in column 'Cost of response to risk' was calculated is provided in column 'Explanation of cost calculation' - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the risk relates to':

For each Portfolio where 'Yes' is selected in column 'Activity undertaken' in question 1.10 a corresponding option is selected in column 'Value chain stage where the risk occurs' AND Full Management points awarded - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the risk relates to' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 8	0 or 8	0 or 7	0 or 7	0 or 2	0 or 2	0 or 1	0 or 1

(3.1.2) - Provide the amount and proportion of your financial metrics in the reporting year that are vulnerable to the substantive effects of environmental risks.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

3.1.2 - Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 7 points available is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
7	7	0	0	0	0	0	0

(3.2) - Within each river basin, how many facilities are exposed to water-related risks that could have substantive effects on your organization and what percentage of your total number of facilities does this represent ?

Theme	Sector that scoring criteria apply to
W	GN/CN/CE/PF/FB/AC/CH/RE/ST/TS/TO/ TO-EPM/CG

3.2 - (GN/CN/CE/PF/FB/AC/CH/RE/ST/TS/TO/ TO-EPM/CG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed excluding the following columns:

- 'Production value for the metals & mining activities associated with these facilities'

- '% company's annual electricity generation that could be affected by these facilities'
- '% company's global oil & gas production volume that could be affected by these facilities'

A maximum of 8 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
8	8	0	0	0	0	0	0

(3.2) - Within each river basin, how many facilities are exposed to water-related risks that could have substantive effects on your organization and what percentage of your total number of facilities does this represent ?

Theme	Sector that scoring criteria apply to
W	MM/CO

3.2 - (MM/CO) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed excluding the following columns:

- '% company's annual electricity generation that could be affected by these facilities'

- '% company's global oil & gas production volume that could be affected by these facilities'

A maximum of 9 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	0	0	0	0	0	0

(3.2) - Within each river basin, how many facilities are exposed to water-related risks that could have substantive effects on your organization and what percentage of your total number of facilities does this represent ?

Theme	Sector that scoring criteria apply to
W	EU

3.2 - (EU) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed excluding the following columns:

- 'Production value for the metals & mining activities associated with these facilities'
- '% company's global oil & gas production volume that could be affected by these facilities'

A maximum of 9 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	0	0	0	0	0	0

(3.2) - Within each river basin, how many facilities are exposed to water-related risks that could have substantive effects on your organization and what percentage of your total number of facilities does this represent ?

Theme	Sector that scoring criteria apply to
W	OG

3.2 - (OG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed excluding the following columns:

- 'Production value for the metals & mining activities associated with these facilities'
- '% company's annual electricity generation that could be affected by these facilities'

A maximum of 9 points is available for this question.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	0	0	0	0	0	0

(3.3) - In the reporting year, was your organization subject to any fines, enforcement orders, and/or other penalties for water-related regulatory violations?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

3.3 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed. Partially completed rows will not receive full points.

A maximum of 2 points is available for this question.

OR

ROUTE B)

Column 'Water-regulated violations' not completed - 0/7 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option excluding 'Unknown' selected in column 'Water-related regulatory violations' - 1 point

OR

ROUTE B)

Column 'Water-related regulatory violations' not completed - 0/3 points

Management criteria

ROUTE A)

'No' selected in column 'Water-related regulatory violations' - 1/1 point

OR

ROUTE B)

'Unknown' selected in column 'Water-related regulatory violations' – 0/1 point

OR

ROUTE C)

Only either 'Fines, but none that are considered as significant' OR 'Enforcement orders or other penalties but none that are considered as significant' selected in column 'Fines, enforcement orders, and/or other penalties' – 0.5/0.5 points

OR

ROUTE D)

Either 'Fines' OR 'Enforcement orders or other penalties' selected in column 'Fines, enforcement orders, and/or other penalties' - 0/0 points

Leadership criteria

ROUTE A)

'No' selected in column 'Water-related regulatory violations' – 0/0 points

OR

ROUTE B)

Any option selected excluding 'No' in column 'Water-related regulatory violations' - 0/1.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 2	2 or 7	0 or 1	1 or 3	0 or 0.5 or 1	0 or 0.5 or 1	0	0 or 1.5

(3.3.1) - Provide the total number and financial value of all water-related fines.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

3.3.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Table completed – 1 point

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	1	1	0	0	0	0

(3.3.2) - Provide details for all significant fines, enforcement orders and/or other penalties for water-related regulatory violations in the reporting year, and your plans for resolving them.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

3.3.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 6 points is available for this question.

Awareness criteria

Table completed – 1 point

Management criteria

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Column 'Description of penalty, incident, regulatory violation, significance, and resolution' provides the following:

A description of how the issue has been resolved OR what is being planned to resolve it - 1 point

A maximum of 1 point is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	1	1	1	1	0	0

Opportunities Disclosure

(3.6) - Have you identified any environmental opportunities which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

3.6 - Scoring criteria

Disclosure criteria

For 'Water' row(s):

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'Environmental opportunities identified' not completed - 0/15 points

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes, we have identified opportunities, and some/all are being realized' selected in column 'Environmental opportunities identified'

OR

'Yes, we have identified opportunities but are unable to realize them' selected in column 'Environmental opportunities identified'

OR

'No' selected in column 'Environmental opportunities identified' AND 'Opportunities exist, but none anticipated to have a substantive effect on organization' OR 'Evaluation in progress' selected in column 'Primary reason why....' - 1 point

OR

ROUTE B)

Column 'Environmental opportunities identified' not completed - 0/6 points

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes, we have identified opportunities, and some/all are being realized' selected in column 'Environmental opportunities identified' – 1/1 point

OR

ROUTE B)

'Yes, we have identified opportunities but are unable to realize them' selected in column 'Environmental opportunities identified' AND all the following criteria are met:
'Yes' selected in column 'Process in place' in either question 2.2 OR 2.2.1 AND
Full Management points awarded in question 2.2.2 AND
Full Management points awarded in question 2.4 – 1/1 point

OR

ROUTE C)

'No' selected in column 'Environmental opportunities identified' AND 'Opportunities exist, but none anticipated to have a substantive effect on organization' selected in column 'Primary reason why...' AND
all the following criteria are met:
'Yes' selected in column 'Process in place' in either question 2.2 OR 2.2.1 AND
Full Management points awarded in question 2.2.2 AND
Full Management points awarded in question 2.4 – 1/1 point

OR

ROUTE D)

'No' selected in column 'Environmental opportunities identified' AND 'Evaluation in progress' selected in column 'Primary reason why...' – 1/3 points

OR

ROUTE E)

Column 'Environmental opportunities identified' not completed - 0/5 points

Leadership criteria

For 'Water' row(s):

Full Management points must be awarded to be eligible for Leadership points.

ROUTE A)

'No' selected in column 'Environmental opportunities identified' AND 'Opportunities exist, but none with potential to have a substantive financial or strategic effect on business' selected in column 'Primary reason why...' AND

all the following criteria are met:

'Yes' selected in column 'Process in place' in either question 2.2 OR 2.2.1 AND

Full Management points awarded in question 2.2.2 AND

Full Management points awarded in question 2.4 – 1/1 point

OR

ROUTE B)

Any option selected excluding 'No' in column 'Environmental opportunities identified' - 0/0 points

OR

ROUTE C)

Column 'Environmental opportunities identified' not completed - 0/2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	3 or 15	0 or 1	1 or 6	0 or 1	1 or 3 or 5	0 or 1	0 or 1 or 2

(3.6.1) - Provide details of the environmental opportunities identified which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

3.6.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

Points will be awarded per completed cell in proportion to the number of rows disclosed.
Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the opportunity relates to' in any row –
0/0 points.

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

Points will be awarded per completed row in proportion to the number of rows disclosed
(excluding both columns 'Cost to realize opportunity' AND 'Explanation of cost calculation')
as follows:

Any option excluding 'Unknown' selected in the following columns:

- 'Time horizon...'
- 'Likelihood of the opportunity having an effect within the anticipated time horizon'.
- 'Magnitude'

A maximum of 5 points is available for the question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the opportunity relates to' in any row –
0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded (per row) AND

At least 3 Management points awarded in 2.2.2

Points awarded for one complete row as follows:

i) All of the following are met – 1 point

'Yes' selected in column 'Are you able to quantify the financial effect of the opportunity?'

AND

A figure greater than 0 is provided in the following combination of columns (where applicable as per selection(s) in column 'Time horizon over which the opportunity is anticipated to have a substantive effect on the organization'):

- 'Financial effect figure in the reporting year – minimum (currency)' AND 'Financial effect figure in the reporting year – maximum (currency)'

- 'Anticipated financial effect figure in the short-term – minimum (currency)' AND 'Anticipated financial effect figure in the short-term – maximum (currency)'

- 'Anticipated financial effect figure in the medium-term – minimum (currency)' AND 'Anticipated financial effect figure in the medium-term – maximum (currency)'

- 'Anticipated financial effect figure in the long-term – minimum (currency)' AND 'Anticipated financial effect figure in the long-term – maximum (currency)'

AND

A breakdown of how the figures provided in all of the applicable financial effect figure columns were calculated is provided in column 'Explanation of financial effect figure'

ii) Figure provided in column 'Cost to realize opportunity' AND a breakdown of how the figure provided in column 'Cost to realize opportunity' was calculated is provided in column 'Explanation of cost calculation' - 1 point

OR

ROUTE B)

'Forests' NOT selected in column 'Environmental issue the opportunity relates to' in any row
– 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue...':

Full Management points awarded - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue...' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 5	0 or 5	0 or 2	0 or 2	0 or 1	0 or 1

(3.6.1) - Provide details of the environmental opportunities identified which have had a substantive effect on your organization in the reporting year, or are anticipated to have a substantive effect on your organization in the future.

Theme	Sector that scoring criteria apply to
W	FS

3.6.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the opportunity relates to' in any row – 0/0 points.

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

Points will be awarded per completed row in proportion to the number of rows disclosed (excluding both columns 'Cost to realize opportunity' AND 'Explanation of cost calculation') as follows:

Any option excluding 'Unknown' selected in the following columns:

- 'Time horizon...'
- 'Likelihood of the opportunity having an effect within the anticipated time horizon'.
- 'Magnitude'

A maximum of 5 points is available for the question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue the opportunity relates to' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue the opportunity relates to':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded (per row) AND

At least 3 Management points awarded in 2.2.2

For any row where at least one of the following options selected in column 'Value chain stage where the opportunity occurs':

- 'Banking portfolio '
- 'Investing (Asset manager) portfolio'
- 'Investing (Asset owner) portfolio'
- 'Insurance underwriting portfolio'

i) All of the following are met – 1 point

'Yes' selected in column 'Are you able to quantify the financial effect of the opportunity?'

AND

A figure greater than 0 is provided in the following combination of columns (where applicable as per selection(s) in column 'Time horizon over which the opportunity is anticipated to have a substantive effect on the organization'):

- 'Financial effect figure in the reporting year – minimum (currency)' AND 'Financial effect figure in the reporting year – maximum (currency)'
- 'Anticipated financial effect figure in the short-term – minimum (currency)' AND 'Anticipated financial effect figure in the short-term – maximum (currency)'
- 'Anticipated financial effect figure in the medium-term – minimum (currency)' AND 'Anticipated financial effect figure in the medium-term – maximum (currency)'
- 'Anticipated financial effect figure in the long-term – minimum (currency)' AND 'Anticipated financial effect figure in the long-term – maximum (currency)'

AND

A breakdown of how the figures provided in all of the applicable financial effect figure columns were calculated is provided in column 'Explanation of financial effect figure'

ii) Figure provided in column 'Cost to realize opportunity' AND a breakdown of how the figure provided in column 'Cost to realize opportunity' was calculated is provided in column 'Explanation of cost calculation' - 1 point

OR

ROUTE B)

'Water change' NOT selected in column 'Environmental issue the opportunity relates to' in any row – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue...':

For each Portfolio where 'Yes' is selected in column 'Activity undertaken' in question 1.10 a corresponding option is selected in column 'Value chain stage where the opportunity occurs'
AND

Full Management points awarded - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue...' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 5	0 or 5	0 or 2	0 or 2	0 or 1	0 or 1

(3.6.2) - Provide the amount and proportion of your financial metrics in the reporting year that are aligned with the substantive effects of environmental opportunities

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

3.6.2 - Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

OR

ROUTE B)

'Water 'NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 5	0 or 5	0	0	0	0	0	0

Module 4 - Governance

Board oversight

(4.1) - Does your organization have a board of directors or an equivalent governing body?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

4.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding column 'Attach the policy (optional)').

A maximum of 5 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/19 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

i) 'Yes' selected in column 'Board of directors or equivalent governing body' AND
Either 'Yes, and it is publicly available' OR 'Yes, but it is not publicly available' selected in
column 'Board diversity and inclusion policy' – 1 point

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' – 0/0 points

OR

ROUTE C)

All columns not completed - 0/5 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes, and it is publicly available' selected in column 'Board diversity and inclusion policy' – 1 point

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' – 0/0 points

OR

ROUTE C)

All columns not completed - 0/6 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

All columns not completed - 0/2.5 points

Point Allocation

Disclosure	Disclosure	Awareness	Awareness	Management	Management	Leadership	Leadership
------------	------------	-----------	-----------	------------	------------	------------	------------

numerator	denominator	numerator	denominator	numerator	denominator	numerator	denominator
5	5 or 19	0 or 1	0 or 1 or 5	0 or 1	0 or 1 or 6	0	0 or 2.5

(4.1) - Does your organization have a board of directors or an equivalent governing body?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

4.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding column 'Attach the policy (optional)').

A maximum of 5 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/19 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

i) 'Yes' selected in column 'Board of directors or equivalent governing body' AND Either 'Yes, and it is publicly available' OR 'Yes, but it is not publicly available' selected in column 'Board diversity and inclusion policy' – 1 point

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' – 0/0 points

OR

ROUTE C)

All columns not completed - 0/5 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes, and it is publicly available' selected in column 'Board diversity and inclusion policy' – 1 point

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' – 0/0 points

OR

ROUTE C)

All columns not completed - 0/7 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

All columns not completed - 0/3.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5 or 19	0 or 1	0 or 1 or 5	0 or 1	0 or 1 or 6	0	0 or 3.5

(4.1.1) - Is there board-level oversight of environmental issues within your organization?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/

4.1.1 - Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

For 'Water' row(s):

'Yes' selected in column 'Board-level oversight of this environmental issue' – 1 point

OR

ROUTE B)

For 'Water' row(s):

'No, but we plan to within the next two years' selected in column 'Board-level oversight of this environmental issue' – 0.5 points

Management criteria

For 'Water' row(s):

'Yes' selected in column 'Board-level oversight of this environmental issue' – 1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	0.5 or 1	1	1	1	0	0

(4.1.2) - Identify the position(s) (do not include any names) of the individual(s) or committees on the board with accountability for environmental issues and provide details on the board's oversight of environmental issues.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

4.1.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

i) Any option selected excluding 'Other, please specify' in column 'Position of individual or committees...' – 1 point

ii) Any option selected excluding 'Sporadic – agenda item as important matters arise' in column 'Frequency with which this environmental issue...' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

i) 'Yes' selected in column 'Positions' accountability for this environmental issue is outlined...' – 1 point

ii) 'Scheduled agenda item in every board meeting' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' AND any of the following selected in column 'Frequency with which the board or equivalent meets' in question 4.1 – 1 point

- 'More frequently than quarterly'

- 'Quarterly'

- 'Half-yearly'

OR

'Scheduled agenda item in every board meeting' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' AND 'Annually' selected in column 'Frequency with which the board or equivalent meets' in question 4.1 – 0.5 points

OR

'Scheduled agenda item in some board meetings - at least annually' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' – 0.5 points

iii) Three or more options selected excluding 'Other, please specify' in column 'Governance mechanisms into which this environmental issue is integrated' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 6	0 or 6	0 or 2	0 or 2	0 or 3	0 or 3	0	0

(4.1.2) - Identify the position(s) (do not include any names) of the individual(s) or committees on the board with accountability for environmental issues and provide details on the board's oversight of environmental issues.

Theme	Sector that scoring criteria apply to
W	FS

4.1.2 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

i) Any option selected excluding 'Other, please specify' in column 'Position of individual or committees...' – 1 point

ii) Any option selected excluding 'Sporadic – agenda item as important matters arise' in column 'Frequency with which this environmental issue...' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

i) 'Yes' selected in column 'Position's accountability for this environmental issue is outlined...' – 1 point

ii) 'Scheduled agenda item in every board meeting' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' AND any of the following selected in column 'Frequency with which the board or equivalent meets' in question 4.1 – 1 point

- 'More frequently than quarterly'

- 'Quarterly'

- 'Half-yearly'

OR

'Scheduled agenda item in every board meeting' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' AND 'Annually' selected in column 'Frequency with which the board or equivalent meets' in question 4.1 – 0.5 points

OR

'Scheduled agenda item in some board meetings - at least annually' selected in column 'Frequency with which this environmental issue is a scheduled agenda item' – 0.5 points

iii) Three or more options selected excluding 'Other, please specify' in column 'Governance mechanisms into which this environmental issue is integrated' – 1 point

iv) Any of the following selected in column 'Scope of board-level oversight' - 1 point

- 'Risks and opportunities to our own operations'

- 'Risks and opportunities to our banking activities'
- 'Risks and opportunities to our investment activities'
- 'Risks and opportunities to our insurance underwriting activities'

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Any of the following selected in column 'Scope of board-level oversight' – 1 point

- 'The impact of our own operations on the environment'
- 'The impact of our banking activities on the environment'
- 'The impact of our investing activities on the environment'
- 'The impact of our insurance underwriting activities on the environment'

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 6	0 or 6	0 or 2	0 or 2	0 or 4	0 or 4	0 or 1	0 or 1

Board competency

(4.2) - Does your organization's board have competency on environmental issues?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

4.2 - Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 5 points is available for this question.

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

i) Either 'Yes' OR 'No, but we plan to address this in the next two years' selected in column 'Board-level competency on this environmental issue' - 1 point

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes' selected in column 'Board-level competency on this environmental issue' – 1 point

OR

ROUTE B)

'No, but we plan to address this within the next two years' selected in column 'Board-level competency on this environmental issue' - 0.5 points

Leadership criteria

For 'Water' row(s):

Full Management points must be awarded to be eligible for Leadership points.

i) 'Consulting regularly with an internal, permanent, subject-expert working group' selected in column 'Mechanisms to maintain an environmentally competent board' – 0.5 points

ii) 'Engaging regularly with external stakeholders and experts on environmental issues' selected in column 'Mechanisms to maintain an environmentally competent board' – 0.5 points

iii) 'Integrating knowledge of environmental issues into board nominating process' selected in column 'Mechanisms to maintain an environmentally competent board' – 0.5 points

iv) 'Regular training for directors on environmental issues, industry best practice, and standards (e.g., TCFD, SBTi)' selected in column 'Mechanisms to maintain an environmentally competent board' – 0.5 points

v) 'Having at least one board member with expertise on this environmental issue' selected in column 'Mechanisms to maintain an environmentally competent board' – 0.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	1	1	0.5 or 1	1	2.5	2.5

Management responsibility

(4.3) - Is there management-level responsibility for environmental issues within your organization?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

4.3 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/10 points

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes' selected in column 'Management-level responsibility for this environmental issue' – 1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Management-level responsibility for this environmental issue' – 0.5 points

OR

ROUTE C)

Column 'Management-level responsibility for this environmental issue' not completed - 0/2 points

Management criteria

For 'Water' row(s):

ROUTE A)

'Yes' selected in column 'Management-level responsibility for this environmental issue' – 1 point

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/4 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3 or 10	0.5 or 1	1 or 2	1	1 or 4	0	0 or 1

(4.3) - Is there management-level responsibility for environmental issues within your organization?

Theme	Sector that scoring criteria apply to
W	FS

4.3 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/10 points

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes' selected in column 'Management-level responsibility for this environmental issue' – 1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Management-level responsibility for this environmental issue' – 0.5 points

OR

ROUTE C)

Column 'Management-level responsibility for this environmental issue' not completed - 0/2 points

Management criteria

For 'Water' row(s):

ROUTE A)

'Yes' selected in column 'Management-level responsibility for this environmental issue' – 1 point

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/3 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Management-level responsibility for this environmental issue' not completed - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3 or 10	0.5 or 1	1 or 2	1	1 or 3	0	0 or 1

(4.3.1) - Provide the highest senior management-level positions or committees with responsibility for environmental issues (do not include the names of individuals).

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

4.3.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

ROUTE A)

'Yes' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Disclosure points must be awarded to be eligible for Awareness points.

Any of the following options selected in column 'Frequency of reporting...' – 1 point

- 'More frequently than quarterly'
- 'Quarterly'
- 'Half-yearly'
- 'Annually'

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Disclosure points awarded – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Full Awareness points must be awarded to be eligible for Management points .

i) Any of the following options selected in column 'Position of individual or committee with responsibility' – 1 point

- 'Chief Executive Officer (CEO)'
- 'Chief Financial Officer (CFO)'
- 'Chief Operating Officer (COO)'
- 'Chief Procurement Officer (CPO)'
- 'Chief Risks Officer (CRO)'
- 'Chief Sustainability Officer' (CSO)'
- 'Chief Government Relations Officer (CGRO)'
- 'Chief Technology Officer (CTO)'
- 'Chief Compliance Officer (CCO)'
- 'Other C-Suite Officer'
- 'President'
- 'General Counsel'

ii) Three or more options selected in column 'Environmental responsibilities of this position'
– 1 point

iii) 'Yes' selected in column 'Board of directors or equivalent governing body' in question 4.1
AND 'Reports to the board directly' selected in column 'Reporting line'

OR

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1 AND
'Reports to the Chief Executive Officer (CEO)' selected in column 'Reporting line'

OR

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1 AND
'Chief Executive Officer (CEO)' selected in column 'Position of individual or committee with responsibility' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

'Yes' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Management points must be awarded to be eligible for Leadership points.

For each of the following selections in column 'Environmental responsibilities of this position' the following conditions are met – 1 point

- 'Managing public policy engagement related to environmental issues' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding public policy engagement' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing value chain engagement related to environmental issues' selected in column 'Environmental responsibilities of this position' AND 'Overseeing and guiding value chain engagement' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Assessing environmental dependencies, impacts, risks and opportunities' selected in column 'Environmental responsibilities of this position AND 'Reviewing and guiding the assessment process for dependencies, impacts, risks, and opportunities' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Implementing the business strategy related to environmental issues' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding the development of a business strategy' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing acquisitions, mergers, and divestitures related to environmental issues' strategy' selected in column 'Environmental responsibilities of this position AND 'Overseeing acquisitions, mergers, and divestitures' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing major capital and/or operational expenditures relating to environmental issues' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding major capital expenditures' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.

- 'Measuring progress towards environmental corporate targets' selected in column 'Environmental responsibilities of this position AND 'Monitoring progress towards corporate targets' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Setting corporate environmental targets' selected in column 'Environmental responsibilities of this position AND 'Overseeing the setting of corporate targets' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Providing employee incentives related to environmental performance' selected in column 'Environmental responsibilities of this position AND 'Approving and/or overseeing employee incentives' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Conducting environmental scenario analysis' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding scenario analysis' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Management points awarded – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 1	0 or 1	0 or 3	0 or 3	0 or 1	0 or 1

(4.3.1) - Provide the highest senior management-level positions or committees with responsibility for environmental issues (do not include the names of individuals).

Theme	Sector that scoring criteria apply to
W	FS

4.3.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

ROUTE A)

'Yes' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Disclosure points must be awarded to be eligible for Awareness points.

Any of the following options selected in column 'Frequency of reporting...' – 1 point

- 'More frequently than quarterly'
- 'Quarterly'
- 'Half-yearly'
- 'Annually'

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Disclosure points awarded – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Any option selected excluding 'Dependencies, impacts, risks and opportunities related to our own operations and/or upstream value chain' in column 'Coverage of responsibilities' AND

Any of the following options selected in column 'Environmental responsibilities of this position':

- 'Assessing future trends in environmental dependencies, impacts, risks, and opportunities'
- 'Assessing environmental dependencies, impacts, risks, and opportunities'
- 'Managing environmental dependencies, impacts, risks, and opportunities'

i) Any of the following options selected in column 'Position of individual or committee with responsibility' - 1 point

- 'Chief Executive Officer (CEO)'
- 'Chief Financial Officer (CFO)'
- 'Chief Operating Officer (COO)'
- 'Chief Procurement Officer (CPO)'
- 'Chief Risks Officer (CRO)'
- 'Chief Sustainability Officer (CSO)'
- 'Chief Investment Officer (CIO)'
- 'Chief Credit Officer (CCO)'
- 'Chief Underwriting Officer (CUO)'
- 'Chief Government Relations Officer (CGRO)'

- 'Chief Technology Officer (CTO)'
- 'Chief Compliance Officer (CCO)'
- 'Other C-Suite Officer'
- 'President'
- 'General Counsel'

ii) Three or more options excluding 'Other, please specify' selected in column 'Environmental responsibilities of this position' - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

'Yes' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Management points must be awarded to be eligible for Leadership points.

For each of the following selections in column 'Environmental responsibilities of this position' the following conditions are met – 1 point

- 'Managing public policy engagement related to environmental issues' selected in column 'Environmental responsibilities of this position' AND 'Overseeing and guiding public policy engagement' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing value chain engagement related to environmental issues' selected in column 'Environmental responsibilities of this position' AND 'Overseeing and guiding value chain engagement' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Assessing environmental dependencies, impacts, risks and opportunities' selected in column 'Environmental responsibilities of this position' AND 'Reviewing and guiding the assessment process for dependencies, impacts, risks, and opportunities' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.

- 'Implementing the business strategy related to environmental issues' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding the development of a business strategy' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing acquisitions, mergers, and divestitures related to environmental issues' strategy' selected in column 'Environmental responsibilities of this position AND 'Overseeing acquisitions, mergers, and divestitures' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Managing major capital and/or operational expenditures relating to environmental issues' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding major capital expenditures' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Measuring progress towards environmental corporate targets' selected in column 'Environmental responsibilities of this position AND 'Monitoring progress towards corporate targets' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Setting corporate environmental targets' selected in column 'Environmental responsibilities of this position AND 'Overseeing the setting of corporate targets' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Providing employee incentives related to environmental performance' selected in column 'Environmental responsibilities of this position AND 'Approving and/or overseeing employee incentives' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.
- 'Conducting environmental scenario analysis' selected in column 'Environmental responsibilities of this position AND 'Overseeing and guiding scenario analysis' selected in column 'Governance mechanisms into which this environmental issue is integrated' in any row in question 4.1.2.

OR

ROUTE B)

'No' selected in column 'Board of directors or equivalent governing body' in question 4.1.

For rows where 'Water' is selected in column 'Environmental issues':

Full Management points awarded – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 1	0 or 1	0 or 2	0 or 2	0 or 1	0 or 1

Management competency

(4.4) - Does your organization have management-level competency on environmental issues?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.4 - Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

Either 'Yes' OR 'No, but we plan to address this in the next two years' selected in column

'Management-level competency on this environmental issue' - 1 point

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

i) 'Yes' selected in column 'Management-level competency on this environmental issue' – 1 point

ii) Any option selected excluding 'Other, please specify' in column 'Environmental expertise of the individual at management level' – 1 point

OR

ROUTE B)

'No, but we plan to address this within the next two years' selected in column 'Management-level competency on this environmental issue' – 0.5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	0.5 or 2	2	0	0

Incentives

(4.5) - Do you provide monetary incentives for the management of environmental issues, including the attainment of targets?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.5 - Scoring criteria

Disclosure criteria

For 'Water' row(s):

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/9 points

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes', selected in column 'Provision of monetary incentives...' – 1 point

OR

ROUTE B)

'No, but we plan to introduce them in the next two years' selected in column 'Provision of monetary incentives...' – 0.5 points

OR

ROUTE C)

All columns not completed - 0/2 points

Management criteria

For 'Water' row(s):

ROUTE A)

Full Awareness points must be awarded to be eligible for Management points.

'Yes' selected in column 'Provision of monetary incentives...' – 3 points

OR

ROUTE B)

All columns not completed - 0/6 points

Leadership criteria

For 'Water' row(s):

ROUTE A)

Full Management points must be awarded to be eligible for Leadership points.

A figure greater than or equal to 10 is provided in column 'Percentage of overall C-suite or board-level monetary incentive' – 1 point

OR

ROUTE B)

All columns not completed - 0/3 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2 or 9	0.5 or 1	1 or 2	3	3 or 6	1	1 or 3

(4.5.1) - Provide further details on the monetary incentives provided for the management of environmental issues (do not include the names of individuals).

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

4.5.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Any option selected excluding 'Other, please specify' in column 'Incentives' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Yes' selected in column 'Board of directors...' in question 4.1.

i) Any of the following options selected in column 'Position entitled to monetary incentive' – 1 point

- Board Chair
- Board/Executive board
- Director on board
- Corporate executive team
- Chief Executive Officer (CEO)
- Chief Financial Officer (CFO)
- Chief Operating Officer (COO)
- Chief Procurement Officer (CPO)
- Chief Risks Officer (CRO)
- Chief Sustainability Officer (CSO)
- Chief Government Relations Officer (CGRO)
- Chief Technology Officer (CTO)
- Chief Compliance Officer (CCO)
- Other C-Suite Officer
- President
- General Counsel

ii) Any option selected excluding any 'Other, please specify' option in column 'Performance metrics' – 1 point

iii) 'Approving and/or overseeing employee incentives' selected in column 'Governance mechanisms...' in question 4.1.2 – 1 point

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issues':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'No' selected in column 'Board of directors...' in question

4.1.

i) Any of the following options selected in column 'Position entitled to monetary incentive': –
1 point

- Corporate executive team
- Chief Executive Officer (CEO)
- Chief Financial Officer (CFO)
- Chief Operating Officer (COO)
- Chief Procurement Officer (CPO)
- Chief Risks Officer (CRO)
- Chief Sustainability Officer (CSO)
- Chief Government Relations Officer (CGRO)
- Chief Technology Officer (CTO)
- Chief Compliance Officer (CCO)
- Other C-Suite Officer
- President
- General Counsel

ii) Any option selected excluding 'Other, please specify' in column 'Performance metrics' – 1
point

iii) Option 'Providing employee incentives related to environmental performance' selected in
column 'Environmental responsibilities of this position' in question 4.3.1 – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

i) Either 'Long-Term Incentive Plan, or equivalent, only (e.g. contractual multi-year bonus)' OR

'Both Short-Term and Long-Term Incentive Plan, or equivalent' selected in column 'Incentive plan the incentives are linked to' - 1 point

OR

'Short-Term Incentive Plan, or equivalent, only (e.g. contractual annual bonus)' selected in column 'Incentive plan the incentives are linked to' – 0.5 points

ii) Any of the following options selected in column 'Performance metrics' – 1 point

- 'Reduction of water withdrawal and/or consumption volumes- upstream/downstream value chain (excluding direct operations)'
- 'Improvements in water efficiency - upstream/downstream value chain (excluding direct operations)'
- 'Improvements in waste water quality – direct operations'
- 'Improvements in waste water quality – upstream/downstream value chain (excluding direct operations)'
- 'Reduction of water pollution incidents'
- 'Reduction or phase out of hazardous substances'
- 'Increased access to workplace WASH – upstream/downstream value chain (excluding direct operations)'

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 1	0 or 1	0 or 3	0 or 3	0 or 2	0 or 2

(4.5.1) - Provide further details on the monetary incentives provided for the management of environmental issues (do not include the names of individuals).

Theme	Sector that scoring criteria apply to
W	FS

4.5.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

Any option selected excluding 'Other, please specify' in column 'Incentives' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Yes' selected in column 'Board of directors...' in question 4.1.

i) Any of the following options selected in column 'Position entitled to monetary incentive' – 1 point

- Board Chair
- Board/Executive board
- Director on board
- Corporate executive team
- Chief Executive Officer (CEO)
- Chief Financial Officer (CFO)
- Chief Operating Officer (COO)
- Chief Procurement Officer (CPO)
- Chief Risks Officer (CRO)
- Chief Sustainability Officer (CSO)
- Chief Government Relations Officer (CGRO)
- Chief Technology Officer (CTO)
- Chief Compliance Officer (CCO)
- Chief Investment Officer (CIO) [FS only]
- Chief Credit Officer (CCO) [FS only]
- Chief Underwriting Officer (CUO) [FS only]
- Other C-Suite Officer
- President
- General Counsel

ii) Any option selected excluding any 'Other, please specify' option in column 'Performance metrics' – 1 point

iii) 'Approving and/or overseeing employee incentives' selected in column 'Governance mechanisms...' in question 4.1.2 – 1 point

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issues':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'No' selected in column 'Board of directors...' in question 4.1.

i) Any of the following options selected in column 'Position entitled to monetary incentive': – 1 point

- Corporate executive team

- Chief Executive Officer (CEO)
- Chief Financial Officer (CFO)
- Chief Operating Officer (COO)
- Chief Procurement Officer (CPO)
- Chief Risks Officer (CRO)
- Chief Sustainability Officer (CSO)
- Chief Government Relations Officer (CGRO)
- Chief Technology Officer (CTO)
- Chief Compliance Officer (CCO)
- Chief Investment Officer (CIO) [FS only]
- Chief Credit Officer (CCO) [FS only]
- Chief Underwriting Officer (CUO) [FS only]
- Other C-Suite Officer
- President
- General Counsel

ii) Any option selected excluding any 'Other, please specify' option in column 'Performance metrics' – 1 point

iii) 'Providing employee incentives related to environmental performance' selected in column 'Environmental responsibilities of this position' in question 4.3.1 – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues':

i) Either 'Long-Term Incentive Plan, or equivalent, only (e.g. contractual multi-year bonus)' OR 'Both Short-Term and Long-Term Incentive Plan, or equivalent' selected in column 'Incentive plan the incentives are linked to' - 1 point

OR

'Short-Term Incentive Plan, or equivalent, only (e.g. contractual annual bonus)' selected in column 'Incentive plan the incentives are linked to' – 0.5 points

ii) Any of the following options selected in column 'Performance metrics' - 1 point

- 'Reduction of water withdrawal and/or consumption volumes- upstream/downstream value chain (excluding direct operations)'
- 'Improvements in water efficiency- upstream/downstream value chain (excluding direct operations)'
- 'Improvements in waste water quality – direct operations'
- 'Improvements in waste water quality – upstream/downstream value chain (excluding direct operations)'
- 'Reduction of water pollution incidents'
- 'Reduction or phase out of hazardous substances'
- 'Increased access to workplace WASH – upstream/downstream value chain (excluding direct operations)'
- 'Increased alignment of portfolio/fund to environmental objectives [FS only]'

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues' in any row – 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0 or 1	0 or 1	0 or 3	0 or 3	0 or 2	0 or 2

Environmental policies

(4.6) - Does your organization have an environmental policy that addresses your environmental dependencies, impacts, risks, and opportunities?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.6 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 1 point is available for this question.

Awareness criteria

ROUTE A)

'Yes', selected in column 'Does your organization have any environmental policies?' – 1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Does your organization have any environmental policies?' – 0.5 points

Management criteria

'Yes' selected in column 'Does your organization have any environmental policies?' – 1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1	1	0.5 or 1	1	1	1	0	0

(4.6.1) - Provide details of your environmental policies.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

4.6.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered' AND 'Publicly available' selected in column 'Public availability' OR column 'Public availability' is not completed:

Points are awarded per cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issues covered' AND 'Not publicly available' selected in column 'Public availability':

Points are awarded per cell in proportion to the number of cells displayed (excluding column 'Attach the policy').

A maximum of 3.5 points is available for this question.

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

One row scored.

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points awarded AND

'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

i) 'Organization-wide' selected in column 'Level of coverage' – 0.5 points

- ii) 'Direct operations' OR 'Upstream value chain' selected in column 'Value chain stages covered' – 0.5 points
- iii) Any option selected excluding 'Other, please specify' in column 'Environmental policy content' – 0.5 points
- iv) 'Publicly available' selected in column 'Public availability' – 0.5 points

One row scored.

Management criteria

The following conditions must be met to be eligible for Management points:
Full Awareness points must be awarded AND 'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

- i) 'Commitment to control/reduce/eliminate water pollution' selected in column 'Environmental policy content' – 1 point
- ii) 'Commitment to reduce water withdrawal volumes' selected in column 'Environmental policy content' – 1 point
- iii) 'Commitment to safely manage WASH in local communities' selected in column 'Environmental policy content' – 1 point
- iv) 'Commitment to comply with regulations and mandatory standards' selected in column 'Environmental policy content' – 0.5 points

One row scored.

Leadership criteria

The following conditions must be met to be eligible for Leadership points:
Full Management points awarded AND 'Water' selected in column 'Environmental issues

covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

Three or more of the following options are selected in column 'Environmental policy content'

- 2 points

- 'Commitment to reduce or phase out hazardous substances'
- 'Commitment to reduce water consumption volumes'
- 'Commitment to the conservation of freshwater ecosystems'
- 'Commitment to water stewardship and/or collective action'
- 'Commitment to take environmental action beyond regulatory compliance'
- 'Commitment to stakeholder engagement and capacity building on environmental issues'
- 'Recognition of environmental linkages and trade-offs'

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7 or 8	0 or 7 or 8	4	4	3.5	3.5	2	2

(4.6.1) - Provide details of your environmental policies.

Theme	Sector that scoring criteria apply to
W	FS

4.6.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered' AND 'Publicly available' selected in column 'Public availability' OR column 'Public availability' is not completed:

Points are awarded per cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issues covered' AND 'Not publicly available' selected in column 'Public availability':
Points are awarded per cell in proportion to the number of cells displayed (excluding column 'Attach the policy').

A maximum of 3.5 points is available for this question.

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

One row scored.

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points awarded AND

'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

- i) 'Organization-wide' selected in column 'Level of coverage' – 0.5 points
- ii) 'Portfolio' selected in column 'Value chain stages covered' – 0.5 points
- iii) Any option selected excluding any 'Other, please specify' in column 'Environmental policy content' – 0.5 points
- iv) 'Publicly available' selected in column 'Public availability' – 0.5 points

One row scored.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points must be awarded AND 'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

- i) 'Commitment to control/reduce/eliminate water pollution' selected in column 'Environmental policy content' – 1 point
- ii) 'Commitment to reduce water withdrawal volumes' selected in column 'Environmental policy content' – 1 point
- iii) 'Commitment to safely manage WASH in local communities' selected in column 'Environmental policy content' – 1 point
- iv) 'Commitment to comply with regulations and mandatory standards' selected in column 'Environmental policy content' – 0.5 points

One row scored.

Leadership criteria

The following conditions must be met to be eligible for Leadership points:
Full Management points awarded AND 'Water' selected in column 'Environmental issues covered' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered':

Three or more of the following options are selected in column 'Environmental policy content'
– 2 points

- 'Commitment to reduce or phase out hazardous substances'
- 'Commitment to reduce water consumption volumes'
- 'Commitment to the conservation of freshwater ecosystems'
- 'Commitment to water stewardship and/or collective action'
- 'Commitment to take environmental action beyond regulatory compliance'
- 'Commitment to stakeholder engagement and capacity building on environmental issues'
- 'Recognition of environmental linkages and trade-offs'

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7 or 8	0 or 7 or 8	4	4	3.5	3.5	2	2

(4.7) - Does the policy framework for the portfolio activities of your organization include environmental requirements that clients/investees need to meet, and/or exclusion policies?

Theme	Sector that scoring criteria apply to
W	FS

4.7 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

ROUTE A)

Any of the following options selected in column 'Policy framework for portfolio activities include environmental requirements for clients/investees, and/or exclusion policies' – 2 points

- 'Yes, our policies include environmental requirements that clients/investees need to meet.'
- 'Yes, we have exclusion policies for industries, activities and/or locations exposed or contributing to environmental risks'
- 'Yes, our framework includes both policies with environmental client/investee requirements and environmental exclusion policies'.

OR

ROUTE B)

Option 'No, but we plan to include environmental requirements and/or exclusion policies in our policy framework' in column 'Policy framework for portfolio activities include environmental requirements for clients/investees, and/or exclusion policies' – 1 point

A maximum of 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

ROUTE A)

'Yes, our framework includes both policies with environmental client/investee requirements and Climate-related environmental exclusion policies' selected in column 'Policy framework for portfolio activities include environmental requirements for clients/investees, and/or exclusion policies' – 2 points

OR

ROUTE B)

'Yes, our policies include environmental requirements that clients/investees need to meet' selected in column 'Policy framework for portfolio activities include environmental requirements for clients/investees, and/or exclusion policies' – 1.5 points

OR

ROUTE C)

'Yes, we have exclusion policies for industries, activities and/or locations exposed or contributing to environmental risks' selected in column 'Policy framework for portfolio activities include environmental requirements for clients/investees, and/or exclusion policies' – 1 point

A maximum of 2 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	2	nan	2	2	nan	nan

(4.7.1) - Provide details of the policies which include environmental requirements that clients/investees need to meet.

Theme	Sector that scoring criteria apply to
W	FS

4.7.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered' AND 'Publicly available' selected in column 'Public availability' OR column 'Public availability' is not completed:

Points are awarded per cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issue the policy covers' AND 'Not publicly available' selected in column 'Public availability':

Points are awarded per cell in proportion to the number of cells displayed (excluding column 'Attach the policy').

A maximum of 3.5 points is available for this question.

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issue the policy covers' in any row – 0/0

points

One row scored.

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points awarded AND

'Water' selected in column 'Environmental issue the policy covers' in at least one row.

For rows where 'Water' is selected in column 'Environmental issue the policy covers':

i) 'Publicly available' selected in column 'Public availability' – 0.5 points

ii) Figure greater than 0 provided in column '% of portfolio covered by the policy...' – 0.5 points

iii) Any option selected excluding any 'Other, please specify' in column 'Requirements for clients/investees' – 0.5 points

iv) 'Yes' selected in column 'Measurement of proportion of clients/investees compliant with the policy' – 0.5 points

OR

'No, but we plan to measure this within the next two years' selected in column 'Measurement of proportion of clients/investees compliant with the policy' – 0.25 points

One row scored.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Water' is selected in column 'Environmental issue the policy covers' in at least one row:

For rows where 'Water' is selected in column 'Environmental issue the policy covers':

i) Two or more of the following options selected in column 'Requirements for clients/investees' – 2 points

- 'Commitment to control/reduce/eliminate water pollution'
- 'Commitment to reduce water withdrawal volumes'
- 'Commitment to safely manage WASH in local communities'
- 'Commitment to comply with regulations and mandatory standards'

ii) Figure greater than 0 provided in either column '% of clients/investees compliant with the policy' OR column '% of portfolio value that is compliant with the policy' – 1 point

iii) Any option selected excluding both 'No timeframe' AND 'We have not set a target for 100% compliance' in column 'Target year for 100% compliance' – 1 point

One row scored.

Leadership criteria

The following conditions must be met to be eligible for Management points:

Full Management points awarded AND 'Water' selected in column 'Environmental issue the policy covers' in at least one row.

For rows where 'Water' is selected in column 'Environmental issue the policy covers':

Three or more of the following options are selected in column 'Requirements for clients/investees' – 2 points

- 'Commitment to reduce or phase out hazardous substances'
- 'Commitment to reduce water consumption volumes'
- 'Commitment to the conservation of freshwater ecosystems'
- 'Commitment to water stewardship and/or collective action'
- 'Commitment to take environmental action beyond regulatory compliance'
- 'Commitment to stakeholder awareness and education'
- 'Commitment to stakeholder engagement and capacity building on environmental issues'
- 'Recognition of environmental linkages and trade offs'

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3.5 or 4	3.5 or 4	2	2	4	4	2	2

(4.7.2) - Provide details of your exclusion policies related to industries, activities and/or locations exposed or contributing to environmental risks.

Theme	Sector that scoring criteria apply to
W	FS

4.7.2 - (FS) Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(4.8) - Does your organization include covenants in financing agreements to reflect and enforce your environmental policies?

Theme	Sector that scoring criteria apply to
W	FS

4.8 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option excluding 'No, and we do not plan to in the next two years' selected in column 'Covenants included in financing agreements to reflect and enforce policies' - 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes' selected in column 'Covenants included in financing agreements to reflect and enforce policies' - 1/1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Covenants included in financing agreements to reflect and enforce policies' - 0/1 point

OR

ROUTE C)

'No, and we do not plan to include climate-related covenants in the next two years' selected in column 'Covenants included in financing agreements to reflect and enforce policies' - 0/2 points

One row scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	0 or 1	0 or 1 or 2	0	0

(4.8.1) - Provide details of the covenants included in your organization's financing agreements to reflect and enforce your environmental policies.

Theme	Sector that scoring criteria apply to
W	FS

4.8.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

'Water' selected in column 'Environmental issues' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues':

Table completed - 1 point

Management criteria

The following conditions must be met to be eligible for Management points:
Full Awareness points awarded AND 'Water' selected in column 'Environmental issues' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues':

i) Figure greater than 0 provided in either column 'Percentage of clients covered by covenants' OR 'Percentage of portfolio covered in relation to total portfolio value' – 1 point

One row scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	1	1	1	1	0	0

Pension schemes

(4.9) - Does your organization offer its employees a pension scheme that incorporates environmental criteria in its holdings?

Theme	Sector that scoring criteria apply to
W	FS

4.9 - (FS) Scoring criteria

Disclosure criteria

For 'Water' row(s):

Points will be awarded per completed cell in proportion to the number of cells displayed

A maximum of 2 points is available for this question.

Awareness criteria

For 'Water' row(s):

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

Any of the following options selected in column 'Pension scheme incorporates environmental criteria in its holdings'- 0.5 points

- 'Yes, as the default investment strategy for all plans'
- 'Yes, as an investment option'
- 'No, but we plan to incorporate in the next two years'

OR

ROUTE B)

'No, due to a compulsory national/subnational scheme' selected in column 'Pension scheme incorporates environmental criteria in its holdings' - 0/0 points

Management criteria

For 'Water' row(s):

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

i) 'Yes, as the default investment strategy for all plans' selected in column 'Pension scheme that incorporates environmental criteria in its holdings' - 1 point

OR

ROUTE B)

i) 'Yes, as an investment option' selected in column 'Pension scheme that incorporates environmental criteria in its holdings' - 0.5 points

OR

ROUTE C)

i) 'No, due to a compulsory national/subnational scheme' selected in column 'Pension scheme that incorporates environmental criteria in its holdings' - 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2	0 or 1	0 or 0.5	0 or 0.5 or 1	0 or 0.5 or 1	0	0

(4.10) - Are you a signatory or member of any environmental collaborative frameworks or initiatives?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.10 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 1 point is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes' selected in column 'Are you a signatory or member...' – 1 point

OR

ROUTE B)

'No, but we plan to...' selected in column 'Are you a signatory or member...' – 0.5 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

i) Any option excluding 'OPS' selected in column 'Collaborative framework or initiative' – 1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1	1	1 or 0.5	1	1	1	0	0

Public policy engagement

(4.11) - In the reporting year, did your organization engage in activities that could directly or indirectly influence policy, law, or regulation that may (positively or negatively) impact the environment?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.11 - Scoring criteria

Disclosure criteria

ROUTE A)

Points are awarded per cell in proportion to the number of cells displayed.

A maximum of 6 points is available for this question.

OR

ROUTE B)

All columns not completed in the 'Water' row - 0/16 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

i) Any option selected excluding 'Not assessed' in column 'External engagement activities...'

– 1 point

ii) Any option selected excluding 'No, and we do not plan to...' in column 'Indicate whether your organization has a public commitment or position statement...' – 2 points

iii) Any of the following options selected in column 'Indicate whether your organization is registered on a transparency register' – 1 point

- 'Yes, a mandatory government register'

- 'Yes, a voluntary government register'

- 'Yes, a non-government register'

OR

ROUTE B)

All columns not completed in the 'Water' row - 0/6 points

Management criteria

Full Awareness points awarded to be eligible for Management points.

ROUTE A)

'Yes, in line with the Sustainable Development Goal 6 on Clean Water and Sanitation' selected in column 'Indicate whether your organization has a public commitment or position statement...' – 2 points

OR

ROUTE B)

All columns not completed in the 'Water' row - 0/4 points

Leadership criteria

ROUTE A)

Not scored

OR

ROUTE B)

All columns not completed in the 'Water' row - 0/2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	4	4	2	2	0	0 or 2

(4.11.1) - On what policies, laws, or regulations that may (positively or negatively) impact the environment has your organization been engaging directly with policy makers in the reporting year?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.11.1 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 8 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed as follows: Any option selected excluding 'No, we have not evaluated' in column 'Indicate if you have evaluated whether your organization's engagement...'

A maximum of 2 points is available for this question.

Management criteria

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'Undecided' in column 'Your organization's position on the policy, law, or regulation'

AND

If 'Climate change' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Paris Agreement' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Forests' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Kunming-Montreal Global Biodiversity Framework' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Water' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with Sustainable Development Goal 6 on Clean Water and Sanitation' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

A maximum of 2 points is available for this question.

Leadership criteria

Full Management points awarded – 2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
8	8	2	2	2	2	2	2

(4.11.2) - Provide details of your indirect engagement on policy, law, or regulation that may (positively or negatively) impact the environment through trade associations or other intermediary organizations or individuals in the reporting year.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.11.2 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed (excluding column 'Funding figure your organization provided...'). Partially completed rows will not receive full points.

A maximum of 10 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed (excluding column 'Funding figure your organization provided...') as follows:

Any option selected excluding 'No, we have not evaluated' in column 'Indicate if you have evaluated whether your organization's engagement...!'

A maximum of 2 points is available for this question.

Management criteria

ROUTE A)

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Consistent' selected in column 'Indicate whether your organization's position is consistent with the organization...'

AND

Any of the following options selected in column 'Indicate whether your organization attempted to influence the organization...':

- 'Yes, and they have changed their position'
- 'Yes, we publicly promoted their current position'
- 'No, we did not attempt to influence their position'

AND

If 'Climate change' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Paris Agreement' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Forests' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Kunming-Montreal Global Biodiversity Framework' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Water' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with Sustainable Development Goal 6 on Clean Water and Sanitation' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

A maximum of 2 points is available for this route.

OR

ROUTE B)

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Inconsistent' OR 'Mixed' selected in column 'Indicate whether your organization's position is consistent with the organization...'

AND

Any of the following options selected in column 'Indicate whether your organization attempted to influence the organization...':

- 'Yes, and they have changed their position'
- 'Yes, we attempted to influence them, but they did not change their position'
- 'Yes, we publicly opposed their current position'
- 'Yes, we terminated our funding/membership in the reporting year'.
- 'Yes, we decided to terminate our funding/membership within the next two years'

AND

If 'Climate change' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Paris Agreement' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Forests' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with the Kunming-Montreal Global Biodiversity Framework' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

AND

If 'Water' selected in column 'Environmental issues...':

'Yes, we have evaluated, and it is aligned with Sustainable Development Goal 6 on Clean Water and Sanitation' selected in column 'Indicate if you have evaluated whether your organization's engagement...'

A maximum of 2 points is available for this route.

Leadership criteria

Full Management points awarded – 2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
10	10	2	2	2	2	2	2

Communications/Reporting

(4.12) - Have you published information about your organization's response to environmental issues for this reporting year in places other than your CDP response?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

4.12 - Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected – 1 point

OR

ROUTE B)

No option selected - 0/8 points

Awareness criteria

ROUTE A)

'Yes' selected – 1 point

OR

ROUTE B)

'No, but we plan to do so in the next two years' selected – 0.5 points

OR

ROUTE C)

No option selected - 0/2 points

Management criteria

ROUTE A)
'Yes' selected – 1 point

OR

ROUTE B)
No option selected - 0/3 points

Leadership criteria

ROUTE A)
Any option selected - 0/0 points

OR

ROUTE B)
No option selected - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1	1 or 8	1 or 0.5	1 or 2	1	1 or 3	0	0 or 1

(4.12.1) - Provide details on the information published about your organization's response to environmental issues for this reporting year in places other than your CDP response. Please attach the publication(s).

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

4.12.1 - Scoring criteria

Disclosure criteria

ROUTE A)
For rows where 'Water' is selected in column 'Environmental issues covered in publication':

Points are awarded per cell completed in proportion to the number of rows disclosed.
Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered in publication' in any row –
0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points awarded AND

'Water' selected in column 'Environmental issues covered in publication' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered in publication':

ROUTE A)

'Complete' OR 'Underway - previous year attached' selected in column 'Status of the
publication' – 1 point

OR

ROUTE B)

'Underway - this is our first year' selected in column 'Status of the publication' – 0.5 points

One row scored.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

'Water' selected in column 'Environmental issues covered in publication' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered in publication':

ROUTE A)

'In mainstream reports, in line with environmental disclosure standards or frameworks' selected in column 'Publication AND

Any of the following options selected in column 'Standard or framework the report is in line with – 2/2 points

- ESRS
- GRI
- TNFD

OR

ROUTE B)

'In mainstream reports' selected in column 'Publication' – 1/2 points

One row scored.

Leadership criteria

The following conditions must be met to be eligible for Leadership points:

Full Management points awarded AND 'Water' selected in column 'Environmental issues covered in publication' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered in publication':

At least three options selected column 'Content elements' – 1 point

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 7	0 or 7	0.5 or 1	1	1 or 2	2	1	1

Module 5 - Business strategy

Scenario analysis

(5.1) - Does your organization use scenario analysis to identify environmental outcomes?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

5.1 - Scoring criteria

Disclosure criteria

ROUTE A)

For 'Water' row:

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

ROUTE B)

Column 'Use of scenario analysis' not completed in the 'Water' row - 0/11 points

Awareness criteria

For 'Water' row:

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes' selected in column 'Use of scenario analysis' – 1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Use of scenario analysis' – 0.5 points

Management criteria

For 'Water' row:

'Yes' selected in column 'Use of scenario analysis' - 1 point

Leadership criteria

For 'Water' row:

ROUTE A)

'Yes' selected in column 'Use of scenario analysis' – 0/0 points

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Use of scenario analysis' – 0/1 points

OR

ROUTE C)

'No, and we do not plan to within the next two years' selected in column 'Use of scenario analysis' – 0/2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3 or 11	0 or 1.5	0 or 1.5	1	1	0	0 or 1 or 2

(5.1.1) - Provide details of the scenarios used in your organization's scenario analysis.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.1.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

All rows completed – 3 points

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

Full Awareness points must be awarded to be eligible for Management points.

i) Either of the following are met – 1 point:

'Qualitative and quantitative' selected in 'Approach to scenario' in at least one row

OR

'Qualitative' selected in column 'Approach to scenario' in at least one row AND 'Quantitative' selected in at least one row

Best row(s) scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

Full Management points must be awarded to be eligible for Leadership points.

i) Any option selected excluding 'Other, please specify' in column 'Timeframes covered' - 1 point

ii) 'Organization-wide' selected in column 'Scenario coverage' - 0.5 points

Best row(s) scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 5	0 or 5	0 or 3	0 or 3	0 or 1	0 or 1	0 or 1.5	0 or 1.5

(5.1.1) - Provide details of the scenarios used in your organization's scenario analysis.

Theme	Sector that scoring criteria apply to
W	FS

5.1.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

All rows completed – 3 points

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Management criteria

i) Either of the following are met – 1 point:

'Qualitative and quantitative' selected in column 'Approach to scenario' in at least one row

OR

'Qualitative' selected in column 'Approach to scenario' in at least one row AND 'Quantitative' selected in at least one row.

ii) 'Portfolio [FS only]' selected in column 'Scenario analysis coverage' - 1 point

Best row(s) scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue this scenario has been used to analyze':

Full Management points must be awarded to be eligible for Leadership points.

i) Any option selected excluding 'Other, please specify' in column 'Timeframes covered' - 1 point

ii) 'Organization-wide' selected in column 'Scenario coverage' - 0.5 points

Best row(s) scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue this scenario has been used to analyze' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 5	0 or 5	0 or 3	0 or 3	0 or 2	0 or 2	0 or 1.5	0 or 1.5

(5.1.2) - Provide details of the outcomes of your organization's scenario analysis.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.1.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

'Risk and opportunities identification, assessment and management' selected in column 'Business processes influenced by your analysis of the reported scenarios' AND 'Yes' selected in column 'Process in place' in question 2.2 AND 'Scenario analysis' selected in column 'Tools and methods' in question 2.2.2 AND Table completed – 1 point

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issue':

Any option selected excluding 'Risk and opportunities identification, assessment and management' in column 'Business processes influenced by your analysis of the reported scenarios' AND Table completed – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Awareness points must be awarded to be eligible for Management points.

If any option selected excluding 'Other, please specify' AND 'None of the above' in column 'Business processes influenced by your analysis of the reported scenarios' points will be awarded as follows:

i) 'Organization-wide' selected in column 'Coverage of analysis' - 1 point

ii) Column 'Summarize the outcomes...' provides the following a description of how the results of scenario analysis have informed at least one decision or action in relation to at least one of the business processes selected in column 'Business processes influenced by your analysis of the reported scenarios' in the reporting year - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Management points must be awarded to be eligible for Leadership points

i) All of the following options selected in column 'Business processes influenced by your analysis of the reported scenarios' - 1 point:

- 'Risk and opportunities identification, assessment and management'
- 'Corporate strategy and financial planning'
- 'Resilience of business strategy'
- 'Capacity building'
- 'Target setting and transition planning'

OR

At least two of the above options selected – 0.5 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	0 or 1	0 or 1	0 or 2	0 or 2	0 or 1	0 or 1

(5.1.2) - Provide details of the outcomes of your organization's scenario analysis.

Theme	Sector that scoring criteria apply to
W	FS

5.1.2 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

'Risk and opportunities identification, assessment and management' selected in column 'Business processes influenced by your analysis of the reported scenarios' AND 'Yes' selected in column 'Process in place' in question 2.2 AND 'Scenario analysis' selected in column 'Tools and methods' in question 2.2.2 AND
Table completed – 1 point

OR

ROUTE B)

For rows where 'Water' is selected in column 'Environmental issue':

Any option selected excluding 'Risk and opportunities identification, assessment and management' in column 'Business processes influenced by your analysis of the reported scenarios' AND
Table completed – 1 point

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Awareness points must be awarded to be eligible for Management points

If any option selected excluding 'Other, please specify' AND 'None of the above' in column 'Business processes influenced by your analysis of the reported scenarios' points will be awarded as follows:

- i) 'Portfolio [FS only]' selected in column 'Coverage of analysis' - 1 point

- ii) Column 'Summarize the outcomes of the scenario analysis...' provides a description of how the results of scenario analysis have informed at least one decision or action in relation to each of the business processes selected in column 'Business processes influenced by your analysis of the reported scenarios' in the reporting year. - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Management points must be awarded to be eligible for Leadership points

- i) All of the following options selected in column 'Business processes influenced by your analysis of the reported scenarios' - 1 point:
 - 'Risk and opportunities identification, assessment and management'
 - 'Corporate strategy and financial planning'
 - 'Resilience of business strategy'
 - 'Capacity building'
 - 'Target setting and transition planning'

OR

At least two of the above options selected – 0.5 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	0 or 1	0 or 1	0 or 2	0 or 2	0 or 1	0 or 1

Influence of Risks and Opportunities on Strategy and Financial Planning

(5.3) - Have environmental risks and opportunities influenced your strategy and/or financial planning?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

5.3 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes, both strategy and financial planning' selected in column 'Environmental risks and/or opportunities have affected...' – 1 point

OR

ROUTE B)

Either 'Yes, strategy only' OR 'Yes, financial planning only' selected in column 'Environmental risks and/or opportunities have affected...' – 0.5 points

Management criteria

Not scored.

Leadership criteria

Full Awareness points must be awarded to be eligible for Leadership points.

At least two options selected in column 'Business areas where environmental risks and/or opportunities have affected our strategy' - 1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	0.5 or 1	0.5 or 1	0	0	1	1

(5.3.1) - Describe where and how environmental risks and opportunities have affected your strategy.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

5.3.1 - Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected your strategy in this area':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected your strategy in this area' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected your strategy in this area':

All rows completed – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected your strategy in this area" in any row – 0/0 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	0 or 1	0 or 1	0	0	0	0

(5.3.2) - Describe where and how environmental risks and opportunities have affected your financial planning.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

5.3.2 - Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected these financial planning elements':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected these financial planning elements' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected these financial planning elements':

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'Other, please specify' in column 'Financial planning elements that have been affected' – 1 point

A maximum of 1 point is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues relevant to the risks and/or opportunities that have affected these financial planning elements' in any row – 0/0 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	0 or 1	0 or 1	0	0	0	0

CAPEX trend

(5.9) - What is the trend in your organization's water-related capital expenditure (CAPEX) and operating expenditure (OPEX) for the reporting year, and the anticipated trend for the next reporting year?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

5.9 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2.5 points is available for this question.

Awareness criteria

Table completed – 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

An explanation as to why your CAPEX/OPEX has increased, decreased, or remained the same compared to the previous reporting year in column 'Please explain' – 1 point

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

A description of what the water-related expenditure (CAPEX and/or OPEX) was for in column 'Please explain' – 1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2.5	2.5	1	1	1	1	1	1

Pricing Environmental Externalities

(5.10) - Does your organization use an internal price on environmental externalities?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

5.10 - Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

EITHER column 'Use of internal pricing of environmental externalities' OR column 'Environmental externality priced' not completed - 0/8 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for points at Awareness level.

ROUTE A)

'Yes' selected in 'Use of internal pricing of environmental externalities'

AND

'Water' is selected in column 'Environmental externality priced' - 2/2 points

OR

ROUTE B)

'No, but we anticipate doing so in the next two years' selected in column 'Use of internal pricing of environmental externalities' - 1/2 points

OR

ROUTE C)

'No, and we do not anticipate doing so in the next two years' selected in 'Use of internal pricing of environmental externalities' - 0/2 points

OR

ROUTE D)

EITHER column 'Use of internal pricing of environmental externalities' OR column 'Environmental externality priced' not completed - 0/3 points

Management criteria

ROUTE A)

Column 'Use of internal pricing of environmental externalities' completed - 0/0 points

OR

ROUTE B)

EITHER column 'Use of internal pricing of environmental externalities' OR column 'Environmental externality priced' not completed - 0/2 points

Leadership criteria

ROUTE A)

Column 'Use of internal pricing of environmental externalities' completed - 0/0 points

OR

ROUTE B)

EITHER column 'Use of internal pricing of environmental externalities' or 'Environmental externality priced' not completed - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4 or 8	1 or 2	2 or 3	0	0 or 2	0	0 or 1

(5.10.2) - Provide details on your organization's internal price(s) on water.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.10.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 4 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes' must be selected in 'Factors beyond current market price are considered in the price' to be eligible for Awareness points.

Any of the following selected in column 'Objectives for implementing internal price' - 1 point

- 'Drive water-related investment'
- 'Drive water efficiency'
- 'Drive low-carbon investment'
- 'Incentivize consideration of environmental issues in decision making'
- 'Incentivize consideration of environmental issues in risk assessment'
- 'Setting and/or achieving of environmental policies and targets'
- 'Stress test investments'

One row scored.

Management criteria

Full Awareness points must be awarded to be eligible for Management points

i) 'Yes' is selected in column 'Pricing approach is monitored and evaluated to achieve objectives' - 1 point

ii) At least two options selected excluding 'Other, please specify' in column 'Business decision-making processes the internal price is applied to' – 1 point

One row scored.

Leadership criteria

Full Management points awarded - 1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	1	1	2	2	0	0

(5.10.2) - Provide details on your organization's internal price(s) on water.

Theme	Sector that scoring criteria apply to
W	FS

5.10.2 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 4 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

'Yes' must be selected in 'Factors beyond current market price are considered in the price' to be eligible for Awareness points.

Any of the following selected in column 'Objectives for implementing internal price' - 1 point

- 'Identify and evaluate financing opportunities'
- 'To inform credit risk assessments for existing loans or credit lines'
- 'To inform credit risk assessments for new loans'
- 'To inform credit risk assessments for renewals of terms for existing loans'

- 'Using an internal price for corporate engagement/stewardship purposes'

One row scored.

Management criteria

Full Awareness points must be awarded to be eligible for Management points

i) 'Yes' is selected in column 'Pricing approach is monitored and evaluated to achieve objectives' - 1 point

ii) At least two options selected excluding 'Other, please specify' in column 'Business decision-making processes the internal price is applied to' – 1 point

One row scored.

Leadership criteria

Full Management points awarded - 1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	1	1	2	2	0	0

Value chain engagement

(5.11) - Do you engage with your value chain on environmental issues?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed row in proportion to the number of rows displayed.

A maximum of 3 points is available for this question.

Awareness criteria

'Yes' selected in column 'Engaging with this stakeholder on environmental issues' in row 'Suppliers' AND 'Water' selected in column 'Environmental issues covered' in row 'Suppliers' - 1 point

OR

'No, but we plan to within the next two years' selected in column 'Engaging with this stakeholder on environmental issues' in row 'Suppliers' - 0.5 points

Management criteria

ROUTE A)

Full Awareness points awarded – 1/1 point

OR

ROUTE B)

Full Awareness points not awarded – 0/3.5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	1	1 or 3.5	0	0

(5.11) - Do you engage with your value chain on environmental issues?

Theme	Sector that scoring criteria apply to
W	FS

5.11 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed row in proportion to the number of rows displayed.

A maximum of 3 points is available for this question.

Awareness criteria

ROUTE A)

'Yes' selected in column 'Activity undertaken' in row 'Investing (asset manager)' in question 1.10

OR

'Yes' selected in column 'Activity undertaken' in row 'Investing (asset owner)' and either row 'Banking (Bank)' OR 'Insurance underwriting' in question 1.10

Points will be awarded as follows:

'Yes' selected in column 'Engaging with this stakeholder on environmental issues' in rows 'Clients' and 'Investees' - 1 point

OR

'No, but we plan to within the next two years' selected in column 'Engaging with this stakeholder on environmental issues' in EITHER row 'Clients' OR row 'Investees' - 0.5 points

OR

ROUTE B)

'Yes' selected in column 'Activity undertaken' for rows 'Banking (bank)' OR 'Insurance

(underwriting)' in question 1.10 AND
'No' selected in response to column 'Activity undertaken' for rows 'Asset manager' AND
'Asset owner' in question 1.10:

Points will be awarded as follows:

'Yes' selected in column 'Engaging with this stakeholder on environmental issues' in row
'Clients' - 1 point

OR

'No, but we plan to within the next two years' selected in column 'Engaging with this
stakeholder on environmental issues' in row 'Clients' - 0.5 points

OR

ROUTE C)

'Yes' selected in response to column 'Activity undertaken' for ONLY row 'Asset owner' in
question 1.10

Points will be awarded as follows:

'Yes' selected in column 'Engaging with this stakeholder on environmental issues' in row
'Investees' - 1 point

OR

'No, but we plan to within the next two years' selected in column 'Engaging with this
stakeholder on environmental issues' in row 'Investees' - 0.5 points

Management criteria

ROUTE A)

Full Awareness points awarded – 1/1 point

OR

ROUTE B)

Full Awareness points not awarded – 0/3.5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	1	1 or 3.5	0	0

(5.11.1) - Does your organization assess and classify suppliers according to their dependencies and/or impacts on the environment?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered':

Points will be awarded per completed cell in proportion to the number of rows disclosed.
Partially completed rows will not receive full points.

A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered' in any row – 0/0 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issue covered':

i) Any option selected excluding 'No, we do not assess the impacts and/or dependencies of our suppliers and have no plans to do so within the next two years' in column 'Assessment of supplier impacts and/or dependencies on the environment' - 1 point

ii) Any option selected excluding 'None' and 'Unknown' in column '% of tier 1 suppliers assessed' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered' in any row – 0/0 points

Management criteria

ROUTE A)

Full Awareness points must be awarded to be eligible for Management points.

For rows where 'Water' is selected in column 'Environmental issues covered':

If option 'Yes, we assess the impacts and/or dependencies of our suppliers' selected in column 'Assessment of supplier impacts and/or dependencies on the environment' points will be awarded for the following:

i) At least two options selected in column 'Criteria for assessing supplier impacts and/or dependencies' – 1 point:

- 'Dependence on water'
- 'Impact on water availability'
- 'Impact on pollution levels'
- 'Dependence on ecosystem services/systems'

ii) Any option selected excluding 'Unknown' in column '% tier 1 suppliers meeting the thresholds for substantive impacts and/or dependencies on the environment' - 1 point

OR

ROUTE B)

Full Awareness points must be awarded to be eligible for Management points.

For rows where 'Water' is selected in column 'Environmental issues covered'
 If option 'No...but we plan to within the next two years' selected in column 'Assessment of supplier dependencies and/or impacts on the environment' – 1/3 points

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issue covered' in any row – 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 6	0 or 6	0 or 2	0 or 2	0 or 1 or 2	0 or 2 or 3	0	0

(5.11.2) - Does your organization prioritize which suppliers to engage with on environmental issues?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered':

Points will be awarded per completed cell in proportion to the number of cells disclosed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered in any row – 0/0 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issue covered':

- i) 'Yes, we prioritize supplier engagement' selected in column 'Supplier engagement prioritization' – 1 point
- ii) 'In line with the criteria used to classify suppliers as having substantive dependencies and/or impacts relating to water' selected in column 'Criteria informing which suppliers are prioritized for engagement on this environmental issue' - 1 point

OR

ROUTE B)

Full Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issue covered':

'No, we do not prioritize supplier engagement' selected in column 'Supplier engagement prioritization' AND
'We engage with all suppliers' selected in column 'Primary reason...' – 2 points

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issue covered in any row – 0/0 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 4	0 or 4	0 or 2	0 or 2	0	0	0	0

(5.11.3) - Provide details of your environmental engagement strategy with your clients.

Theme	Sector that scoring criteria apply to
W	FS

5.11.3 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Awareness criteria

ROUTE A)

At least 4 Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Points will be awarded per row in proportion to the number of rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy' as follows:

Any option selected excluding 'Other, please specify' in column 'Type and details of engagement' AND

Any option selected excluding 'None' and 'Unknown' in column '% of portfolio covered in relation to total portfolio value' - 1 point

A maximum of 1 point is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Full Awareness points (per row) must be awarded to be eligible for Management points.

A quantitative threshold for a measure of success AND a description of the impact of your engagement on water-related issues in the reporting year according to the measure of success is provided in column 'Effect of engagement, including measures of success' - 2 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Leadership criteria

ROUTE A)

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Any of the following selected in column '% of portfolio covered in relation to total portfolio value' – 1 point

- '51-75%'

- '76-99%'

- '100%'

OR

'26-50%' selected in column '% of portfolio covered in relation to total portfolio value' – 0.5 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 5	0 or 5	0 or 1	0 or 1	0 or 2	0 or 2	0 or 1	0 or 1

(5.11.4) - Provide details of your environmental engagement strategy with your investees.

Theme	Sector that scoring criteria apply to
W	FS

5.11.4 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points

A maximum of 5 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Awareness criteria

ROUTE A)

At least 4 Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Any option selected excluding 'Other, please specify' in column 'Type and details of engagement' AND

'Investing (Asset manager)' is selected as an organizational activity in question 1.10 AND Any option selected excluding 'None' and 'Unknown' in column 'Investing (asset manager) portfolio coverage of engagement' - 1 point

OR

Any option selected excluding 'Other, please specify' in column 'Type and details of engagement' AND

'Investing (Asset owner)' is selected as an organizational activity in question 1.10 AND Any option selected excluding 'None' and 'Unknown' in column 'Investing (asset owner) portfolio coverage of engagement' - 1 point

One row scored.

Please note: If both 'Investing (Asset owner)' and 'Investing (Asset owner)' are selected as organizational activities in question 1.10, then only one of the options in the above criteria must be met.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Full Awareness points must be awarded to be eligible for Management points.

A quantitative threshold for a measure of success AND a description of the impact of your engagement on water-related issues in the reporting year according to the measure of success is provided in column 'Effect of engagement, including measures of success' – 2 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Leadership criteria

ROUTE A)

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered by the engagement strategy':

Any of the following selected in column '% of portfolio covered in relation to total portfolio value' – 1 point

- '51-75%'

- '76-99%'

- '100%'

OR

'26-50%' selected in column '% of portfolio covered in relation to total portfolio value' – 0.5 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the engagement' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 5	0 or 5	or 1	0 or 1	0 or 2	0 or 2	0 or 1	0 or 1

(5.11.5) - Do your suppliers have to meet environmental and/or social requirements as part of your organization's purchasing process?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.5 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Disclosure points must be awarded to be eligible for Awareness points.

Either 'Yes, environmental requirements related.....' OR 'Yes, suppliers have to meet environmental requirements.....' selected in column 'Suppliers have to meet specific environmental requirements related to this environmental issue as part of the purchasing process' – 1 point

OR

'No, but we plan to introduce environmental requirements related to this environmental issue within the next two years' selected in column 'Suppliers have to meet specific environmental requirements related to this environmental issue as part of the purchasing process' - 0.5 points

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Awareness points must be awarded to be eligible for Management points.

i) 'Yes, environmental requirements related to this environmental issue are included in our

supplier contracts' selected in column 'Suppliers have to meet specific environmental requirements related to this environmental issue as part of the purchasing process' – 1 point

OR

'Yes, suppliers have to meet environmental requirements, but they are not included in our supplier contracts' selected in column 'Suppliers have to meet specific environmental requirements as part of the purchasing process' – 0.5 points

ii) 'Yes, we have a policy in place for addressing non-compliance' selected in column 'Policy in place for addressing supplier non-compliance' – 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 2	0 or 2	0 or 1	0 or 1	0 or 2	0 or 2	0	0

(5.11.6) - Provide details of the environmental requirements that suppliers have to meet as part of your organization's purchasing process, and the compliance measures in place.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.6 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed.
Partially completed rows will not receive full points.

A maximum of 9 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

For rows where 'Water' is selected in column 'Environmental issue':

i) Any option excluding 'Other, please specify' in column 'Environmental requirement' - 0.5 points

ii) Any option selected excluding 'No mechanism for monitoring compliance' in column 'Mechanisms for monitoring compliance with this environmental requirement' - 0.5 points

iii) Any option selected excluding 'No response' in column 'Response to supplier non-compliance with this environmental requirement' - 0.5 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Management criteria

ROUTE A)

Full Awareness points must be awarded to be eligible for Management points.

For rows where 'Water' is selected in column 'Environmental issue':

i) Either of the following is met – 1 point:

'76-99%' or '100%' selected in column '% tier 1 suppliers by procurement spend that have to comply with this environmental requirement'

OR

Either '76-99%' or '100%' selected in column '% tier 1 suppliers with substantive environmental dependencies and/or impacts required to comply with this environmental requirement'

ii) Either of the following is met – 1 point:

Any of the following options selected in column '% tier 1 suppliers by procurement spend in compliance with this environmental requirement'

- '51-75%'
- '76-99%'
- '100%'

OR

Any of the following options selected in column '% tier 1 suppliers with substantive environmental dependencies and/or impacts that are in compliance with this environmental requirement'

- '51-75%'
- '76-99%'
- '100%'

iii) Any option selected excluding 'No response' selected in column 'Response...' AND Either of the following is met – 1 point:

Any of the following selected in column '% of non-compliant suppliers engaged'

- '1-25%'
- '26-50%'
- '51-75%'
- '76-99%'
- '100%'

OR

'100%' selected in either column '% tier 1 suppliers by procurement spend in compliance with this environmental requirement' OR column '% tier 1 suppliers meeting impact threshold in compliance with this environmental requirement'

One row scored.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Leadership criteria

ROUTE A)

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issue':

- i) Either 'Setting and monitoring water pollution-related targets' or 'Setting and monitoring withdrawal reduction targets' selected in column 'Environmental requirement' – 1 point
- ii) '100%' selected in column '% tier 1 suppliers by procurement spend in compliance with this environmental requirement' OR column '% tier 1 suppliers meeting impact threshold that have to comply with this environmental requirement' - 1 point
- iii) Either '76-99%' or '100%' selected in column '% tier 1 with substantive environmental dependencies and/or impacts that are in compliance with this environmental requirement' - 1 point

OR

'50-75%' selected in column '% tier 1 suppliers with substantive environmental dependencies and/or impacts that are in compliance with this environmental requirement' – 0.5 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 9	0 or 9	0 or 1.5	0 or 1.5	0 or 3	0 or 3	0 or 3	0 or 3

(5.11.7) - Provide further details of your organization's supplier engagement on environmental issues.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.7 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 10 points is available for this question.

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

To be eligible for Awareness points the following conditions must be met:

Full Disclosure points must be awarded AND Any option excluding 'No other supplier engagements' selected in column 'Action driven by supplier engagement'

i) Any option selected excluding all 'Other, please specify' options in column 'Details of engagement' – 0.5 points

ii) 'Tier 1 suppliers' selected in column 'Upstream value chain coverage' AND Any option excluding both 'None' AND 'Unknown' selected in either of the following columns:
'% of tier 1 suppliers by procurement spend covered by engagement'
'% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.5 points

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Any option selected excluding 'Information collection' selected in 'Type of engagement' AND

Any option other than 'Other, please specify' selected in column 'Action driven by supplier engagement'

A quantitative threshold for a measure of success AND a description of the impact of your engagement on water-related issues in the reporting year according to the measure of success is provided in column 'Describe the engagement and explain the effect of the engagement on the selected environmental action' – 1 point

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'environmental issues covered' in any row – 0/0 points

Leadership criteria

ROUTE A)

All suppliers tiers have not been mapped or tier 2+ suppliers reported in question 1.24.

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered':

i) Any of the following options is selected in either column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 1 point

- '51-75'

- '76-99'

- '100%'

OR

'26-50' selected in column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.5 points.

ii) Either 'Yes,...' option selected in column 'Suppliers have to meet specific environmental requirements related to this environmental issue as part of the purchasing process' in row 'Water' in question 5.11.5 AND

'Yes, ...' selected in column 'Engagement is helping your tier 1 suppliers meet an environmental requirement' AND Any option selected excluding 'Unknown' in column 'Engagement is helping your tier 1 suppliers engage with their own suppliers on the selected action' – 1 point

iii) Any of the following selected is selected in column 'Upstream value chain coverage'

- 'Tier 2 suppliers'

- 'Tier 3 suppliers'

- 'Tier 4+ suppliers'

AND

A figure greater than 0 is provided in column 'Number of tier 2+ suppliers engaged' – 0.5 points

One row scored.

OR

ROUTE B)

All suppliers tiers have been mapped and only tier 1 suppliers reported in question 1.24.

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered':

- i) Any of the following options is selected in either column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 1 point
 - '51-75'
 - '76-99'
 - '100%'

OR

'26-50' selected in column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.5 points.

- iii) Either 'Yes,...' option is selected in column 'Suppliers have to meet specific environmental requirements related to this environmental issue as part of the purchasing process' in row 'Water' in question 5.11.5 AND 'Yes, ...' selected in column 'Engagement is helping your tier 1 suppliers meet an environmental requirement' – 1.5 points

One row scored.

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
10	10	1	1	1	1	2.5	2.5

(5.11.7) - Provide further details of your organization's supplier engagement on environmental issues.

Theme	Sector that scoring criteria apply to
W	FS

5.11.7 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 10 points is available for this question.

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

To be eligible for Awareness points the following conditions must be met:

Full Disclosure points must be awarded AND Any option excluding 'No other supplier engagements' selected in column 'Action driven by supplier engagement'

i) Any option selected excluding all 'Other, please specify' options in column 'Details of engagement' – 0.5 points

ii) 'Tier 1 suppliers' selected in column 'Upstream value chain coverage' AND

Any option excluding both 'None' AND 'Unknown' selected in either of the following columns:

'% of tier 1 suppliers by procurement spend covered by engagement'

'% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.5 points

One row scored.

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered':

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Any option selected excluding 'Information collection' selected in 'Type of engagement' AND

Any option other than 'Other, please specify' selected in column 'Action driven by supplier engagement'

A quantitative threshold for a measure of success AND a description of the impact of your engagement on water-related issues in the reporting year according to the measure of success is provided in column 'Describe the engagement and explain the effect of the engagement on the selected environmental action' – 1 point

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'environmental issues covered' in any row – 0/0 points

Leadership criteria

ROUTE A)

All suppliers tiers have not been mapped or tier 2+ suppliers reported in question 1.24.

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered':

i) Any of the following options is selected in either column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 1 point

- '51-75'

- '76-99'

- '100%'

OR

'26-50' selected in column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.5 points.

ii) Any of the following selected is selected in column 'Upstream value chain coverage'

- 'Tier 2 suppliers'

- 'Tier 3 suppliers'

- 'Tier 4+ suppliers'

AND

A figure greater than 0 is provided in column 'Number of tier 2+ suppliers engaged' – 0.5 points

One row scored.

OR

ROUTE B)

All suppliers tiers have been mapped and only tier 1 suppliers reported in question 1.24.

Full Management points must be awarded to be eligible for Leadership points.

For rows where 'Water' is selected in column 'Environmental issues covered':

Any of the following options is selected in either column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 1.5 points

- '51-75'

- '76-99'

- '100%'

OR

'26-50' selected in column '% of tier 1 suppliers by procurement spend covered by engagement' OR '% tier 1 suppliers with substantive impacts and/or dependencies on the environment covered by engagement' – 0.75 points

One row scored.

OR

ROUTE C)

'Water' NOT selected in column 'Environmental issues covered' in any row – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
10	10	1	1	1	1	1.5	1.5

(5.11.9) - Provide details of any environmental engagement activity with other stakeholders in the value chain.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

5.11.9 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

One row scored.

A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

ROUTE A)

The following conditions must be met to be eligible for Management points:

For each stakeholder row where 'Yes' is selected in column 'Engaging with this stakeholder...'
AND 'Water' is selected in column 'Environmental issues covered' in question 5.11, a
corresponding row is selected in column 'Type of stakeholder' for rows where 'Water' is
selected in column 'Environmental issue'

AND

Full Disclosure points must be awarded

For rows where 'Water' is selected in column 'Environmental issue':

Any option selected excluding 'Other, please specify' in column 'Details of engagement' AND
Any option selected excluding 'None' and 'Unknown' in column '% of stakeholder type
engaged' – 2 points

One row scored.

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Management criteria

ROUTE A)

Full Awareness points must be awarded to be eligible for Management points.

For rows where 'Water' is selected in column 'Environmental issue':

A quantitative threshold for a measure of success AND a description of the impact of your
engagement on water-related issues in the reporting year according to the measure of
success is provided in column 'Effect of engagement and measures of success' - 1 point

One row scored.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 6	0 or 6	0 or 2	0 or 2	0 or 10 or 1	1	0	0

(5.11.9) - Provide details of any environmental engagement activity with other stakeholders in the value chain.

Theme	Sector that scoring criteria apply to
W	FS

5.11.9 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of cells displayed.

One row scored.

A maximum of 3 points is available for this question.

ROUTE B)

'Water' NOT selected in column 'Environmental issue' in any row – 0/0 points

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	0	0	0	0	0	0

Environmental requirements for asset managers

(5.14) - Do your external asset managers have to meet environmental requirements as part of your organization's selection process and engagement?

Theme	Sector that scoring criteria apply to
CC/F/W	FS

5.14 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

Column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' not completed - 0/6 points

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

Either 'Yes' OR 'No, but we plan to include environmental requirements in the next two years' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' – 1/1 point

OR

ROUTE B)

'No, and we do not plan to include environmental requirements in the next two years' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' - 0/1 points

OR

ROUTE C)

'Not applicable, because we do not have externally managed assets' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' - 0/0 points

OR

ROUTE D)

Column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' not completed - 0/2 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

i) 'Yes' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement'- 1 point

ii) 'Yes, we have a policy in place for addressing non-compliance' is selected in column 'Policy in place for addressing external asset manager non-compliance' – 1 point

OR

ROUTE B)

'No, but we plan to include environmental requirements in the next two years' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' – 0.5/2 points

OR

ROUTE C)

'No, and we do not plan to include environmental requirements in the next two years' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' - 0/2 points

OR

ROUTE D)

'Not applicable, because we do not have externally managed assets' selected in column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement ' - 0/0 points

OR

ROUTE E)

Column 'External asset managers have to meet specific environmental requirements as part of the selection process and engagement' not completed - 0/4 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3 or 6	0 or 1	0 or 1 or 2	0 or 0.5 or 2	2 or 4	0	0

(5.14.1) - Provide details of the environmental requirements that external asset managers have to meet as part of your organizations selection process and engagement .

Theme	Sector that scoring criteria apply to
W	FS

5.14.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered by the requirement':

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered by the requirement' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness point':

'Water' selected in column 'Environmental issues covered by the requirement' in at least one row AND

Full Disclosure points awarded.

For rows where 'Water' is selected in column 'Environmental issues covered by the requirement':

Any option selected excluding 'Other, please specify' in column 'Mechanisms used to include environmental requirements...' - 1 point

One row scored.

Management criteria

The following conditions must be met to be eligible for Management points:
'Water' selected in column 'Environmental issues covered by the requirement' in at least one row AND
Full Awareness points awarded.

For rows where 'Water' is selected in column 'Environmental issues covered by the requirement':

- i) Either 'All Assets managed externally' OR 'Majority of assets managed externally' selected in column 'Coverage' in all rows – 1 point
 - ii) Any option selected excluding 'No response' in column 'Response to external asset manager non-compliance with environmental requirements' – 1 point
-

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 3	0 or 3	1	1	2	2	0	0

Shareholder voting

(5.15) - Does your organization exercise voting rights as a shareholder on environmental issues?

Theme	Sector that scoring criteria apply to
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F/W	FS
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5.15 - (FS) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 2 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

ROUTE A)

'Yes' OR 'No, but we plan to in the next two years' selected in column 'Exercise voting rights as a shareholder on environmental issues' – 1 point

OR

ROUTE B)

'No, as we do not have shareholder voting rights in any of our investments' selected in column 'Exercise voting rights as a shareholder on environmental issues' – 0/0 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'Yes' selected in column 'Exercise voting rights as a shareholder on environmental issues' - 0.5/0.5 points

OR

ROUTE B)

'No, as we do not have shareholder voting rights in any of our investments' selected in column 'Exercise voting rights as a shareholder on environmental issues' - 0/0 points

OR

ROUTE C)

EITHER 'Yes' or 'No, as we do not have shareholder voting rights in any of our investments' not selected in column 'Exercise voting rights as a shareholder on environmental issues' - 0/2.5 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2	0 or 1	0 or 1	0 or 0.5	0 or 0.5 or 2.5	0	0

(5.15.1) - Provide details of your shareholder voting record on environmental issues.

Theme	Sector that scoring criteria apply to
W	FS

5.15.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issues covered in shareholder voting':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 6 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issues covered in shareholder voting' in any row – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

'Water' selected in column 'Environmental issues covered in shareholder voting' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues covered in shareholder voting':

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 2 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

'Water' selected in column 'Environmental issues covered in shareholder voting' in at least one row AND

Full Awareness points awarded.

For rows where 'Water' is selected in column 'Environmental issues covered in shareholder voting':

i) 'Exercise voting rights directly' selected in column 'Method used to exercise your voting rights as a shareholder' - 1 point

OR

'Exercise voting rights through an external service provider' selected in column 'Method used to exercise your voting rights as a shareholder' AND

Both 'Vote tracking' AND 'Publish requirements of external service providers in relation to environmental issues' selected in column 'How do you ensure your shareholder voting rights are exercised in line with your overall strategy or transition plan?' - 1 point

ii) 'Alignment with Sustainable Development Goal 6 on Clean Water and Sanitation' selected in column 'Global environmental commitment' - 1 point

One row scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 6	0 or 6	2	2	2	2	0	0

Module 6 – Environmental Performance Consolidation Approach

Consolidation Approach

(6.1) - Provide details on your chosen consolidation approach for the calculation of environmental performance data

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

6.1 - Scoring criteria

Disclosure criteria

For 'Water' row:

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

For 'Water' row:

All columns completed – 1 point

Management criteria

Not scored.

Leadership criteria

Full Awareness points must be awarded to be eligible for Leadership points.

The same option selected (excluding 'Other, please specify') in column 'Consolidation approach' in all of the following rows – 1 point

- 'Climate change'
- 'Forests'
- 'Water'

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	0	0	1	1

Module 9 –Environmental Performance Water Security

Exclusions

(9.1) - Are there any exclusions from your disclosure of water-related data?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected – 1 point

OR

ROUTE B)

No option selected - 0/4.5 points

Awareness criteria

ROUTE A)

Not scored.

OR

ROUTE B)

No option selected - 0/6.5 points

Management criteria

ROUTE A)

'No' selected – 1 point

OR

ROUTE B)

'Yes' selected – 0/0 points

OR

ROUTE C)

No options selected - 0/2 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1	1	0	0	0 or 1	0 or 1	0	0

(9.1.1) - Provide details on these exclusions.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.1.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'Data is not available' in column 'Reason for exclusion' – 1 point

A maximum of 1 point is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

ROUTE A)

Any option selected excluding 'Recent acquisition or merger' in column 'Reason for exclusion' AND

Any option selected excluding 'Unknown' in column 'Percentage of water volume the exclusion represents' - 1 point

OR

ROUTE B)

'Recent acquisition or merger' selected in column 'Reason for exclusion'.

Date in column 'Completion date of acquisition or merger' is no earlier than one year before the date in column 'End date of reporting year' in question 1.4 AND 'Yes' selected in column 'Data from the merger/acquisition will be incorporated in the next reporting year' – 1 point

A maximum of 1 point is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
7	7	1	1	1	1	0	0

Company-Wide Water Accounting

(9.2) - Across all your operations, what proportion of the following water aspects are regularly measured and monitored?

Theme	Sector that scoring criteria apply to
W	GN/CN/CE/PF/FB/AC/CH/RE/ST/TS/TO/ TO-EPM/CG

9.2 - (GN/CN/CE/PF/FB/AC/CH/RE/ST/TS/TO/ TO-EPM/CG) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding rows 'Entrained water associated with your metals & mining and/or coal sector activities - total volumes' AND 'Produced water associated with your oil & gas sector activities - total volumes'). Partially completed rows will not receive full points.

A maximum of 12 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/19 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

i) For each of the following rows where option...

- '26-50'
- '51-75'
- '76-99'
- '100%'

... is selected in column '% of sites/facilities/operations' - 1 point.

- 'Water withdrawals – total volume'
- 'Water discharges – total volume'
- 'Water consumption – total volume'
- 'The provision of fully-functioning, safely managed WASH services to all workers'

ii) Any of the following selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' – 1 point

- '26-50'
- '51-75'
- '76-99'
- '100%'

OR

'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' AND the figure provided in column 'Volume (megaliters/year)' in row 'Discharge to a third party without treatment in question 9.2.9 is equal to (+/-5%) the figure provided in column 'Volume (megaliters/year) in row 'Total discharges' in question 9.2.2

Any other row - 0/0 points

OR

ROUTE B)

All columns not completed - 0/21 points

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Not relevant' selected in column '% of sites/facilities/

operations' in row 'Water withdrawals – volumes by source' AND 'Water discharges – volumes by destination'

ROUTE A)

For each row where column '% of sites/facilities/operations' has been completed with a selection greater than 75%

Any option selected excluding both 'Other, please specify' AND 'Unknown' in column 'Frequency of measurement' – 0.5 points.

OR

ROUTE B)

For each row with 'Not relevant' selected in column '% of sites/facilities/operations' - 0/0 points

OR

ROUTE C)

All columns not completed - 0/14 points

Leadership criteria

At least 5 Management points must be awarded to be eligible for Leadership points.

For each row:

ROUTE A)

'100%' selected in column '% of sites/facilities/operations' AND 0.5/0.5 Management points awarded in row – 0.1 points

OR

ROUTE B)

0/0 Management points awarded in row – 0/0 points

OR

ROUTE C)

All columns not completed - 1.1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
12	12	5	5	6	6	1.1	1.1

(9.2) - Across all your operations, what proportion of the following water aspects are regularly measured and monitored?

Theme	Sector that scoring criteria apply to
W	OG

9.2 - (OG) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding row 'Entrained water associated with your metals & mining and/or coal sector activities - total volumes'). Partially completed rows will not receive full points.

A maximum of 13 points is available for this question.

OR

ROUTE B)

All columns not complete - 0/20 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

i) For each of the following rows where option...

- '26-50'

- '51-75'

- '76-99'

- '100%'

... is selected in column '% of sites/facilities/operations' - 1 point.

- 'Water withdrawals – total volume'
- 'Water discharges – total volume'
- 'Water consumption – total volume'
- 'The provision of fully-functioning, safely managed WASH services to all workers'
- 'Produced water associated with your oil & gas sector activities - total volumes'

ii) Any of the following selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' – 1 point

- '26-50'
- '51-75'
- '76-99'
- '100%'

OR

'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters'

AND

the figure provided in column 'Volume (megaliters/year)' in row 'Discharge to a third party without treatment in question 9.2.9 is equal to (+/-5%) the figure provided in column 'Volume (megaliters/year) in row 'Total discharges' in question 9.2.2

Any other row - 0/0 points

OR

ROUTE B)

All columns not completed - 0/22 points

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water withdrawals – volumes by source' AND 'Water discharges – volumes by destination'

ROUTE A)

For each row where column '% of sites/facilities/operations' has been completed with a selection greater than 75%

Any option selected excluding both 'Other, please specify' AND 'Unknown' in column

'Frequency of measurement' – 0.5 points.

OR

ROUTE B)

For each row with 'Not relevant' selected in column '% of sites/facilities/operations' - 0/0 points

OR

ROUTE C)

All columns not completed - 0/14.5 points

Leadership criteria

At least 5 Management points must be awarded to be eligible for Leadership points.

For each row:

ROUTE A)

'100%' selected in column '% of sites/facilities/operations' AND 0.5/0.5 Management points awarded in row – 0.1 points

OR

ROUTE B)

0/0 Management points awarded in row – 0/0 points

OR

ROUTE C)

All columns not completed - 1.2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
13	13	6	6	6.5	6.5	1.2	1.2

(9.2) - Across all your operations, what proportion of the following water aspects are regularly measured and monitored?

Theme	Sector that scoring criteria apply to
W	MM/CO

9.2 - (MM/CO) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding row 'Produced water associated with your oil & gas sector activities - total volumes'). Partially completed rows will not receive full points.

A maximum of 13 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/20 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

i) For each of the following rows where option...

- '26-50'

- '51-75'

- '76-99'

- '100%'

... is selected in column '% of sites/facilities/operations' - 1 point.

- 'Water withdrawals – total volume'

- 'Water discharges – total volume'

- 'Water consumption – total volume'

- 'The provision of fully-functioning, safely managed WASH services to all workers'

- 'Entrained water associated with your metals & mining and/or coal sector activities - total volumes'

ii) Any of the following selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' – 1 point

- '26-50'

- '51-75'

- '76-99'

- '100%'

OR

'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters'

AND

the figure provided in column 'Volume (megaliters/year)' in row 'Discharge to a third party without treatment in question 9.2.9 is equal to (+/-5%) the figure provided in column 'Volume (megaliters/year)' in row 'Total discharges' in question 9.2.2

Any other row - 0/0 points

OR

ROUTE B)

All columns not completed - 0/22 points

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water withdrawals – volumes by source' AND 'Water discharges – volumes by destination'

ROUTE A)

For each row where column '% of sites/facilities/operations' has been completed with a selection greater than 75%'

Any option selected excluding both 'Other, please specify' AND 'Unknown' in column 'Frequency of measurement' – 0.5 points.

OR

ROUTE B)

For each row with 'Not relevant' selected in column '% of sites/facilities/operations' - 0/0 points

OR

ROUTE C)

All columns not completed - 0/14.5 points

Leadership criteria

At least 5 Management points must be awarded to be eligible for Leadership points.

For each row:

ROUTE A)

'100%' selected in column '% of sites/facilities/operations' AND 0.5/0.5 Management points awarded in row – 0.1 points

OR

ROUTE B)

0/0 Management points awarded in row – 0/0 points

OR

ROUTE C)

All columns not completed - 1.2 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
13	13	6	6	6.5	6.5	1.2	1.2

(9.2) - Across all your operations, what proportion of the following water aspects are regularly measured and monitored?

Theme	Sector that scoring criteria apply to
W	EU

9.2 - (EU) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding rows 'Entrained water associated with your metals & mining and/or coal sector activities - total volumes' AND 'Produced water associated with your oil & gas sector activities - total volumes'). Partially completed rows will not receive full points.

A maximum of 12 points is available for this question.

OR

ROUTE B)

All columns not completed - 0/19 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

i) For each of the following rows where option...

- '26-50'
- '51-75'
- '76-99'
- '100%'

... is selected in column '% of sites/facilities/operations' - 1 point.

- 'Water withdrawals – total volume'
- 'Water discharges – total volume'
- 'Water consumption – total volume'
- 'The provision of fully-functioning, safely managed WASH services to all workers'

ii) Any of the following selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' – 1 point

- '26-50'
- '51-75'
- '76-99'
- '100%'

OR

'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' AND the figure provided in column 'Volume (megaliters/year)' in row 'Discharge to a third party without treatment' in question 9.2.9 is equal to (+/-5%) the figure provided in column 'Volume (megaliters/year)' in row 'Total discharges' in question 9.2.2

OR

'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water discharge quality – by standard effluent parameters' AND the sum of the figures in column 'Gross electricity generation (GWh)' in rows 'Hydropower', 'Wind' and 'Solar' is equal to or greater than 70% of the figure in column 'Gross electricity generation (GWh)' in row 'Total' in question 1.16.1

Any other row - 0/0 points

OR

ROUTE B)

All columns not completed - 0/21 points

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND 'Not relevant' selected in column '% of sites/facilities/operations' in row 'Water withdrawals – volumes by source' AND 'Water discharges – volumes by destination'

ROUTE A)

For each row where column '% of sites/facilities/operations' has been completed with a selection greater than 75%

Any option selected excluding both 'Other, please specify' AND 'Unknown' in column 'Frequency of measurement' – 0.5 points.

OR

ROUTE B)

For each row with 'Not relevant' selected in column '% of sites/facilities/operations' - 0/0 points

OR

ROUTE C)

All columns not completed - 0/14 points

Leadership criteria

At least 5 Management points must be awarded to be eligible for Leadership points.

For each row:

ROUTE A)

'100%' selected in column '% of sites/facilities/operations' AND 0.5/0.5 Management points awarded in row – 0.1 points

OR

ROUTE B)

0/0 Management points awarded in row – 0/0 points

OR

ROUTE C)

All columns not completed - 1.1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
12	12	5	5	6	6	1.1	1.1

(9.2.1) - For your hydropower operations, what proportion of the following water aspects are regularly measured and monitored?

Theme	Sector that scoring criteria apply to
W	EU

9.2.1 - (EU) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Only rows 'Fulfilment of downstream environmental flows' AND 'Sediment loading' are scored.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row (excluding row 'Other, please specify') in proportion to the number of rows disclosed as follows:

Any of the following selected in column '% of sites/facilities/operations measured and monitored' – 2 points

- '26-50'
- '51-75'
- '76-99'
- '100%'

A maximum of 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row (excluding row 'Other, please specify') in proportion to the number of rows disclosed as follows:

Either '76-99' OR '100%' selected in column '% of sites/facilities/operations measured and monitored' - 4 points

A maximum of 4 points is available for this question

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row (excluding row 'Other, please specify') in proportion to the number of rows disclosed as follows:

'100%' selected in column '% of sites/facilities/operations measured and monitored' – 0.2 points

A maximum of 0.2 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	2	2	4	4	0.2	0.2

(9.2.2) - What are the total volumes of water withdrawn, discharged, and consumed across all your operations, how do they compare to the previous reporting year, and how are they forecasted to change?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 9 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

- i) Either '76-99' OR '100%' selected in column '% of sites/facilities/operations' for row 'Water withdrawals – total volume' in question 9.2 – 1 point
 - ii) Either '76-99' OR '100%' selected in column '% of sites/facilities/operations' for row 'Water discharges – total volume' in question 9.2 – 1 point
 - iii) Either '76-99' OR '100%' selected in column '% of sites/facilities/operations' for row 'Water consumption – total volume' in question 9.2 – 1 point
 - iv) For each row where any option is selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column 'Primary reason for comparison with previous reporting year' – 0.5 points
-

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

- i) Figure in column 'Volume (megaliters/year)' in row 'Total withdrawals' is equal to the sum of column 'Volume (megaliters/year)' in question 9.2.7 ($\pm 5\%$) – 1 point
- ii) Figure in column 'Volume (megaliters/year)' in row 'Total discharges' is equal to the sum of column 'Volume (megaliters/year)' in question 9.2.8 ($\pm 5\%$) – 1 point
- iii) Any of the following options selected in column 'Comparison with previous reporting year' in row 'Total withdrawals' AND 'Total consumption' - 1 point per row
 - 'Much Lower'
 - 'Lower'
 - 'About the same'

OR

Either 'Much Higher' OR 'Higher' selected in column 'Comparison with previous reporting year' AND 'Mergers and acquisitions' is selected in column 'Primary reason for comparison with previous reporting year' – 1 point

For each row:

- iv) Any option selected excluding 'Unknown' in column 'Five-year forecast' AND any option selected excluding 'Unknown' in column 'Primary reason for forecast' – 0.33 points
-

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

i) Reported figures match the formula below ($\pm 5\%$) – 1 point

$$C = W = D$$

Where:

C= row 'Total consumption' in column 'Volume (megaliters/year)'

W = row 'Total withdrawals' in column 'Volume (megaliters/year)'

D= row 'Total discharges' in column 'Volume (megaliters/year)'

OR

If the formula is not met:

A valid explanation of why the reported figures do not balance is provided in any row in column 'Please explain' – 1 point

ii) Any of the following options selected in column 'Five-year forecast' in row 'Total withdrawals' – 0.5 points:

- 'Much Lower'
- 'Lower'
- 'About the same'

OR

Either 'Much Higher' OR 'Higher' selected in column 'Five-year forecast' in row 'Total withdrawals' AND 'Mergers and acquisitions' selected in column 'Primary reason for forecast' in row 'Total withdrawals' – 0.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	3.5	3.5	5	5	1.5	1.5

(9.2.3) - In your oil & gas sector operations, what are the total volumes of water withdrawn, discharged, and consumed (by business division), how do they compare to the previous reporting year, and how are they forecasted to change?

Theme	Sector that scoring criteria apply to
W	OG

9.2.3 - (OG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 5 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column 'Primary reason for comparison with previous reporting year' - 1 point

A maximum of 10 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

- i) Any of the following selected in column 'Comparison with previous reporting year' – 1 point
 - 'Much Lower'
 - 'Lower'

- 'About the same'

OR

'Much Higher' OR 'Higher' selected in column 'Comparison with previous reporting year' AND 'Mergers and acquisitions' is selected in column 'Primary reason for comparison with previous reporting year' – 1 point

ii) Any option selected excluding 'Unknown' in column 'Five-year forecast' AND any option selected excluding 'Unknown' in column 'Primary reason for forecast' – 1 point

A maximum 2 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

i) Any of the following selected in column 'Five-year forecast'

- 'Much Lower'
- 'Lower'
- 'About the same'

OR

'Much Higher' OR 'Higher' selected in column 'Five-year forecast' AND 'Mergers and acquisitions' selected in column 'Primary reason for forecast' – 0.5 points

A maximum of 0.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	12	10	2	2	0.5	0.5

(9.2.4) - Indicate whether water is withdrawn from areas with water stress , provide the proportion, how it compares with the previous reporting year, and how it is forecasted to change.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.4 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding column '% of total withdrawals that are withdrawn from areas with water stress').

A maximum of 9 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option excluding 'Unknown' selected in column 'Withdrawals are from areas with water stress' - 1 point

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

'No' selected in column 'Withdrawals are from areas with water stress' AND either 'WRI Aqueduct' OR 'WWF Water Risk Filter' selected in column 'Identification tool' - 3 points

OR

ROUTE B)

'No' selected in column 'Withdrawals are from areas with water stress' AND only 'Other, please specify' selected in column 'Identification tool' AND the following information is provided in column 'Please explain':

- A description of the tool used AND how stressed areas are defined and identified – 1.5 point

OR

ROUTE C)

- i) 'Yes' selected in column 'Withdrawals are from areas with water stress' AND either 'WRI Aqueduct' OR 'WWF Water Risk Filter' selected in column 'Identification tool' - 1 point
- ii) Any option selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column 'Primary reason for comparison with previous reporting year' - 1 point
- iii) Any option selected excluding 'Unknown' in column 'Five-year forecast' AND any option selected excluding both 'Unknown' AND 'Other, please specify' in column 'Primary reason for forecast' - 1 point

OR

ROUTE D)

- i) 'Yes' selected in column 'Withdrawals are from areas with water stress' AND only 'Other, please specify' selected in column 'Identification tool' AND the following information is provided in column 'Please explain':
 - A description of the tool used AND how stressed areas are defined and identified – 0.33 points
- ii) Any option selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column 'Primary reason for comparison with previous reporting year' – 0.33 points
- iii) Any option selected excluding 'Unknown' in column 'Five-year forecast' AND any option selected excluding both 'Unknown' AND 'Other, please specify' in column 'Primary reason for forecast' – 0.33 points

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

ROUTE A)

'No' selected in column 'Withdrawals are from areas with water stress' – 1 point

OR

ROUTE B)

i) 'Yes' selected in column 'Withdrawals are from areas with water stress' AND any of the following options selected in column 'Comparison with previous reporting year' – 0.5 points

- 'Much Lower'
- 'Lower'
- 'About the same'

OR

'Yes' selected in column 'Withdrawals are from areas with water stress' AND either 'Higher' OR 'Much Higher' selected in column 'Comparison with previous reporting year' AND 'Mergers and acquisitions' selected in column 'Primary reason for comparison with previous reporting year' – 0.5 points

ii) Any of the following selected in column 'Five-year forecast' – 0.5 points

- 'Much Lower'
- 'Lower'
- 'About the same'

OR

Any of the following selected in column 'Five-year forecast' AND 'Mergers and acquisitions' selected in column 'Primary reason for forecast' – 0.5 points

- 'Higher'
- 'Much higher'

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
9	9	1	1	3	3	1	1

(9.2.5) - Retrieving data. Wait a few seconds and try to cut or copy again.

Theme	Sector that scoring criteria apply to
W	AC/FB

9.2.5 - (AC/FB) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'The proportion of this commodity produced in areas with water stress is known' - 2 points

A maximum of 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

i) A description of anticipated future trends in the proportion provided in column 'Please explain' – 2 points

A maximum of 2 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

i) A description of how the metric is used within the organization provided in column 'Please explain' – 0.5 points

A maximum of 0.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	2	2	2	2	0.5	0.5

(9.2.6) - What proportion of the sourced agricultural commodities reported in 1.23 originate from areas with water stress?

Theme	Sector that scoring criteria apply to
W	AC/FB

9.2.6 - (AC/FB) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points,

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'The proportion of this commodity sourced in areas with water stress is known' - 2 points

A maximum of 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

- i) A description of any anticipated future trends in the proportion provided 'Please explain' – 2 points

A maximum of 2 points is available for this question

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

- i) A description of how the metric is used within the organization provided in column 'Please explain' – 0.5 points

A maximum of 0.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	2	2	2	2	0.5	0.5

(9.2.7) - Provide total water withdrawal data by source.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.7 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 6 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

For each row:

Either 'Relevant' OR 'Not relevant' selected in column 'Relevance' – 1 point

A maximum of 6 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Any of the following selected in column 'Comparison with previous reporting year' for row

'Groundwater – non-renewable' – 0 points

- 'About the same'
- 'Higher'
- 'Much Higher'

ROUTE A)

'Relevant' selected in column 'Relevance'.

Any option selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column

'Primary reason for comparison with previous reporting year' – 0.5 points

OR

ROUTE B)

'Not relevant' selected in column 'Relevance' - 0/0 points

A maximum of 3 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	6	6	0 or 3	0 or 3	0	0

(9.2.8) - Provide total water discharge data by destination.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.8 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

For each row:

Either 'Relevant' OR 'Not relevant' selected in column 'Relevance' – 1 point

A maximum of 4 points is available for this question.

Management criteria

Full Awareness points must have been awarded to be eligible for Management points.

ROUTE A)

Relevant' selected in column 'Relevance'.

Any option selected excluding 'This is our first year of measurement' in column 'Comparison with previous reporting year' AND any option selected excluding 'Unknown' in column 'Primary reason for comparison with previous reporting year' – 0.5 points

OR

ROUTE B)

'Not relevant' is selected in column 'Relevance'– 0/0 points

A maximum of 2 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	4	4	0 or 2	0 or 2	0	0

(9.2.9) - Within your direct operations, indicate the highest level(s) to which you treat your discharge.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.9 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 6 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

For each row:

Either 'Relevant' OR 'Not relevant' selected in column 'Relevance of treatment level to discharge' – 1 point

A maximum of 5 points is available for this question.

Row 'Other' not scored.

Management criteria

The following criteria must be met to be eligible for Management points:

Full Awareness points AND

The sum of column 'Volume (megaliters/year)' is equal to the figure in column 'Volume (megaliters/year)' in row 'Total discharges' in question 9.2.2 (+/- 5%).

ROUTE A)

'Relevant' selected in column 'Relevance of treatment level to discharge'.

i) A rationale for the level of treatment applied for your discharge AND a description of whether the company complies with any regulatory or voluntary standards is provided in column 'Please explain' - 0.5 points

OR

ROUTE B)

'Not relevant' selected in column 'Relevance of treatment level to discharge'.

i) An explanation of the reason why this level of treatment is not used or relevant for your discharge is provided in column 'Please explain' – 0.5 points

Row 'Other' not scored

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	5	5	2.5	2.5	0	0

(9.2.10) - Provide details of your organization's emissions of nitrates, phosphates, pesticides, and other priority substances to water in the reporting year.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.2.10 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Table completed – 1 point

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	1	1	0	0	0	0

Facility-wide water accounting and verification

(9.3) - In your direct operations and upstream value chain, what is the total number of facilities where you have identified water-related dependencies, impacts, risks, and opportunities with the potential to have a substantive effect on your organization or on the environment?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/PF/FB/AC/CH/RE/MM/ST/TS/ TO/TO-EPM/CG

9.3 - (GN/CN/CO/CE/PF/FB/AC/CH/RE/MM/ST/TS/ TO/TO-EPM/CG) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/20.5 points

Awareness criteria

ROUTE A)

'Yes, we have assessed this value chain stage and identified facilities with water-related dependencies, impacts, risks, and opportunities' OR 'No, we have assessed this value chain stage but did not identify any facilities with water-related dependencies, impacts, risks, and opportunities' selected in column 'Identification of facilities in this value chain stage' - 1 point

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/8 points

Management criteria

ROUTE A)

Any option selected excluding 'Unknown' in column '% of facilities that this represents' - 2 points

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/9 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	1	1	0 or 2	2 or 9	0	0 or 1

(9.3) - In your direct operations and upstream value chain, what is the total number of facilities where you have identified water-related dependencies, impacts, risks, and opportunities with the potential to have a substantive effect on your organization or on the environment?

Theme	Sector that scoring criteria apply to
W	EU

9.3 - (EU) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/21 points

Awareness criteria

ROUTE A)

'Yes, we have assessed this value chain stage and identified facilities with water-related dependencies, impacts, risks, and opportunities' OR 'No, we have assessed this value chain

stage but did not identify any facilities with water-related dependencies, impacts, risks, and opportunities' selected in column 'Identification of facilities in this value chain stage' - 1 point

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/8 points

Management criteria

ROUTE A)

Any option selected excluding 'Unknown' in column '% of facilities that this represents' - 2 points

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/9 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 4	4 or 21	0 or 1	1 or 8	0 or 2	2 or 9	0	0 or 1

(9.3) - In your direct operations and upstream value chain, what is the total number of facilities where you have identified water-related dependencies, impacts, risks, and opportunities with the potential to have a substantive effect on your organization or on the environment?

Theme	Sector that scoring criteria apply to
W	OG

9.3 - (OG) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/21 points

Awareness criteria

ROUTE A)

'Yes, we have assessed this value chain stage and identified facilities with water-related dependencies, impacts, risks, and opportunities' OR 'No, we have assessed this value chain stage but did not identify any facilities with water-related dependencies, impacts, risks, and opportunities' selected in column 'Identification of facilities in this value chain stage' - 1 point

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/8 points

Management criteria

ROUTE A)

Any option selected excluding 'Unknown' in column '% of facilities that this represents' - 2 points

Only row 'Direct operations' is scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/9 points

Leadership criteria

ROUTE A)

Not scored.

OR

ROUTE B)

Column 'Identification of facilities in this value chain stage' not completed - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 4	4 or 21	0 or 1	1 or 8	0 or 2	2 or 9	0	0 or 1

(9.3.1) - For each facility referenced in 9.3, provide coordinates, water accounting data, and a comparison with the previous reporting year.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/PF/FB/AC/CH/RE/MM/ST/TS/ TO/TO-EPM/CG

9.3.1 - (GN/CN/CO/CE/PF/FB/AC/CH/RE/MM/ST/TS/ TO/TO-EPM/CG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

Maximum of 12.5 points is available for this question.

The following columns are not scored:

- 'Facility name (optional)'
- 'Primary power generation source for your electricity generation at this facility'
- 'Oil & gas sector business division'

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Any option excluding 'Unknown' selected in column 'Located in area with water stress' - 3 points

A maximum of 3 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

The number of rows disclosed with 'Direct operations' selected in column 'Value chain stage' in question 9.3.1 equals the total number of facilities reported in rows where 'Direct operations' is selected in column 'Value chain stage' in question 9.3. – 4 points

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

ROUTE A)

In rows where 'Direct operations' is selected in column 'Value chain stage':

i) The sum of the following columns is equal to the figure in column 'Total water withdrawals at this facility' (+/- 5%) - 0.5 points

- 'Withdrawals from fresh surface water, including rainwater, water from wetlands, rivers and lakes'
- 'Withdrawals from brackish surface water/seawater'
- 'Withdrawals from groundwater - renewable'
- 'Withdrawals from groundwater - non-renewable'
- 'Withdrawals from produced/entrained water'
- 'Withdrawals from third party sources'

ii) The sum of the following columns is equal to the figure in column 'Total water discharges at this facility' (+/- 5%) - 0.5 points

- 'Discharges to fresh surface water'
- 'Discharges to brackish surface water/seawater'
- 'Discharges to groundwater'
- 'Discharges to third party destinations'

OR

ROUTE B)

In rows where 'Upstream value chain' selected in column 'Value chain stage' – 0/0 points

A maximum of 1 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
12.5	12.5	3	3	4	4	0 or 1	0 or 1

(9.3.1) - For each facility referenced in 9.3, provide coordinates, water accounting data, and a comparison with the previous reporting year.

Theme	Sector that scoring criteria apply to
W	EU

9.3.1 - (EU) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Maximum of 13 points is available for this question.

The following columns are not scored:

- 'Facility name (optional)'
 - 'Oil & gas sector business division'
-

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Any option excluding 'Unknown' selected in column 'Located in area with water stress' - 3 points

A maximum of 3 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

The number of rows disclosed with 'Direct operations' selected in column 'Value chain stage' in question 9.3.1 equals the total number of facilities reported in rows where 'Direct operations' is selected in column 'Value chain stage' in question 9.3. – 4 points

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

ROUTE A)

In rows where 'Direct operations' is selected in column 'Value chain stage':

i) The sum of the following columns is equal to the figure in column 'Total water withdrawals at this facility' (+/- 5%) - 0.5 points

- 'Withdrawals from fresh surface water, including rainwater, water from wetlands, rivers and lakes'
- 'Withdrawals from brackish surface water/seawater'
- 'Withdrawals from groundwater - renewable'
- 'Withdrawals from groundwater - non-renewable'
- 'Withdrawals from produced/entrained water'
- 'Withdrawals from third party sources'

ii) The sum of the following columns is equal to the figure in column 'Total water discharges at this facility' (+/- 5%) - 0.5 points

- 'Discharges to fresh surface water'
- 'Discharges to brackish surface water/seawater'
- 'Discharges to groundwater'
- 'Discharges to third party destinations'

OR

ROUTE B)

In rows where 'Upstream value chain' selected in column 'Value chain stage' – 0/0 points

A maximum of 1 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
13	13	3	3	4	4	0 or 1	0 or 1

(9.3.1) - For each facility referenced in 9.3, provide coordinates, water accounting data, and a comparison with the previous reporting year.

Theme	Sector that scoring criteria apply to
W	OG

9.3.1 - (OG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

Maximum of 13 points is available for this question.

The following columns are not scored:

- 'Facility name (optional)'
- 'Primary power generation source for your electricity generation at this facility'

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Any option excluding 'Unknown' selected in column 'Located in area with water stress' - 3 points

A maximum of 3 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

The number of rows disclosed with 'Direct operations' selected in column 'Value chain stage' in question 9.3.1 equals the total number of facilities reported in rows where 'Direct operations' is selected in column 'Value chain stage' in question 9.3. – 4 points

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as

follows:

ROUTE A)

In rows where 'Direct operations' is selected in column 'Value chain stage':

i) The sum of the following columns is equal to the figure in column 'Total water withdrawals at this facility' (+/- 5%) - 0.5 points

- 'Withdrawals from fresh surface water, including rainwater, water from wetlands, rivers and lakes'
- 'Withdrawals from brackish surface water/seawater'
- 'Withdrawals from groundwater - renewable'
- 'Withdrawals from groundwater - non-renewable'
- 'Withdrawals from produced/entrained water'
- 'Withdrawals from third party sources'

ii) The sum of the following columns is equal to the figure in column 'Total water discharges at this facility' (+/- 5%) - 0.5 points

- 'Discharges to fresh surface water'
- 'Discharges to brackish surface water/seawater'
- 'Discharges to groundwater'
- 'Discharges to third party destinations'

OR

ROUTE B)

In rows where 'Upstream value chain' selected in column 'Value chain stage' – 0/0 points

A maximum of 1 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
13	13	3	3	4	4	0 or 1	0 or 1

(9.3.2) - For the facilities referenced in 9.3.1 what proportion of water accounting data has been third party verified?

Theme	Sector that scoring criteria apply to
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W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG
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9.3.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 4 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 4 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

ROUTE A)

i) '76-100' selected in column '% verified' in row 'Water withdrawals – total volume' - 1 point

ii) '76-100' selected in column '% verified' in row 'Water discharges – total volume' - 1 point

iii) '76-100' selected in column '% verified' in row 'Water discharges – quality by standard water quality parameters' - 1 point

OR

'Not relevant' selected in column '% verified' in row 'Water discharges – quality by standard water quality parameters' AND 'No' OR 'Yes, withdrawals only' is selected in column

'Withdrawals or discharges in the reporting year' in all rows in question 9.3.2 - 1 point

OR

'Not relevant' selected in column '% verified' in row 'Water discharges – quality by standard water quality parameters' AND the figure provided in column 'Volume (megaliters/year)' in row 'Discharge to a third party without treatment' in question 9.2.9 is within 5% variance of the figure provided in column 'Volume (megaliters/year)' in row 'Total discharges' in question 9.2.2– 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
4	4	4	4	3	3	0	0

Impact of facilities on CDP supply chain members (SC Only)

(9.4) - Could any of your facilities reported in 9.3.1 have an impact on a requesting CDP supply chain member?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.4 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

(9.4.1) - Indicate which of the facilities referenced in 9.3.1 could impact a requesting CDP supply chain member.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.4.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

Water efficiency and water intensity

(9.5) - Provide a figure for your organization's total water withdrawal efficiency.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

9.5 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding column 'Total water withdrawal efficiency').

A maximum of 2 points is available for this question.

Awareness criteria

Table complete – 1 point

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2	1	1	0	0	0	0

(9.6) - Do you calculate water intensity for your activities in the chemical sector?

Theme	Sector that scoring criteria apply to
W	CH

9.6 - (CH) Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected - 4 points

OR

ROUTE B)

No option selected - 0/11 points

Awareness criteria

ROUTE A)

'Yes' selected - 4 points

OR

ROUTE B)

No option selected - 0/11 points

Management criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)

No option selected - 0/11 points

Leadership criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)

No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 4	4 or 11	0 or 4	4 or 11	0	0 or 11	0	0

(9.6.1) - For your top five products by production weight/volume, provide the following water intensity information associated with your activities in the chemical sector.

Theme	Sector that scoring criteria apply to
W	CH

9.6.1 - (CH) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 7 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 7 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

- i) An explanation as to why the volume has or has not changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any anticipated future trends in water intensity - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded proportionally to the number of rows completed as follows:

Details on any strategy in place to reduce water intensity provided in column 'Please explain' - 1 point

A maximum of 1 point is available for this question

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
7	7	7	7	3	3	1	1

(9.7) - Do you calculate water intensity for your electricity generation activities?

Theme	Sector that scoring criteria apply to
W	EU

9.7 - (EU) Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected - 2 points

OR

ROUTE B)

No option selected - 0/7 points

Awareness criteria

ROUTE A)

'Yes' selected - 1 point

OR

ROUTE B)

No option selected - 0/7 points

Management criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)

No option selected - 0/3 points

Leadership criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)

No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 2	2 or 7	0 or 1	1 or 7	0	0 or 3	0	0 or 1

(9.7.1) - Provide the following intensity information associated with your electricity generation activities.

Theme	Sector that scoring criteria apply to
W	EU

9.7.1 - (EU) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 6 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as

follows:

Column 'Please explain' provides the following:

- i) An explanation as to why the volume has or has not changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any anticipated future trends in water intensity - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded proportionally to the number of rows completed as follows:

Details on any strategy in place to reduce water intensity provided in column 'Please explain' - 1 point

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	6	6	3	3	1	1

(9.8) - Provide water intensity information for each of the agricultural commodities identified in 1.23 that you produce.

Theme	Sector that scoring criteria apply to
W	AC/FB

9.8 - (AC/FB) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 6 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'Water intensity information for this produced commodity is collected/calculated' – 2 points

A maximum of 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

- i) An explanation as to why or why not the volume has changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any anticipated future trends in water intensity - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Details on any strategy in place to reduce water intensity in column 'Please explain' - 0.5 points

A maximum of 0.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	2	2	3	3	0.5	0.5

(9.9) - Provide water intensity information for each of the agricultural commodities identified in 1.23 that you source.

Theme	Sector that scoring criteria apply to
W	AC/FB

9.9 - (AC/FB) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 6 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'Water intensity information for this sourced commodity is collected/calculated' – 2 points

A maximum 2 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

- i) An explanation as to why the volume has or has not changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any water intensity future anticipated trends - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Details on any strategy in place to reduce water intensity in column 'Please explain' - 0.5 points

A maximum of 0.5 points is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	2	2	3	3	0.5	0.5

(9.10) - Do you calculate water intensity information for your metals and mining activities?

Theme	Sector that scoring criteria apply to
W	MM/CO

9.10 - (MM/CO) Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected - 4 points

OR

ROUTE B)

No option selected - 0/9 points

Awareness criteria

ROUTE A)

'Yes' selected - 4 points

OR

ROUTE B)

No option selected - 0/9 points

Management criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)

No option selected - 0/3 points

Leadership criteria

ROUTE A)

Any option selected - 0/0 points

OR

ROUTE B)
No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 4	4 or 9	0 or 4	4 or 9	0	0 or 3	0	0 or 1

(9.10.1) - For your top five products by revenue, provide the following intensity information associated with your metals and mining activities.

Theme	Sector that scoring criteria apply to
W	MM/CO

9.10.1 - (MM/CO) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 5 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 5 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

- i) An explanation as to why the volume has or has not changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any anticipated future trends in water intensity - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Details on any strategy in place to reduce water intensity provided in column 'Please explain' - 1 point

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	5	5	3	3	1	1

(9.11) - Do you calculate water intensity for your activities associated with the oil & gas sector?

Theme	Sector that scoring criteria apply to
W	OG

9.11 - (OG) Scoring criteria

Disclosure criteria

ROUTE A)
Any option selected - 4 points

OR

ROUTE B)
No option selected - 0/10 points

Awareness criteria

ROUTE A)
'Yes' selected - 4 points

OR

ROUTE B)
No option selected - 0/10 points

Management criteria

ROUTE A)
Any option selected - 0/0 points

OR

ROUTE B)
No option selected - 0/3 points

Leadership criteria

ROUTE A)
Any option selected - 0/0 points

OR

ROUTE B)
No option selected - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
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0 or 4	4 or 10	0 or 4	4 or 10	0	0 or 3	0	0 or 1
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(9.11.1) - Provide water intensity information associated with your activities in the oil & gas sector.

Theme	Sector that scoring criteria apply to
W	OG

9.11.1 - (OG) Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed. Partially completed rows will not receive full points.

A maximum of 6 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed.

A maximum of 6 points is available for this question.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

Column 'Please explain' provides the following:

- i) An explanation as to why the volume has or has not changed from the previous reporting year - 1 point
- ii) How the metrics are used internally - 1 point
- iii) A description of any anticipated future trends in water intensity - 1 point

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded proportionally to the number of rows disclosed as follows:

Details on any strategy in place to reduce water intensity - 1 point

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	6	6	3	3	1	1

Water intensities of products (SC only)

(9.12) - Provide any available water intensity values for your organization's products or services.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.12 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Not scored

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

Products and services

(9.13) - Do any of your products contain substances classified as hazardous by a regulatory authority?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

9.13 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

ROUTE A)

Any option selected in column 'Products contain hazardous substances' – 1 point

OR

ROUTE B)

Column 'Products contain hazardous substances' not completed - 0/4 points

Awareness criteria

ROUTE A)

Any option selected excluding 'Unknown' selected in column 'Products contain hazardous substances' – 1 point

OR

ROUTE B)

Column 'Products contain hazardous substances' not completed - 0/2 points

Management criteria

No' selected in column 'Products contain hazardous substances' - 1 point

Leadership criteria

ROUTE A)

'Yes' OR 'No' selected in column 'Products contain hazardous substances' - 0/0 points

OR

ROUTE B)

'Unknown' selected in column 'Products contain hazardous substances' - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0 or 1	1 or 4	0 or 1	1 or 2	1	1	0	0 or 1

(9.13.1) - What percentage of your company's revenue is associated with products containing substances classified as hazardous by a regulatory authority?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

9.13.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 3 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

Any option selected excluding 'Don't know' in column '% of revenue associated with products containing substances in this list' - 1 point

A maximum of 1 point is available for this question.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	0	0	0	0

(9.14) - Do you classify any of your current products and/or services as low water impact?

Theme	Sector that scoring criteria apply to
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W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG
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9.14 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

Awareness criteria

Table completed - 2 points

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

If 'Yes' selected in column 'Products and/or services classified as low water impact':

- i) The threshold used to classify products/services as low water impact is provided in column 'Definition used to classify low water impact' - 1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	2	2	1	1	0	0

Water-related targets

(9.15) - Do you have any water-related targets?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.15 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Any option selected - 1 point

Awareness criteria

ROUTE A)

'Yes' selected - 2 points

OR

ROUTE B)

'No, but we plan to within the next two years' selected - 1 point

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure	Disclosure	Awareness	Awareness	Management	Management	Leadership	Leadership
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numerator	denominator	numerator	denominator	numerator	denominator	numerator	denominator
1	1	2	2	0	0	0	0

(9.15.1) - Indicate whether you have targets relating to water pollution, water withdrawals, WASH, or other water-related categories.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.15.1 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)
Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding row 'Other').

A maximum of 6 points is available for this question.

Awareness criteria

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option selected excluding 'No, and we do not plan to within the next two years' selected in column 'Target set in this category' in at least one row - 1 point

Row 'Other' not scored.

Management criteria

Full Awareness points must be awarded to be eligible for Management points.

For each row:

ROUTE A)

'Yes' selected in column 'Target set in this category' - 1 point

OR

ROUTE B)

'No, but we plan to within the next two years' selected in column 'Target set in this category'-
0.5 points

Row 'Other' not scored

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

i) 'Yes' selected in column 'Target set in this category' in row 'Water pollution' AND any water pollution option selected in column 'Category of target & Quantitative metric' in any row in question 9.15.2 - 0.5 points

ii) 'Yes' selected in column 'Target set in this category' in row 'Water withdrawals' AND any water withdrawals option selected in column 'Category of target & Quantitative metric' in any row in question 9.15.2 - 0.5 points

iii) 'Yes' selected in column 'Target set in this category' in row 'Water, Sanitation, and Hygiene (WASH) services' AND any Water, Sanitation, and Hygiene (WASH) services option selected in column 'Category of target & Quantitative metric' in any row in question 9.15.2 - 0.5 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	1	1	3	3	1.5	1.5

(9.15.2) - Provide details of your water-related targets and the progress made.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG

9.15.2 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)

Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of rows disclosed (excluding column '% of target achieved relative to base year' [auto-calculated]).

A maximum of 14 points is available for this question.

Awareness criteria

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

- i) All of the following columns completed - 2 points
 - 'Target coverage'
 - 'Category of target & Quantitative metric '
 - 'Explain target coverage and identify any exclusions'

- ii) All of the following columns completed - 2 points
 - 'Date target was set'
 - 'End date of base year'
 - 'End date of target'

- iii) All of the following columns completed - 2 points
 - 'Base year figure'
 - 'Target year figure'
 - 'Reporting year figure'

A maximum of 6 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points awarded AND

Date in column 'End date of base year' is before or the same as the date in column 'Date target was set' AND

Date in column 'Date target was set' is before or the same as the date in column 'End date of reporting year' in question 1.4 AND before date in column 'End date of target' AND

Date in column 'End date of target' is after the date in column 'End date of reporting year' in question 1.4 OR Date in column 'End date of target' is before or the same as the date in

column 'End date of reporting year' in question 1.4 AND column '% of target achieved relative to base year' is completed with a figure equal to or greater than 100 AND

Any of the following selected in column 'Target status in reporting year':

- 'New'
- 'Underway'
- 'Achieved'
- 'Revised'

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

i) A figure greater than '0' is provided in column '% of target achieved relative to base year' OR 'New' selected in column 'Target status in reporting year' AND the year provided in column 'Date target was set' is the same as the year in column 'End of the reporting period' in question 1.4 - 2 points

ii) Any of the following selected in column 'Global environmental treaties/initiatives/frameworks aligned with or supported by this target' – 1 point

- 'Zero Discharge of Hazardous Chemicals (ZDHC)'
- 'Water Resilience Coalition'
- 'Sustainable development Goal 6'
- 'Science Based Targets for Nature'
- 'Wastewater Zero Commitment '

A maximum of 3 points is available for this question.

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Points will be awarded per completed row in proportion to the number of rows disclosed as follows:

The following formula is met - 1 point

'% of target achieved relative to base year' \geq ((year in column 'End date of reporting year' in question 1.4 - year in column 'End date of base year')/(year in column 'End date of target' - year in column 'End date of base year'))*100

A maximum of 1 point is available for this question.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
14	14	6	6	3	3	1	1

(9.15.3) - Why do you not have water-related target (s) and what are your plans to develop these in the future?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG

9.15.3 - (GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG)
Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 6 points is available.

Awareness criteria

Full Disclosure points must be awarded to be eligible for points at Awareness level.

ROUTE A)

'We are planning to introduce a target within the next two years' selected in column 'Primary reason ' - 1 point

OR

ROUTE B)

'Important but not an immediate business priority' OR 'Lack of internal resources' selected in column 'Primary reason' - 0.5 points

Management criteria

A maximum of 0/5 points is available on this route.

Leadership criteria

A maximum of 0/2 points is available on this route.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
6	6	1	1	0	5	0	2

Module 12 – Environmental Performance Financial Services

Environmental impact of portfolio and emissions breakdown

(12.1) - Does your organization measure the impact of your portfolio on the environment?

Theme	Sector that scoring criteria apply to
W	FS

12.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in the following columns (if applicable) in proportion to the number of rows disclosed:

- 'We measure the impact of our portfolio on water'
- 'Primary reason for not measuring portfolio impact on water'
- 'Explain why your organization does not measure its portfolio impact on water'

A maximum of 3 points is available for this question.

OR

ROUTE B)

Any option selected excluding 'Yes' in column 'Process in place covering this portfolio' in all rows in question 2.2.4 - 0/0 points

OR

ROUTE C)

All columns not completed in all rows - 0/10 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Points will be awarded per row in proportion to the number of rows disclosed as follows:

'Yes' selected in column 'We measure the impact of our portfolio on water' – 1 point

OR

'No, but we plan to in the next two years' selected in column 'We measure the impact of our portfolio on water' – 0.5 points

A maximum of 1 point is available for this question.

OR

ROUTE B)

Any option selected excluding 'Yes' in column 'Process in place covering this portfolio' in all rows in question 2.2.4 - 0/0 points

OR

ROUTE C)

All columns not complete in all rows - 0/2 points

Management criteria

ROUTE A)

'Yes' selected in column 'We measure the impact of our portfolio on water' - 1 point

OR

ROUTE B)

Any option selected excluding 'Yes' in column 'Process in place covering this portfolio' in all rows in question 2.2.4 - 0/0 points

Leadership criteria

All columns not completed in all rows - 0/1 point

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1 or 2	1	1	0	0

(12.1.3) - Provide details of the other metrics used to track the impact of your portfolio on the environment.

Theme	Sector that scoring criteria apply to
W	FS

12.1.3 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed where 'Water' is selected in column 'Environmental issue' (excluding both columns '% of emissions calculated using data obtained from clients/investees' AND 'Total value of assets included in the calculation'. Partially completed rows will not receive full points

A maximum of 7 points is available for this question.

OR

ROUTE B)

For rows where 'Water' is NOT selected in column 'Environmental issue' – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows displayed (excluding both columns '% of emissions calculated using data obtained from clients/investees' AND 'Total value of assets included in the calculation'):

i) Figure greater than 0 provided in column 'Percentage of portfolio covered in relation to total portfolio value ' - 1 point

A maximum of 1 point is available for this question.

OR

ROUTE B)

For rows where 'Water' is NOT selected in column 'Environmental issue' – 0/0 points

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Awareness points must be awarded to be eligible for Management points.

i) An explanation as to why the metric was chosen for the portfolio type AND how it is used to track impact on water is provided in column 'Please explain the details and assumptions used in your assessment' – 1 point

ii) Figure greater than 0 provided in column 'Percentage calculated using data obtained from clients/investees' - 1 point

OR

ROUTE B)

For rows where 'Water' is NOT selected in column 'Environmental issue' – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Full Management points awarded - 1 point

OR

ROUTE B)

For rows where 'Water' is NOT selected in column 'Environmental issue' – 0/0 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
7	7	1	1	2	2	1	1

Environmentally sustainable products/services

(12.6) - Do any of your existing products and services enable clients to mitigate and/or adapt to the effects of environmental issues?

Theme	Sector that scoring criteria apply to
F/W	FS

12.6 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available in this question.

OR

ROUTE B)

All columns not completed for all rows - 0/8 points

Awareness criteria

ROUTE A)

'Yes' selected in column 'Existing products and services...' - 1 point

OR

ROUTE B)

'No, but we plan to address this within the next two years' selected in column 'Existing products and services...' AND
 Both columns 'Primary reasons for not offering products and services ...' AND 'Explain why your organization does not offer products and services...' completed - 0.5 points

OR

ROUTE C)
 All columns not completed for all rows - 0/3 points

Management criteria

ROUTE A)
 'Yes' selected in column 'Existing products and services...' - 1 point

OR

ROUTE B)
 All columns not completed in all rows - 0/4 points

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3 or 8	0.5 or 1	0.5 or 1 or 3	1	1 or 4	0	0

(12.6.1) - Provide details of your existing products and services that enable clients to mitigate and/or adapt to the effects of environmental issues, including any taxonomy or methodology used to classify the products and services.

Theme	Sector that scoring criteria apply to
W	FS

12.6.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue':

Points will be awarded per completed cell in proportion to the number of rows disclosed.

A maximum of 5 points are available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue' – 0/0 points

Awareness criteria

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points must be awarded AND

'Water' selected in column 'Environmental issue' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per row in proportion to the number of rows disclosed as follows:

- i) Any option selected in column 'Taxonomy or methodology used to identify product characteristics' – 1 point
- ii) Any of the following options selected in column 'Type of solution financed, invested in or insured' – 1 point
 - 'Landscape and/or jurisdictional approaches'
 - 'Water supply and sewer networks infrastructure'
 - 'Water treatment infrastructure'
 - 'Wastewater treatment infrastructure'
 - 'WASH services'
 - 'Water resources and ecosystem protection'
 - 'Flood/drought resilience'
 - 'Sustainable agriculture'
 - 'Nature-based solutions'
 - 'Not applicable'

A maximum of 2 points is available for this question.

Management criteria

The following conditions must be met to be eligible for Management points:

Full Awareness points must be awarded AND

'Water' selected in column 'Environmental issue' in at least one row.

For rows where 'Water' is selected in column 'Environmental issues':

Points will be awarded per row in proportion to the number of rows disclosed as follows:

i) Any option selected excluding 'Externally classified using other taxonomy or methodology, please specify' in column 'Taxonomy...' - 1 point

ii) Figure greater than 0 provided in both columns '% of portfolio aligned with a taxonomy or methodology in relation to total portfolio value' AND '% of asset value aligned with a taxonomy or methodology' AND

Any option selected in column 'Taxonomy or methodology used to identify product characteristics' - 2 points

A maximum of 3 points is available for this question.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
5	5	2	2	3	3	0	0

Portfolio targets

(12.7) - Has your organization set targets for deforestation and conversion-free and/or water secure lending, investing and/or insuring?

Theme	Sector that scoring criteria apply to
W	FS

12.7 - (FS) Scoring criteria

Disclosure criteria

For the 'Water' row:

ROUTE A)

Points will be awarded per completed cell in the 'Water' row in proportion to the number of cells displayed.

A maximum of 2 points is available in this question.

OR

ROUTE B)

Column 'Target set' not completed in the 'Water' row - 0/9 points

Awareness criteria

For the 'Water' row:

ROUTE A)

'Yes, we have set water-secure lending, investing and/or insuring targets' selected in column 'Target set' - 1/1 point

OR

ROUTE B)

'No, we have not set such targets, but we plan to within the next two years' selected in column 'Target set' AND column 'Explain why your organization has not set targets for deforestation-free and conversion-free and/or water secure lending, investing and/or insuring and any plans to address this in the future' is completed - 0.5/1 points

OR

ROUTE C)

Column 'Target set' not completed in the 'Water' row - 0/2.5 points

Management criteria

For the 'Water' row:

ROUTE A)

'Yes, we have set water-secure lending, investing and/or insuring targets ' selected in column 'Targets set' - 1/1 point

OR

ROUTE B)

Column 'Target set' not completed in the 'Water' row - 0/3 points

Leadership criteria

ROUTE A)

Column 'Target set' completed in the 'Water' row - 0/0 points

OR

ROUTE B)

Column 'Target set' not completed in the 'Water' row - 0/1 points

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2 or 9	0.5 or 1	1 or 2.5	1	1 or 3	0	0 or 1

(12.7.1) - Provide details of your targets for deforestation-free and conversion-free and/or water secure lending, investing and/or insuring.

Theme	Sector that scoring criteria apply to
W	FS

12.7.1 - (FS) Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered by the target':

Points will be awarded per completed cell in proportion to the number of cells displayed (excluding columns 'Total value of portfolio covered by target' AND '% of target achieved').

A maximum of 7 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered by the target' in any row – 0/0 points

One row scored

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered by the target':

The following conditions must be met to be eligible for Awareness points:

Full Disclosure points awarded AND

The year in column 'End date of base year' must be before or the same as the year in column 'Date target was set' AND

The year in column 'Date target was set' must be before or the same as the year at the end of the reporting period provided in question 1.4 AND

The year in column 'Date target was set' AND the year in column 'End date of base year' must be before the year in column 'End date of target' AND

The year in column 'End date of target' is the same as or after the year at the end of the reporting period provided in question 1.4 AND

If any '% of...' option is selected in column 'Target metric': column 'Target value' must be completed with a figure between 0.01-100.

i) Any option excluding 'Other, please specify' selected in column 'Targets set' - 1 point

ii) A figure greater than 0 is provided in column '% of portfolio covered by the target in relation to total portfolio value' – 0.5 points

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered by the target' – 0/0 points

One row scored.

Management criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered by the target':

Full Awareness points must have been awarded to be eligible for Management points.

Column '% of target achieved' is completed with a figure greater than 0 OR the year provided in column 'Date target was set' is the same as the end of the reporting period provided in question 1.4. – 2 points

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered by the target' – 0/0 points

One row scored.

Leadership criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue covered by the target':

The following condition must be met to be eligible for Leadership points:
Full Management points must be awarded.

The following formula must be met:

i) '% of target achieved' \geq ((year in column 'Start date' in question 1.4)+1) - 'End date of base

year')*100)/('End date of target' - 'End date of base year') - 1 point

OR

ROUTE B)

'Water' NOT selected in column 'Environmental issue covered by the target' – 0/0 points

One row scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
7	7	1.5	1.5	2	2	1	1

Module 13 - Sign off

Verification of other environmental information

(13.1) - Indicate if any environmental information included in your CDP response (not already reported in 7.9.1/2/3, 8.9.1/2/3/4, and 9.3.2) is verified and/or assured by a third party?

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ ST/TS/TO/TO-EPM/CG/FS

13.1 - Scoring criteria

Disclosure criteria

ROUTE A)

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 3 points is available for this question.

OR

ROUTE B)

All columns not completed – 0/5 points

Awareness criteria

ROUTE A)

Full Disclosure points must be awarded to be eligible for Awareness points.

Any option selected excluding 'No, and we do not plan to obtain third-party verification/assurance of other environmental information in our CDP response within the next two years' in column 'Other environmental information included in your CDP response is verified and/or assured by a third party' – 1 point

OR

ROUTE B)

All columns not completed - 0/2 points

Management criteria

ROUTE A)

Full Awareness points must be awarded to be eligible for Management points.

'Yes' selected in column 'Other environmental information included in your CDP response is verified and/or assured by a third party' – 1 point

OR

ROUTE B)

All columns not completed - 0/1 point

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
3	3	1	1	1	1	0	0

(13.1.1) - Which data points within your CDP response are verified and/or assured by a third party, and which standards were used?

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

13.1.1 - Scoring criteria

Disclosure criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue for which data has been verified and/or assured':

Points will be awarded per complete row in proportion to the number of rows disclosed (excluding column 'Attach verification/assurance evidence/report (optional)'). Partially completed rows will not receive full points.

A maximum of 2 points is available for this question.

OR

ROUTE B)

'Water' NOT selected in column' in any row – 0/0 points

Awareness criteria

ROUTE A)

For rows where 'Water' is selected in column 'Environmental issue for which data has been verified and/or assured':

Table completed – 1 point.

OR

ROUTE B)

'Water' NOT selected in column' in any row – 0/0 points

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
2	2	1	1	0	0	0	0

Further information

(13.2) - Use this field to provide any additional information or context that you feel is relevant to your organization's response. Please note that this field is optional and is not scored.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

13.2 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0

Sign off

(13.3) - Provide the following information for the person that has signed off (approved) your CDP response.

Theme	Sector that scoring criteria apply to
CC/F/W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

13.3 - Scoring criteria

Disclosure criteria

Points will be awarded per completed cell in proportion to the number of cells displayed.

A maximum of 1 point is available for this question.

Awareness criteria

Not scored.

Management criteria

Full Disclosure points must be awarded to be eligible for Management points.

ROUTE A)

Any of the following selected in column 'Corresponding job category' – 2 points

- 'Board Chair'
- 'Director on board'
- 'Chief Executive Officer (CEO)'
- 'Chief Financial Officer (CFO)'
- 'Chief Operating Officer (COO)'
- 'Chief Procurement Officer (CPO)'
- 'Chief Risk Officer (CRO)'

- 'Chief Sustainability Officer (CSO)'
- 'Other C-suite Officer'
- 'President'
- 'General Counsel'

OR

ROUTE B)

Any of the following selected in column 'Corresponding job category' – 1 point

- 'General Counsel'
- 'Business unit manager'
- 'Energy manager'
- 'Environmental, health and safety manager'
- 'Environment/Sustainability manager'
- 'Facilities manager'
- 'Process operation manager'
- 'Procurement manager'
- 'Public affairs manager'
- 'Risk manager'
- 'Other, please specify'

Leadership criteria

Full Management points must be awarded to be eligible for Leadership points.

Any of the following options selected in column 'Corresponding job category' – 1 point

- 'Board Chair'
- 'Director on board'
- 'Chief Executive Officer (CEO)'
- 'Chief Financial Officer (CFO)'
- 'Chief Operating Officer (COO)'
- 'Chief Sustainability Officer (CSO)'
- 'President'
- 'General Counsel'

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
1	1	0	0	2	2	1	1

(13.4) - Please indicate your consent for CDP to share contact details with the Pacific Institute to support content for its Water Action Hub website.

Theme	Sector that scoring criteria apply to
W	GN/CN/CO/CE/OG/EU/PF/FB/AC/CH/RE/MM/ST/TS/TO/TO-EPM/CG/FS

13.4 - Scoring criteria

Disclosure criteria

Not scored.

Awareness criteria

Not scored.

Management criteria

Not scored.

Leadership criteria

Not scored.

Point Allocation

Disclosure numerator	Disclosure denominator	Awareness numerator	Awareness denominator	Management numerator	Management denominator	Leadership numerator	Leadership denominator
0	0	0	0	0	0	0	0
